# Towards a model independent approach to fragmentation functions

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We show that the difference cross sections in unpolarized semi-inclusive deep inelastic scattering  $e + N \rightarrow e + h + X$  and pp hadron production  $p + p \rightarrow h + X$  determine independently in a model independent way, in any order in QCD, the two fragmentation functions (FFs):  $D_u^{h-\bar{h}}$  and  $D_d^{h-\bar{h}}$ ,  $h = \pi^{\pm}$ ,  $K^{\pm}$  or a sum over charged hadrons. If both  $K^{\pm}$  and  $K_s^0$  are measured, then  $e^+e^- \rightarrow K + X$ ,  $e + N \rightarrow e + K + X$ , and  $p + p \rightarrow K + X$  present independent measurements of just one FF:  $D_{u-d}^{K+K^-}$ . The above results allow one to test the existing parametrizations, obtained with various different assumptions about the FFs, and to test the  $Q^2$  evolution and factorization.

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#### I. INTRODUCTION

There is at present great interest in learning how the spin of the nucleon is built up from the angular momentum of its constituents. A key ingredient in this is a knowledge of the polarized parton densities. Most of our knowledge of the polarized parton distribution functions (PDFs) comes from inclusive deep inelastic scattering (DIS), where, however, one obtains information only on the combinations  $\Delta q(x) + \Delta \bar{q}(x)$ . Information on the polarized sea quark densities can, in principle, be obtained from semi-inclusive deep inelastic scattering (SIDIS) reactions of the type  $l + N \rightarrow$ l + h + X, and from semi-inclusive hadron-hadron reactions like  $p + p \rightarrow h + X$ . However, both of the latter require a knowledge of parton fragmentation functions (FFs) describing the transition parton  $\rightarrow h + X$ .

These are not very well known, being obtained principally from analyses of  $e^+ + e^- \rightarrow h^{\pm} + X$ , where, however, only the combinations  $D_q^h + D_{\bar{q}}^h = D_q^h + D_{\bar{q}}^{\bar{h}} \equiv D_q^{h+\bar{h}}$ occur. Several sets of FFs are available in the literature: Kretzer [1], Kniehl-Kramer-Pötter [2], Albino-Kniehl-Kramer [3]), Hirai-Kumano-Nagai-Sudoh [4], etc. One study in [5] combined  $e^+e^-$  data with unpolarized SIDIS data on  $\pi^{\pm}$  production, in Albino-Kniehl-Kramer–2008 [6] a combined analysis of  $e^+e^-$  and  $pp(\bar{p})$  data was carried on, and quite recently, for the first time, fragmentation functions were extracted from a global fit to  $e^+e^-$ , SIDIS and  $pp \rightarrow \pi^{\pm}X$  data, de Florian-Sassot-Stratmann [7]. A comprehensive review on the current status of the fragmentation functions is presented in [8].

Two points should be noted: (1) in all of these analyses (except [5]) it was necessary to impose some relations, based on theoretical prejudice, between different FFs. (In [3,6] only isospin invariance was imposed, but the analysis relied heavily on tagged data and involved 143 parameters. It is not clear how uniquely the FFs are determined.)

(2) There is significant disagreement between the various analyses for some FFs.

For these reasons it is important to try to find ways of extracting FFs without any theoretical assumptions about relations between them. In this paper we show how information on certain combinations of FFs can be obtained in a model independent way from both unpolarized SIDIS and semi-inclusive pp reactions. The key experimental ingredients are the differences between cross-sections for producing hadrons and producing their antiparticles i.e. data on  $d\sigma^{h-\bar{h}} \equiv d\sigma^h - d\sigma^{\bar{h}}$ . We are informed that precise data on such observables is feasible [9].

Our expressions below correspond to a next-to-leadingorder (NLO) treatment. LO expressions can be obtained by putting  $\alpha_s = 0$ , replacing convolutions by ordinary products and using only LO formulas for the partonic cross sections.

