



COFUND. A project supported by  
the European Union

# Searching for Higgs boson decays to charm quark pairs with charm jet tagging at ATLAS

Imperial College HEP Seminar

15<sup>th</sup> November 2018

**Andy Chisholm**

(CERN and University of Birmingham)

“Yukawa” couplings between the Higgs ( $\phi$ ) and fermion ( $\psi$ ) fields are possible:

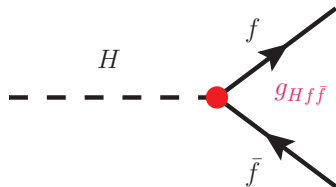
$$\mathcal{L}_{\text{fermion}} = -y_f \cdot [\bar{\psi}_L \phi \psi_R + \bar{\psi}_R \bar{\phi} \psi_L]$$

If  $\phi$  has a non-zero VEV, expansion leads to (where  $h$  is the physical Higgs field):

$$\mathcal{L}_{\text{fermion}} = - \underbrace{\frac{y_f v}{\sqrt{2}} \cdot \bar{\psi} \psi}_{\text{mass term}} - \underbrace{\frac{y_f}{\sqrt{2}} \cdot h \bar{\psi} \psi}_{\text{Yukawa coupling term}}$$

Results in Higgs–fermion coupling proportional to the fermion mass ( $g_{Hf\bar{f}} = m_f/v$ )

- Gauge invariant fermion mass terms in SM ✓
- $y_f$  “predicted” in SM given knowledge of  $v$  and  $m_f$  ( $v \approx 246$  GeV from EW observables) ✓
- Offers no fundamental insight into the observed fermion mass hierarchy ✗

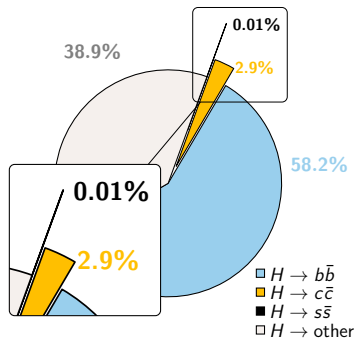


While Yukawa couplings provide concrete predictions for  $Hf\bar{f}$  interactions, they fail to describe the origin of the fermion mass hierarchy i.e. why is  $m_t/m_e \approx \mathcal{O}(10^5)$ !?

Physics beyond the SM is clearly required to explain the fermion mass hierarchy!

## Why is the charm quark Yukawa coupling important?

- The smallness of the SM charm ( $c$ ) quark coupling ( $y_c = \frac{\sqrt{2}m_c(m_H)}{v} \approx 4 \times 10^{-3}$ ) make possible **modifications from potential new physics easier to spot**
- $H \rightarrow c\bar{c}$  decays constitute the **largest part of the SM prediction for  $\Gamma_H$  for which we have no experimental evidence**
- **We only have experimental evidence for 3rd generation Yukawa couplings!**
- Many BSM models **predict modifications to 1st and 2nd generation fermion Higgs couplings alone**, with SM-like couplings to 3rd



Cartoon of SM 125 GeV  $H \rightarrow q\bar{q}$  branching fractions,  $H \rightarrow u\bar{u}/d\bar{d}$  too small to show!

What are the existing indirect constraints?

- Constraints on unobserved Higgs decays impose  $\mathcal{B}(H \rightarrow c\bar{c}) < 20\%$ , while global fits indirectly bound  $\Gamma_H$  leading to  $y_c/y_c^{SM} < 6$ , **assuming SM production and no BSM decays** (arXiv:1310.7029, arXiv:1503.00290)
- Direct bound of around  $\Gamma_H < 1$  GeV from  $H \rightarrow \gamma\gamma$  and  $H \rightarrow 4\ell$  lineshapes impose around  $y_c/y_c^{SM} < 120$ , **but this is model independent** (arXiv:1503.00290)

Several methods to study the  $Hc\bar{c}$  coupling at the LHC have been proposed in the literature, the most promising (in my opinion) are:

**Idea 1 - Exclusive  $H \rightarrow J/\psi \gamma$  decays**

- Rare exclusive radiative Higgs boson decays to vector mesons are sensitive to the  $Hq\bar{q}$  couplings (arXiv:1503.00290)
- The  $H \rightarrow J/\psi \gamma$  decay has been proposed as a clean probe of the  $Hc\bar{c}$  coupling, though decay width “only” evolves as  $(\text{const.} + y_c)^2$  ( $\text{const.} \gg y_c$ )
- ATLAS pioneered searches in this channel during Run 1 (arXiv:1501.03276)

**Idea 2 - Associated production of a Higgs boson and charm quark**

- Tree level sensitivity to  $Hc\bar{c}$  coupling (arXiv:1507.02916, arXiv:1606.09253)
- Use jet  $c$ -tagging to identify charm quark signature and a suitably “clean” Higgs decay (e.g.  $H \rightarrow \gamma\gamma$ )
- Alternatively, study  $p_T^H$  distribution to look for potential shape modifications...

**Idea 3 - Inclusive  $H \rightarrow c\bar{c}$  decays (The focus of this seminar...)**

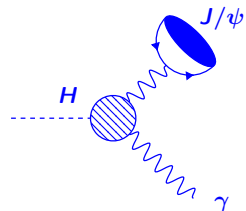
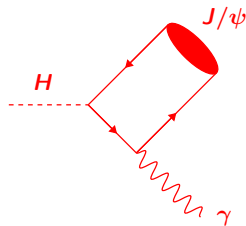
- Inclusive  $H \rightarrow c\bar{c}$  decays are directly sensitive to the  $Hc\bar{c}$  coupling, with the decay width evolving as  $\Gamma_{H \rightarrow c\bar{c}} \propto y_c^2$
- Use double jet  $c$ -tagging and focus on  $VH$  ( $V = W, Z$ ) production with leptonic  $V$  decays to mitigate the large multi-jet backgrounds

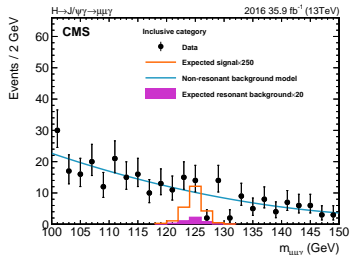
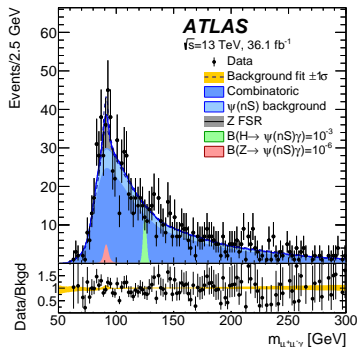
The radiative decay  $H \rightarrow J/\psi \gamma$  could provide a clean probe of the  $Hc\bar{c}$  coupling at the LHC

- **Interference** between **direct** ( $H \rightarrow c\bar{c}$ ) and **indirect** ( $H \rightarrow \gamma\gamma^*$ ) contributions
- **Direct** (upper diagram) amplitude provides sensitivity to the **magnitude and sign** of the  $Hc\bar{c}$  coupling
- **Indirect** (lower diagram) amplitude provides dominant contribution to the width, not sensitive to  $Hc\bar{c}$  coupling
- Very rare decays in the SM, but **rate dominated by “indirect” component**, sensitivity to  $Hc\bar{c}$  coupling somewhat diluted

$$\Gamma = |C_I - C_D \cdot \frac{y_c}{y_c^{SM}}|^2 \times 10^{-7} \text{ MeV} \quad (C_I \approx 10, C_D \approx 1)$$

$$\mathcal{B}(H \rightarrow J/\psi \gamma) = (2.99 \pm 0.16) \times 10^{-6}$$





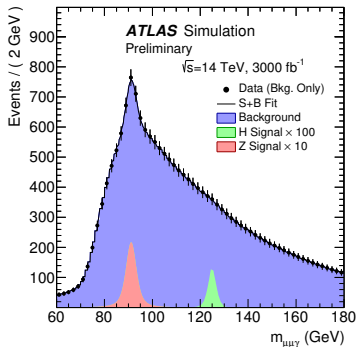
Recently both ATLAS and CMS updated their searches for  $H \rightarrow J/\psi \gamma$  decays with  $36 \text{ fb}^{-1}$  of  $\sqrt{s} = 13$  TeV Run 2 data