#### **II. THE CROSS-SECTIONS DIFFERENCES**

In this section we consider the cross-sections differences for the two semi-inclusive processes with charged hadrons  $h^{\pm}$ :

$$e + N \rightarrow e + h^{\pm} + X$$
 and  $p + p \rightarrow h^{\pm} + X$  (1)

and define, N = p, d:

$$\sigma_N^{h^+-h^-} \equiv \sigma_N^{h^+} - \sigma_N^{h^-} \quad \text{and} \quad \sigma_{pp}^{h^+-h^-} \equiv \sigma_{pp}^{h^+} - \sigma_{pp}^{h^-}.$$
(2)

Using C invariance of the strong interactions

$$D_g^{h^+-h^-} = 0, \qquad D_q^{h^+-h^-} = -D_{\tilde{q}}^{h^+-h^-}, \qquad (3)$$

we obtain rather simple expressions for the cross-section differences and show that in any order of QCD they are expressed in terms of only nonsinglet (NS) combinations of the FFs.

#### **A. Unpolarized SIDIS**

Assuming factorization, the expressions for unpolarized  $e + N \rightarrow e + h^{\pm} + X$  in NLO take the form [10]

$$d\sigma_p^{h^+ - h^-}(x, z, Q^2) = \frac{1}{9} [4u_V \otimes D_u + d_V \otimes D_d + s_V \otimes D_s]$$
$$\otimes \left(1 + \frac{\alpha_s}{2\pi} C_{qq}\right) \tag{4}$$

$$d\sigma_{d}^{h^{+}-h^{-}}(x, z, Q^{2}) = \frac{1}{9} [(u_{V} + d_{V}) \otimes (4D_{u} + D_{d}) + 2s_{V} \otimes D_{s}] \otimes \left(1 + \frac{\alpha_{s}}{2\pi}C_{qq}\right), \quad (5)$$

where  $u_V$  and  $d_V$  are the usual valence quarks:

$$u_V = u - \bar{u}, \qquad d_V = d - \bar{d}, \tag{6}$$

and we define

$$s_V = s - \bar{s}.\tag{7}$$

Here x, z,  $Q^2$  are the usual deep inelastic kinematic variables:  $x = Q^2/2Pq = Q^2/2M\nu$ ,  $\nu = Pq/M$ ,  $z = PP^h/Pq = E^h/\nu$ , E and  $E^h$  are the lab energies of the incoming lepton and the final hadron, and the  $C_{qq}$  are Wilson coefficients. Note that: (1)  $\sigma_N^{h^+-h^-}$  depends only on NS PDFs and FFs and is independent of the less wellknown gluon quantities g(x) and  $D_g^h$ , and (2) the FFs enter multiplied by  $q_V = q - \bar{q}$  which implies that the contributions of  $D_u^h$  and  $D_d^h$  are enhanced by the large valencequark densities, while  $D_s^h$  is suppressed by the small factor  $(s-\bar{s})$ . Recently a strong bound on  $(s-\bar{s})$  was obtained from neutrino experiments,  $|s - \bar{s}| \le 0.025$  [12]. This implies that the large uncertainties in  $D_s^h$  should not affect strongly the results for  $\sigma_N^{h^+-h^-}$ , and we expect the contri-bution of  $(s - \bar{s})D_s^{h^+-h^-}$  to be within the experimental error and to be negligible. Then Eqs. (4) and (5) provide two independent measurements for the two unknown FFs  $D_u^{h^+-h^-}$  and  $D_d^{h^+-h^-}$ . These equations hold for the sum over charged hadrons and for each identified hadron separately. Here we have implicitly assumed that the valencequark densities are fairly well known, their uncertainties being not bigger than  $\pm 2\%$ –3% at  $x \leq 0.7$ .

Further information can be obtained when the final hadrons are identified.

(1) If  $h = \pi^{\pm}$  then Eqs. (4) and (5) present two independent measurements that determine  $D_u^{\pi^+ - \pi^-}$  and  $D_d^{\pi^+ - \pi^-}$  in a model independent way. Comparison to the existing parametrizations for  $D_u^{\pi^{\pm}}$  and  $D_d^{\pi^{\pm}}$  would be a check of these parametrizations. Also, comparing  $D_u^{\pi^+ - \pi^-}$  and  $D_d^{\pi^+ - \pi^-}$  would check the usually made assumption

$$D_u^{\pi^+ - \pi^-} = -D_d^{\pi^+ - \pi^-}.$$
 (8)

Recently in [7] it was suggested, for the first time,

that this relation might be violated up to 10%. If Eq. (8) holds, then Eqs. (4) and (5) look particularly simple:

$$d\sigma_{p}^{\pi^{+}-\pi^{-}} = \frac{1}{9} [4u_{V} - d_{V}] \otimes \left(1 + \frac{\alpha_{s}}{2\pi} C_{qq}\right)$$
$$\otimes D_{u}^{\pi^{+}-\pi^{-}}$$
(9)

$$d\sigma_{d}^{\pi^{+}-\pi^{-}} = \frac{1}{3} [u_{V} + d_{V}] \otimes \left(1 + \frac{\alpha_{s}}{2\pi} C_{qq}\right)$$
$$\otimes D_{u}^{\pi^{+}-\pi^{-}}.$$
 (10)

Thus, if (8) holds, it should be possible to express  $\sigma_p^{\pi^+ - \pi^-}$  and  $\sigma_d^{\pi^+ - \pi^-}$  in terms solely of a single quantity,  $D_u^{\pi^+ - \pi^-}$ .

(2) If  $h = K^{\pm}$  then Eqs. (4) and (5) determine in a model independent way  $D_u^{K^+-K^-}$  and  $D_d^{K^+-K^-}$ . Comparing  $D_u^{K^+-K^-}$  to the existing parametrizations would check the parametrizations for kaon FFs. In all parametrizations it is always assumed that

$$D_d^{K^+ - K^-} = 0. (11)$$

The above measurement would be a direct test of this assumption.

If (11) holds, Eqs. (4) and (5) look particularly simple:

$$d\sigma_p^{K^+-K^-} = \frac{4}{9}u_V \otimes \left(1 + \frac{\alpha_s}{2\pi}C_{qq}\right) \otimes D_u^{K^+-K^-}$$
(12)

$$d\sigma_{d}^{K^{+}-K^{-}} = \frac{4}{9} [u_{V} + d_{V}] \otimes \left(1 + \frac{\alpha_{s}}{2\pi} C_{qq}\right)$$
$$\otimes D_{u}^{K^{+}-K^{-}}.$$
 (13)

Thus, if (11) holds, without any other assumptions,  $\sigma_p^{K^+-K^-}$  and  $\sigma_d^{K^+-K^-}$  will be determined by a single FF,  $D_u^{K^+-K^-}$ .

HERMES has already published [13] very accurate unpolarized charged separate data on  $\pi^{\pm}$  production on a proton target. Their recent measurements are expected to provide very precise unpolarized data [9] on charged pion and kaon production on both proton and deuteron targets. These would allow one to construct the discussed crosssection differences with enough precision.

The discussed approach, considering differences of cross sections for produced hadrons and antihadrons and based only on charge conjugation invariance, was first worked out for polarized SIDIS [14] for extracting in a model independent way the polarized valence-quark distributions. In this paper we apply it for obtaining model independent information about NS combinations of FFs. Analogously it should be applicable also to other cross-section differences, for example, the single and double spin

asymmetries measured by CLAS in JLab [15] or the azimuthal SIDIS asymmetries measured at COMPASS at CERN [16].