- Both search for  $H \rightarrow J/\psi \gamma$  with  $J/\psi \rightarrow \mu^+ \mu^-$  using a “cut-based” analysis
- Sensitive to branching fractions around two orders of magnitude away from SM prediction
- Limits corresponds to  $|y_c/y_c^{SM}| \approx 100$  (when considered relative to  $H \rightarrow \gamma\gamma$  to remove  $\Gamma_H$  dependence)

Expt.	95% CL upper limit on $\mathcal{B}(H \rightarrow J/\psi \gamma)$		
	Expected	Observed	Obs./ $\mathcal{B}_{SM}$
ATLAS <sup>†</sup>	$(3.0^{+1.4}_{-0.8}) \times 10^{-4}$	$3.5 \times 10^{-4}$	117×
CMS <sup>‡</sup>	$(5.2^{+2.4}_{-1.6}) \times 10^{-4}$	$7.6 \times 10^{-4}$	253×

<sup>†</sup> Phys. Lett. B 786 (2018) 134 (arXiv:1807.00802)

<sup>‡</sup> Submitted to EPJC (arXiv:1810.10056)

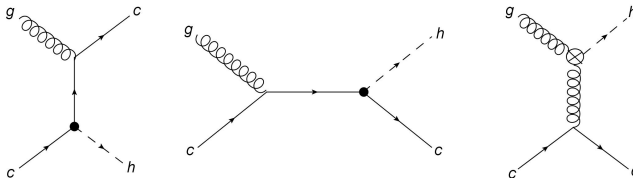
**Run 1  $H \rightarrow J/\psi \gamma$  analysis projected to  $\sqrt{s} = 14$  TeV scenario with 300(0) fb $^{-1}$** 

Expected branching ratio limit at 95% CL			
	$\mathcal{B}(H \rightarrow J/\psi \gamma) [10^{-6}]$		$\mathcal{B}(Z \rightarrow J/\psi \gamma) [10^{-7}]$
	Cut Based	Multivariate Analysis	Cut Based
300 fb $^{-1}$	$185^{+81}_{-52}$	$153^{+69}_{-43}$	$7.0^{+2.7}_{-2.0}$
3000 fb $^{-1}$	$55^{+24}_{-15}$	$44^{+19}_{-12}$	$4.4^{+1.9}_{-1.1}$
Standard Model expectation			
	$\mathcal{B}(H \rightarrow J/\psi \gamma) [10^{-6}]$		$\mathcal{B}(Z \rightarrow J/\psi \gamma) [10^{-7}]$
	$2.9 \pm 0.2$		$0.80 \pm 0.05$

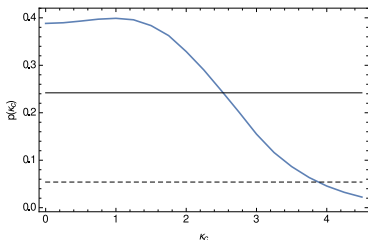
- Optimistic scenario with MVA analysis still only sensitive to  $\mathcal{B}(H \rightarrow J/\psi \gamma)$  at  $15 \times$  SM value with 3000 fb $^{-1}$

**New ideas likely required to reach SM sensitivity in a HL-LHC scenario with this channel!**

The production of Higgs boson in association with a charm quark is directly sensitive to the charm quark Yukawa coupling



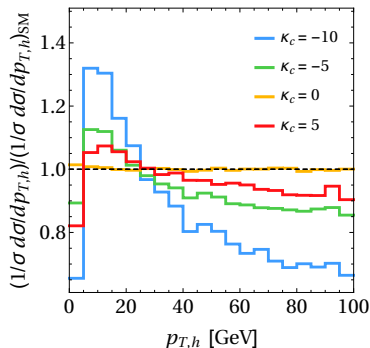
↑ Examples of “direct” (left and centre) and “indirect” (right)  $cg \rightarrow Hc$  diagrams (from arXiv:1507.02916)



↑ Expected  $p$ -value as a function of  $\kappa_c = y_c / y_c^{SM}$  (from arXiv:1507.02916)

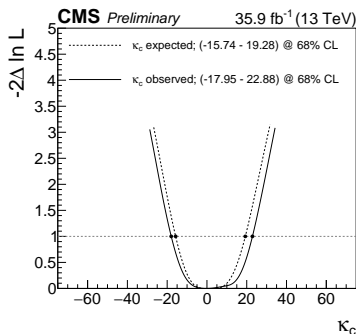
- $t$ -channel diagram (left) is expected to dominate the cross-section and is sensitive to the  $Hc\bar{c}$  coupling, highly sensitive channel!
- No experimental measurements yet, though the sensitivity at the HL-LHC has been surveyed in the literature (arXiv:1507.02916)
- Assuming a data sample of  $3 \text{ ab}^{-1}$  at  $\sqrt{s} = 14 \text{ TeV}$ ,  $\mathcal{O}(1)$  constraints on  $y_c / y_c^{SM}$  are expected to be obtained...





Effect of modified  $y_c$  on  $p_T^H$  from  $cg \rightarrow Hc$  diagrams

(Phys. Rev. Lett. 118, 121801 (2017), arXiv:1606.09253)



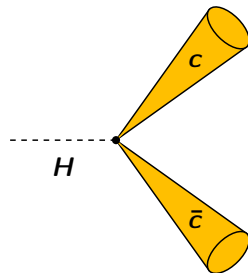
Bound on  $y_c/y_c^{SM}$  from Run 2 CMS data

(CMS-PAS-HIG-17-028)

- In the case of a modified Higgs coupling to heavy quarks  $Q = c, b$ , the shape of the inclusive  $p_T^H$  spectrum would change due to the modified  $gQ \rightarrow HQ$  contribution
- Recently, CMS used their measured  $p_T^H$  distribution from  $H \rightarrow \gamma\gamma$  and  $H \rightarrow 4\ell$  accounting for dependence on  $y_c$  (and  $y_b$ )
- Considering only shape variation (no assumption on  $\Gamma_H$ , less model dependent) and profiling  $y_b/y_b^{SM}$ , obtain constrain of  $-18 < y_c/y_c^{SM} < 23$  at 68% CL

## Motivation

- The branching fraction for  $H \rightarrow c\bar{c}$  decays is around 2.9% for a SM Higgs boson with  $m_H = 125$  GeV
- In comparison to the  $H \rightarrow J/\psi \gamma$  decay, this is a huge rate! Furthermore, it scales directly with  $y_c^2$ ...
- In  $\sqrt{s} = 13$  TeV  $pp$  collisions, one expects around 1600  $H \rightarrow c\bar{c}$  decays in every  $1 \text{ fb}^{-1}$  of data!
- **But**, how can we hope to separate  $H \rightarrow c\bar{c}$  from the **HUGE** jet background at the LHC?



## Strategy

- Charm quark initiated jets ( $c$ -jet) will typically contain a  $c$ -hadron, though most of the jets produced in LHC  $pp$  collisions will not...
- If we can exploit the presence of a  $c$ -hadron within the jet, we can hope to separate  $c$ -jets from light flavour ( $u, d, s, g$ ) and  $b$ -jets (which also have a unique signature)
- Focus on production channels involving leptons or large  $E_T^{\text{miss}}$  (e.g.  $Z(\ell\ell, \nu\nu)H$  and/or  $W(\ell\nu)H$ ), to reduce the jet background

# Part I - Charm jet tagging with ATLAS

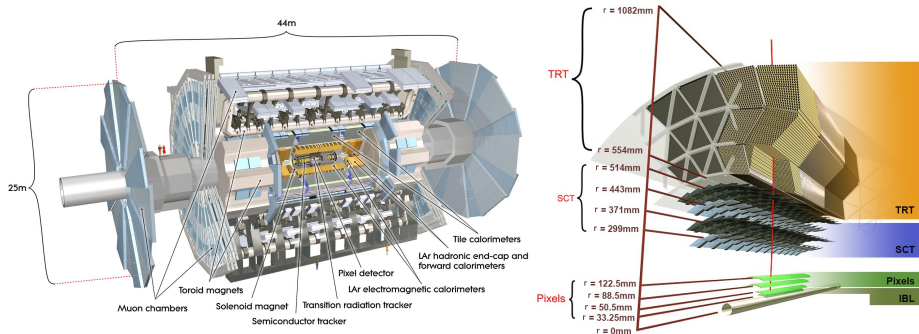
## Introduction

- Jets containing either  $c$ - or  $b$ -hadrons can be “tagged” by virtue of the unique properties of the heavy flavour hadrons
- These techniques are collectively known as jet “flavour tagging” and only differ in the fine details if one is interested to “tag”  $c$ -jets or  $b$ -jets
- **I will describe how these techniques are implemented within the ATLAS experiment** (“flavour tagging” can mean different things to different collider experiments)