### B. Unpolarized semi-inclusive hadron-hadron reactions

According to the factorization theorem, the general expression for single inclusive production of a hadron h with high transverse momentum in proton-proton collisions,

$$p(P_A) + p(P_B) \rightarrow h(P^h) + X,$$
 (14)

is given by

$$E^{h} \frac{d\sigma_{pp}^{h}}{d^{3}P^{h}} = \sum_{a,b,c} \int dx_{a} \int dx_{b} \int dz f_{a}^{A}(x_{a}, \mu_{F}) f_{b}^{B}(x_{b}, \mu_{F})$$
$$\times D_{c}^{h}(z, \mu_{F}') d\hat{\sigma}_{ab}^{cX}$$
$$\times (x_{a}P_{A}, x_{b}P_{B}, P^{h}/z, \mu_{R}, \mu_{F}, \mu_{F}').$$
(15)

Here the sum is over all contributing partonic channels  $a + b \rightarrow c + X$  and  $d\hat{\sigma}_{ab}^{cX}$  are the corresponding partonic cross sections [see Eq. (21)] calculable in perturbative QCD;  $\mu_F$ ,  $\mu'_F$ , and  $\mu_R$  are the factorization scales associated with the quark densities, fragmentation functions, and renormalization, respectively, which, in the following, we take as equal.

Using *C* invariance, Eq. (3), without any assumptions about FFs and PDFs, we obtain the following expression for the cross-section differences valid in any order in QCD:

$$E^{h} \frac{d\sigma_{pp}^{h^{+}-h^{-}}}{d^{3}P^{h}} = \frac{1}{\pi} \int dx_{a} \int dx_{b} \int \frac{dz}{z} \\ \times \sum_{q=u,d,s} [L_{q}(x_{b}, t, u)q_{V}(x_{a}) \\ + L_{a}(x_{a}, u, t)q_{V}(x_{b})]D_{a}^{h^{+}-h^{-}}(z), \quad (16)$$

where we have neglected contributions from heavy quarks since their contributions are proportional to  $c - \bar{c}$ ,  $b - \bar{b}$ ,  $t - \bar{t}$ , respectively [17]. Here

$$L_u(x, t, u) = \tilde{u}(x)d\hat{\Sigma}(s, t, u) + [\tilde{d}(x) + \tilde{s}(x)]d\hat{\sigma}_{qq'}^{qX}(s, t, u) + g(x)d\hat{\sigma}_{qg}^{(q-\bar{q})X}(s, t, u)$$
(17)

$$L_d(x, t, u) = \tilde{d}(x)d\hat{\Sigma}(s, t, u) + [\tilde{u}(x) + \tilde{s}(x)]d\hat{\sigma}_{qq'}^{qX}(s, t, u)$$
$$+ g(x)d\hat{\sigma}_{qg}^{(q-\bar{q})X}(s, t, u)$$
(18)

$$L_s(x, t, u) = \tilde{s}(x)d\hat{\Sigma}(s, t, u) + [\tilde{u}(x) + \tilde{d}(x)]d\hat{\sigma}_{qq'}^{qX}(s, t, u) + g(x)d\hat{\sigma}_{qg}^{(q-\bar{q})X}(s, t, u),$$
(19)

where

$$d\hat{\Sigma} \equiv \left[d\hat{\sigma}_{qq}^{qX}(s,t,u) + \frac{1}{2}d\hat{\sigma}_{q\bar{q}}^{(q-\bar{q})X}(s,t,u)\right], \qquad \tilde{q} \equiv q + \bar{q}.$$
(20)

The partonic cross section  $d\hat{\sigma}_{ab}^{cX}$  for the inclusive process

 $a + b \rightarrow c + X$  is a function of the corresponding Mandelstam variables:

$$d\hat{\sigma}_{ab}^{cX}(s, t, u) \equiv \frac{d\hat{\sigma}}{dt}(ab \to cX),$$
  

$$s = (p_a + p_b)^2 = (x_a P_A + x_b P_B)^2,$$
  

$$t = (p_a - p_c)^2 = (x_a P_A - p_c)^2,$$
  

$$u = (p_b - p_c)^2 = (x_b P_B - p_c)^2,$$
  

$$p_c = P^h/z,$$
(21)

where  $P^h$  stands for  $P^{h^{\pm}}$ , respectively. The  $d\hat{\sigma}_{ab}^{cX}$  are calculated in perturbative QCD. In LO these are  $2 \rightarrow 2$  QCD scattering processes, i.e. X stands just for one parton, s + t + u = 0, and one of the integrations can be done immediately. In total there are eight different LO cross sections, expressions for which can be found in many places, for example [20]. In NLO, apart from the virtual one-loop corrections to the  $2 \rightarrow 2$  processes, also real  $2 \rightarrow 3$  new processes of order  $O(\alpha_s^3)$  are included. This leads to 20 different inclusive processes [21,22]. In this case *s*, *t*, and *u* are independent variables. Equation (16) implies that due to *C* invariance only four inclusive partonic cross sections contribute to  $d\sigma_{pp}^{h^+-h^-}$  in LO and six in NLO. These are

LO: 
$$qq' \rightarrow q(q')$$
,  $qq \rightarrow q(q)$ ,  
 $q\bar{q} \rightarrow q(\bar{q})$ ,  $qg \rightarrow q(g)$   
NLO:  $qq' \rightarrow qX$ ,  $qq \rightarrow qX$ ,  
 $q\bar{q} \rightarrow qX$ ,  $\bar{q}X$   $qg \rightarrow qX$ ,  $\bar{q}X$ , (22)

where the final q or  $\bar{q}$  are the fragmenting quarks.

The cross section (16) involves only NS FFs and, thus, the most troublesome  $D_g^h$  does not contribute. Also, we would like to emphasize that the structure of the cross section is just the same as in SIDIS— $D_q^{h^+-h^-}$  enters always multiplied by  $(q - \bar{q}) = q_V$ , i.e. in the combination  $q_V D_q^{h^+-h^-}$ . This implies again that  $D_u^{h^+-h^-}$  and  $D_d^{h^+-h^-}$ are enhanced by the large valence-quark densities, while  $D_s^{h^+-h^-}$  is suppressed by the small quantity  $(s - \bar{s})$  and its contribution should be negligible. However, that should be checked by calculating  $L_q(s, t, u)$ , which depends only on known quantities.

Thus, ep, ed, and pp semi-inclusive cross-section differences determine the same combinations of FFs:  $D_u^{h^+-h^-}$ ,  $D_d^{h^+-h^-}$ , and  $D_s^{h^+-h^-}$ . Using the experimentally well justified approximation  $s = \bar{s}$ ,  $D_s^{h^+-h^-}$  will not contribute and the three semi-inclusive difference cross sections of ep, ed, and pp scattering determine independently the two quantities  $D_u^{h^+-h^-}$  and  $D_d^{h^+-h^-}$ . If  $s - \bar{s} = 0$ , Eq. (16) reads

$$E^{h} \frac{d\sigma_{pp}^{h^{+}-h^{-}}}{d^{3}P^{h}} = \frac{1}{\pi} \int dx_{a} \int dx_{b} \int \frac{dz}{z} \{ [L_{u}(x_{b}, t, u)u_{V}(x_{a}) + (x_{a} \leftrightarrow x_{b}, u \leftrightarrow t)] D_{u}^{h^{+}-h^{-}}(z) + [L_{d}(x_{b}, t, u)d_{V}(x_{a}) + (x_{a} \leftrightarrow x_{b}, u \leftrightarrow t)] \times D_{d}^{h^{+}-h^{-}}(z) \}.$$
(23)

As  $D_q^{h^+-h^-}$  are nonsinglets, the gluon FF, which introduces in general a lot of uncertainties, will not appear in the  $Q^2$ evolution.

Note that taking  $s - \bar{s} = 0$  is not an assumption—it is an approximation linked to the precision of the experiment. If the accuracy for the cross-section differences justifies doing so, the strange quark contribution can be included and the cross-section differences will provide information about  $(s - \bar{s})D_s^{h^+-h^-}$  as well. It has been suggested that a relatively big  $s - \bar{s}$  difference could be generated in next-to-next-to-leading order perturbative QCD [23].