## Jet Labelling Conventions

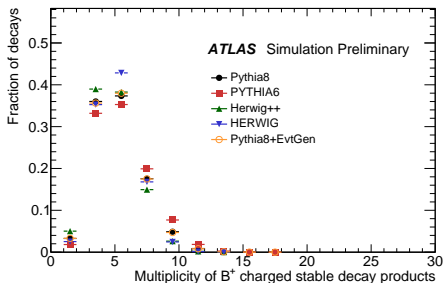
- **$b$ -jet:** Jets containing a  $b$ -hadron
- **$c$ -jet:** Jets containing a  $c$ -hadron but no  $b$ -hadron
- **Light flavour jet:** Jets containing no  $b$  or  $c$ -hadrons (originating from  $u, d, s$  quark and gluon fragmentation)

General purpose detector, well suited to studying heavy flavour jets

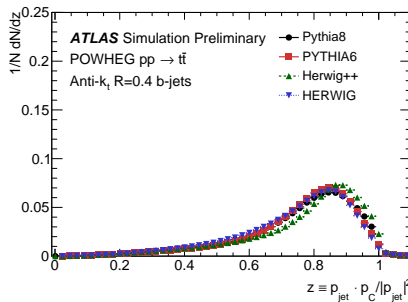


- **Inner Detector (ID):** Silicon Pixels and Strips (SCT) with Transition Radiation Tracker (TRT)  $|\eta| < 2.5$  and (new for Run 2) Insertable B-Layer (IBL)
- **LAr EM Calorimeter:** Highly granular + longitudinally segmented (3-4 layers)
- **Had. Calorimeter:** Plastic scintillator tiles with iron absorber (LAr in fwd. region)
- **Muon Spectrometer (MS):** Triggering  $|\eta| < 2.4$  and Precision Tracking  $|\eta| < 2.7$
- **Jet Energy Resolution:** Typically  $\sigma_E/E \approx 50\%/\sqrt{E(\text{GeV})} \oplus 3\%$
- **Track IP Resolution:**  $\sigma_{d_0} \approx 60 \mu\text{m}$  and  $\sigma_{z_0} \approx 140 \mu\text{m}$  for  $p_T = 1 \text{ GeV}$  (with IBL)

- **Lifetime:** Long enough to lead to a measureable decay length (around 5mm for a 50 GeV boost)
- **Mass:** Weakly decaying  $b$ -hadrons have masses around 5 GeV, leading to high decay product multiplicities (average of 5 charged particles per decay)
- **Fragmentation:** Much harder than jets initiated by other species ( $b$ -hadrons carry around 75% of jet energy, on average)