If  $h = \pi^{\pm}$ , Eq. (8) implies that  $\sigma_{pp}^{\pi^+ - \pi^-}$  is expressed solely in terms of  $D_u^{\pi^+ - \pi^-}$ :

$$E^{h} \frac{d\sigma_{pp}^{\pi^{+}-\pi^{-}}}{d^{3}P^{\pi}} = \frac{1}{\pi} \int dx_{a} dx_{b} \frac{dz}{z} [L_{u}(x_{b}, t, u)u_{V}(x_{a}) - L_{d}(x_{b}, t, u)d_{V}(x_{a}) + L_{u}(x_{a}, u, t)u_{V}(x_{b}) - L_{d}(x_{a}, u, t)d_{V}(x_{b})]D_{u}^{\pi^{+}-\pi^{-}}.$$
 (24)

Thus, not only the SIDIS cross sections  $\sigma_p^{\pi^+ - \pi^-}$  and  $\sigma_d^{\pi^+ - \pi^-}$ , but also the single inclusive proton-proton collisions  $\sigma_{pp}^{\pi^+ - \pi^-}$  are expressed in terms of the single quantity  $D_u^{\pi^+ - \pi^-}$  if  $D_u^{\pi^+ - \pi^-} = -D_d^{\pi^+ - \pi^-}$  holds.

If  $h = K^{\pm}$  the difference cross sections will determine only  $D_u^{K^+-K^-}$  and  $D_d^{K^+-K^-}$ , which would test the assumption  $D_d^{K^+-K^-} = 0$ . If  $D_d^{K^+-K^-} = 0$ , then  $d\sigma_{pp}^{K^+-K^-}$  will be expressed solely in terms of one fragmentation function,  $D_u^{K^+-K^-}$ .

Recently, BRAHMS (RHIC) [24] presented data on  $\pi^{\pm}$  and  $K^{\pm}$  production, that might allow one to form the above differences with reasonable accuracy.

#### III. $K^{\pm}$ AND $K_s^0$ PRODUCTION

If in addition to the charged  $K^{\pm}$  also neutral kaons  $K_s^0 = (K^0 + \bar{K}^0)/\sqrt{2}$  are measured, no new FFs are introduced into the cross sections. This is a consequence of SU(2) invariance of the strong interactions, but the comment after Eq. (8) should be perhaps borne in mind. We have

$$D_{u}^{K^{+}+K^{-}-2K_{s}^{0}} = -D_{d}^{K^{+}+K^{-}-2K_{s}^{0}} = (D_{u} - D_{d})^{K^{+}+K^{-}},$$
  

$$D_{s}^{K^{+}+K^{-}-2K_{s}^{0}} = D_{c}^{K^{+}+K^{-}-2K_{s}^{0}} = D_{b}^{K^{+}+K^{-}-2K_{s}^{0}} = 0.$$
(25)

We shall show that the combination

$$\sigma^{K^{+}+K^{-}-2K_{s}^{0}} \equiv \sigma^{K^{+}} + \sigma^{K^{-}} - 2\sigma^{K_{s}^{0}}$$
(26)

in the three types of semi-inclusive processes,  $K = K^{\pm}$ ,  $K_s^0$ :

$$e^+ + e^- \to K + X, \tag{27}$$

$$e + N \rightarrow e + K + X, \qquad N = p, d$$
 (28)

$$p + p \longrightarrow K + X, \tag{29}$$

always measures only one NS combination of FFs, namely  $(D_u - D_d)^{K^+ + K^-}$ . This result relies only on SU(2) invariance for the kaons and does not involve *any* assumptions about PDFs or FFs; it holds in any order in QCD. We shall consider the three processes separately.

Semi-inclusive kaon production in  $e^+e^-$  and eN scattering was considered earlier in [11]. For completeness we quote the results.