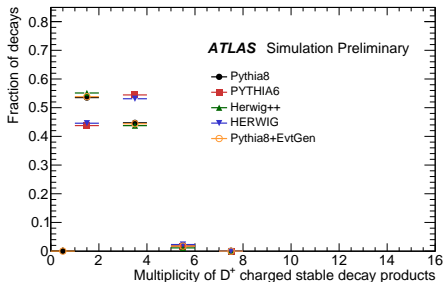


Left: Mean charged multiplicity in  $B^+$  mesons decays

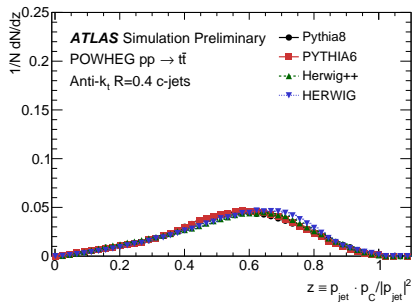


Right:  $b$ -quark fragmentation function

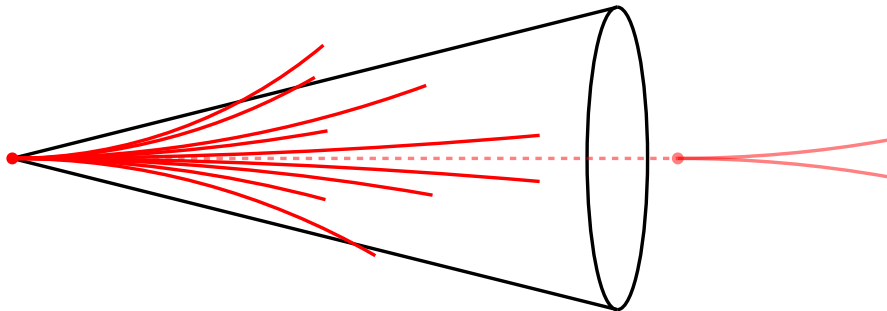
- **Lifetime:** Shorter than the  $b$ -hadrons by around a factor of 2-3, still enough for measureable decay length (around 1-3mm for a 50 GeV boost)
- **Mass:** Weakly decaying  $c$ -hadrons have masses around 2 GeV, around  $2-3\times$  lower than  $b$ -hadrons (mean of  $\approx 2$  charged particles per decay)
- **Fragmentation:** Softer than  $b$ -jets, but still harder than jets initiated by light species ( $c$ -hadrons carry around 55% of jet energy, on average)



Left: Mean charged multiplicity in  $D^+$  mesons decays

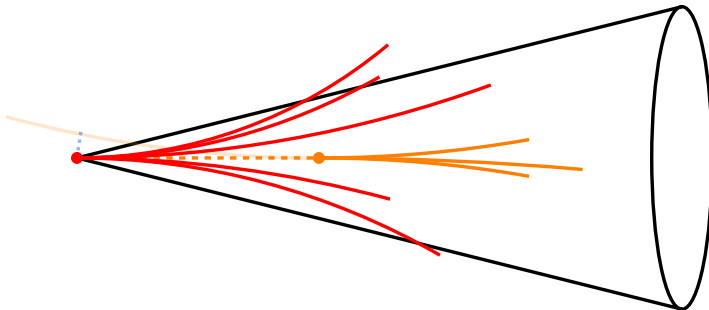


Right:  $c$ -quark fragmentation function



### Typical Experimental Signature

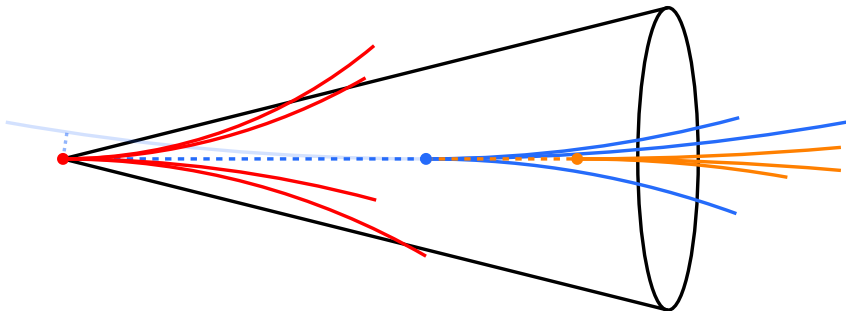
- Light-quarks hadronise into many **light hadrons** which share the jet energy
- Tracks from this vertex often have impact parameters consistent with zero
- **Long-lived light hadrons** (e.g.  $K_S^0$ ,  $\Lambda^0$ ) can be produced, though they are more likely to decay very far (many cm) from the primary  $pp$  vertex



### Typical Experimental Signature

- $c$ -quark fragments into a  $c$ -hadron which carries around half of the jet energy
- $c$ -hadron decay vertex often displaced from the primary  $pp$  vertex by a few mm
- Tracks from this vertex can often have large impact parameters





### Typical Experimental Signature

- $b$ -quark fragments into a  $b$ -hadron which carries most of the jet energy
- Most  $b$ -hadrons ( $\approx 90\%$ ) decay into  $c$ -hadrons
- $b$ -hadron decay vertex often displaced from the primary  $pp$  vertex by a few mm
- Subsequent  $c$ -hadron decay vertex often displaced by a further few mm
- Tracks from both of these vertices often have large impact parameters

Charm tagging is not new, many experiments at high energy ( $\sqrt{s} \gg m_{B\bar{B}}$ ) colliders (e.g. Sp $\bar{p}$ S, Tevatron, SLD, LEP, HERA) have built “charm taggers” which tend to fall within the following classes:

### “Exclusive” charm jet tagging

- Focus on the full reconstruction of exclusive  $c$ -hadron decay chains (e.g.  $D^{*\pm} \rightarrow D^0(K^-\pi^+)\pi^\pm$ ) or leptons from semi-leptonic  $c$ -hadron decays
- ✓ Can often provide a very pure sample of jets containing  $c$ -hadrons
- ✗ The efficiency is typically low  $\mathcal{O}(1\%)$ , limited by the  $c$ -hadron branching fractions of interest

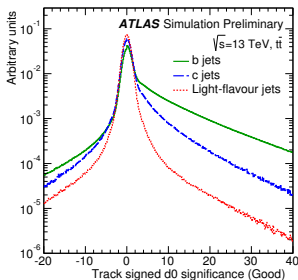
### “Inclusive” charm jet tagging

- An alternative approach is to exploit more “inclusive” observables, such as track impact parameters or secondary vertices
- ✓ The efficiency of this approach is typically very high  $\mathcal{O}(10\%)$
- ✗ The  $c$ -jet purity is often lower than these “traditional” approaches
- More suited for use with machine learning (ML) techniques

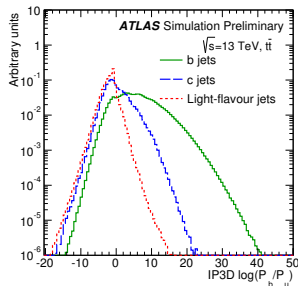
**ATLAS have developed an “inclusive”  $c$ -tagging algorithm based on several “low level” taggers combined into a “high level” tagger using ML techniques**

The signed IPs of tracks associated to jets are powerful jet flavour discriminants:

- Exploit “sign” of impact parameter: positive if track point of closest approach to PV is downstream of plane defined by the PV and jet axis
- Tracks from  $b$ -hadrons tend to have highly significant ( $IP/\sigma_{IP}$ ) positive IPs, while most tracks from the PV have a narrow, symmetric distribution
- ✓ Very inclusive and highly efficient
- ✗ Relies upon accurate measurement of jet axis, sensitive to “mis-tag” high IP tracks from  $V^0$  decays or material interactions,  $IP/\sigma_{IP}$  difficult to model in detector simulation



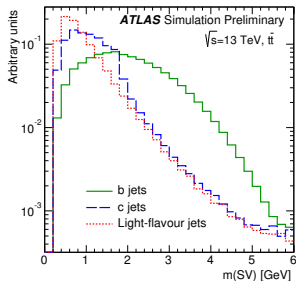
Left: Transverse IP significance distribution



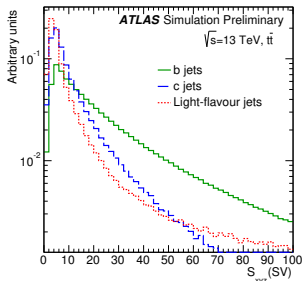
Right: likelihood ratio discriminant based on 3D IPs of tracks

Exploit expectation of a secondary vertex from either  $b$  or  $c$ -hadron decays:

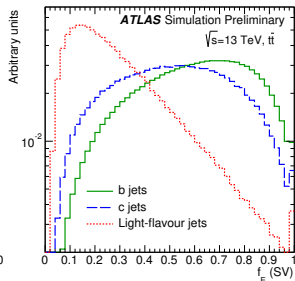
- Attempt to reconstruct a secondary vertex from high IP tracks associated with jet
- Use invariant mass of tracks at SV to discriminate  $b$  or  $c$ -hadron decay vertices from  $V^0$  decays or material interactions
- Exploit hard  $c/b$ -jet fragmentation, SV should carry a large fraction of jet energy
- ✓ SV found in up to  $\approx 80\%$  of  $b$ -jets but only a few % of light flavour jets
- ✗ Degraded light jet rejection as jet  $p_T$  increases, careful considerations to mitigate “tagging” of material interactions required