A. 
$$e^+ + e^- \rightarrow K + X$$

For the *z* distribution in  $e^+e^- \rightarrow (\gamma, Z) \rightarrow K + X$ , we have [25]

$$d\sigma_{e^+e^-}^{K^++K^--2K_s^0}(z,Q^2) = 6\sigma_0(\hat{e}_u^2 - \hat{e}_d^2) \left(1 + \frac{\alpha_s}{2\pi}C_q \otimes\right) \times D_{u-d}^{K^++K^-}(z,Q^2).$$
(30)

Here  $\sigma_0 = 4\pi \alpha_{\rm em}^2/3s$  and

$$\hat{e}_q^{\ 2}(s) = e_q^2 - 2e_q v_e v_q \Re e h_Z + (v_e^2 + a_e^2) \\ \times [(v_q)^2 + (a_q)^2] |h_Z|^2,$$
(31)

where  $h_Z = [s/(s - m_Z^2 + im_Z\Gamma_Z)]/\sin^2 2\theta_W$ ,  $e_q$  is the charge of the quark q in units of the proton charge, and, as usual,

$$v_e = -1/2 + 2\sin^2 \theta_W, \qquad a_e = -1/2,$$
  

$$v_q = I_3^q - 2e_q \sin^2 \theta_W, \qquad a_q = I_3^q, \qquad (32)$$
  

$$I_3^u = 1/2, \qquad I_3^d = -1/2.$$

*z* is the fraction of the momentum of the fragmenting parton transferred to the hadron *h*:  $z = 2(P^hq)/q^2 = E^h/E$ , where  $E^h$  and *E* are the c.m. energies of the final hadron *h* and the initial lepton, and  $\sqrt{s} = 2E$ .

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**B.** 
$$eN \rightarrow e + K + X$$

The cross sections are given by [11]

$$d\sigma_p^{K^++K^--2K_s^0} = \frac{1}{9} \bigg[ (4\tilde{u} - \tilde{d}) \otimes \left(1 + \frac{\alpha_s}{2\pi} C_{qq}\right) \\ + \frac{\alpha_s}{2\pi} g \otimes C_{gq} \bigg] \otimes D_{u-d}^{K^++K^-}$$
(33)

$$d\sigma_d^{K^++K^--2K_s^0} = \frac{1}{3} \bigg[ (\tilde{u} + \tilde{d}) \otimes \left( 1 + \frac{\alpha_s}{2\pi} C_{qq} \right) \\ + 2 \frac{\alpha_s}{2\pi} g \otimes C_{gq} \bigg] \otimes D_{u-d}^{K^++K^-}.$$
(34)

C. 
$$pp \rightarrow K + X$$

From Eq. (15), using (25), for  $pp \rightarrow K + X$ ,  $K = K^{\pm}$ ,  $K_s^0$  we obtain

$$E^{K} \frac{d\sigma_{pp}^{K^{+}+K^{-}-2K_{s}^{0}}}{d^{3}P^{K}} = \frac{1}{\pi} \sum_{a,b} \int dx_{a} \int dx_{b} \int \frac{dz}{z} f_{a}^{A}(x_{a}) f_{b}^{B}(x_{b})$$
$$\times \left[ d\sigma_{ab}^{uX} + d\sigma_{ab}^{\bar{u}X} - d\sigma_{ab}^{dX} - d\sigma_{ab}^{\bar{d}X} \right]$$
$$\times D_{u-d}^{K^{+}+K^{-}}(z). \tag{35}$$

Here the sum over a, b is over all partons.

It is remarkable that all three processes measure the same NS  $D_{u-d}^{K^++K^-}$ . This implies, in particular, that even if one does not know the combination  $D_{u-d}^{K^++K^-}$ , it should be possible to fit the data on all four processes solely with one NS fragmentation function, whose evolution will not introduce any other FFs.

Written in detail, Eq. (35) reads

$$E^{K} \frac{d\sigma_{pp}^{K^{+}+K^{-}-2K_{s}^{0}}}{d^{3}P^{K}} = \frac{1}{\pi} \int dx_{a} \int dx_{b} \int \frac{dz}{z} \{ [\tilde{u}(x_{a})[\tilde{d}(x_{b}) + \tilde{s}(x_{b})] - \tilde{d}(x_{a})[\tilde{u}(x_{b}) + \tilde{s}(x_{b})]] \hat{\sigma}_{qq}^{qX}(s, t, u) + 2[u(x_{a})u(x_{b}) + \tilde{u}(x_{a})\tilde{u}(x_{b}) - [d(x_{a})d(x_{b}) + \tilde{d}(x_{a})\tilde{d}(x_{b})]] \hat{\sigma}_{qq}^{qX}(s, t, u) + [d(x_{a})\tilde{d}(x_{b}) - u(x_{a})\tilde{u}(x_{b})]$$

$$\times [2\hat{\sigma}_{q\bar{q}}^{qX}(s, t, u) - \hat{\sigma}_{q\bar{q}}^{(q+\bar{q})X}(s, t, u)] + [\tilde{u}(x_{a}) - \tilde{d}(x_{a})]g(x_{b})[\hat{\sigma}_{qg}^{(q+\bar{q}-2q')X}(s, t, u)]$$

$$+ [(x_{a} \leftrightarrow x_{b}), (t \leftrightarrow u)]]D_{u-d}^{K^{+}+K^{-}}(z).$$

$$(36)$$

Note that only eight inclusive processes (five in LO) contribute. This result is readily obtained using the symmetry properties of the partonic cross sections and Eq. (25).

The BRAHMS data on  $K^{\pm}$  production, combined with the data on  $K_s^0$  production from STAR (RHIC) [26], may allow one to form  $\sigma_{pp}^{K^++K^--2K_s^0}$  with reasonable accuracy.

In this section we have presented four independent measurements, Eqs. (27)–(29), that determine in a model independent way the NS combination of the kaon FF  $D_{u-d}^{K^++K^-}$ . As these expressions are model independent, it would be interesting to compare the resulting FF to the existing parametrizations extracted from  $e^+e^-$  data, which were obtained with various assumptions. In addition, Eqs. (30)–(34) allow one to compare the FF obtained in  $e^+e^-$  at rather high  $Q^2 \simeq m_Z^2$ , Eq. (30), with those from SIDIS at quite low  $Q^2$ , Eqs. (33) and (34). Comparing with an extraction based on Eq. (35) would provide a challenging test of the universality of the FFs.

Note that the analogous combination for pions— $\sigma^{\pi^+ + \pi^- - 2\pi^0}$ , for all three types of processes—is identically zero with the usually used assumptions  $D_q^{\pi^+ + \pi^-} = 2D_q^{\pi^0}$ .

#### **IV. CONCLUSIONS**

Three types of experiments involving high energy collisions of elementary particles with unpolarized beams

have been studied:  $e^+e^- \rightarrow h + X$ , SIDIS  $eN \rightarrow e + h + A$ X (N = p and d), and  $pp \rightarrow h + X$ . Based only on factorization and C invariance of the strong interactions, and without any assumptions about PDFs and FFs, we show that in any order in QCD the difference cross sections  $\sigma^{h^+} - \sigma^{h^-}$  for SIDIS and for  $pp \rightarrow h + X$  are expressed in terms of the same nonsinglet FFs  $D_{u,d,s}^{h^+-h^-}$ . If in addition to charged kaons  $K^{\pm}$ , also the neutral  $K_s^0$  can be measured, then SU(2) invariance implies that for all three types of process the combination  $\sigma^{K^++K^--K_s^0}$ is expressed solely in terms of one nonsinglet combination  $(D_u - D_d)^{K^+ + K^-}$ . These measurements do not provide full information about the FFs, but only part of it, which however is model independent and correct in any order in OCD. This allows one to test both the existing parametrizations and some of the usually made assumptions. They also provide a test of  $Q^2$  evolution and factorization.

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