Left: Inv. mass of tracks at SV



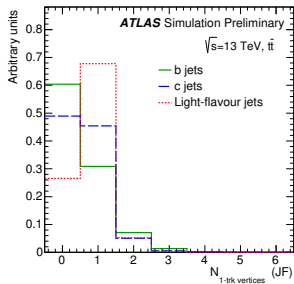
Centre: 3D SV decay length significance



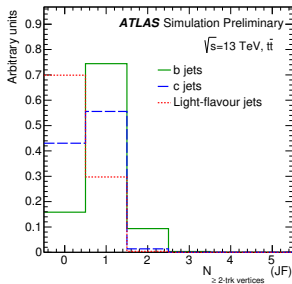
Right: Energy fraction of SV tracks

Exploit common occurrence of cascade decay chain;  $b$ -hadron  $\rightarrow$   $c$ -hadron:

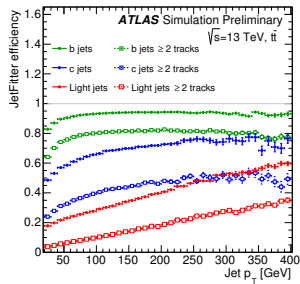
- Use Kalman filter to search for common axis on which three vertices lie: primary ( $pp$ )  $\rightarrow$  secondary ( $b$ -hadron)  $\rightarrow$  tertiary ( $c$ -hadron)
- Can then look for “1 track vertices” with decay chain axis
- ✓ Addition of 1 track vertices improves efficiency, constraint to decay chain axis improves separation power of SV based discriminants
- ✗ Degraded performance for  $c/b$ -hadron vertices as jet  $p_T$  increases, high fake rate for 1 track vertices (increases light jet “mis-tag” rate)



Left: Multiplicity of 1 track vertices



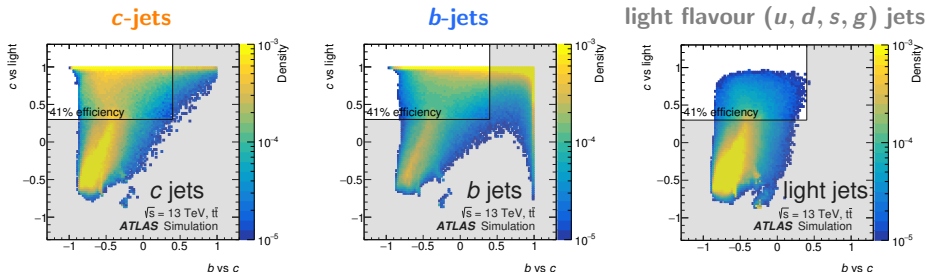
Centre: Multiplicity of 2+ track vertices



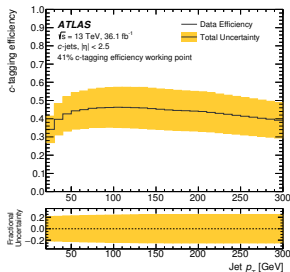
Right: Reco. efficiency vs. jet  $p_T$

Combine approaches to exploit all features of  $c/b$ -jets and mitigate the shortcomings of the individual methods:

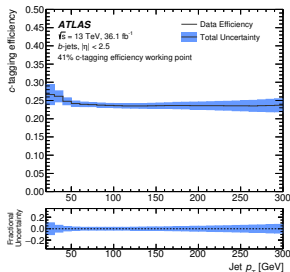
- ✓ Benefit from the advantages of all basic techniques/algorithms
- ✗ Complex sensitivity to convolution of all detector and physics modelling issues relies strongly on “calibration” in data (see next slide)
- Use the output of the three basic approaches as input to a boosted decision tree (BDT) to build two discriminants, one trained to separate  $c$ -jets from  $b$ -jets ( $x$ -axis), another to separate  $c$ -jets from light-jets ( $y$ -axis)



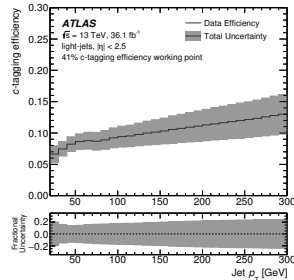
“ $c$ -tag” jets by making a cut in the 2D discriminant space, working point optimised for  $H \rightarrow c\bar{c}$  limit is shown in the rectangular selection (shaded region rejected)



**$c$ -jets**



**$b$ -jets**



**light flavour ( $u, d, s, g$ ) jets**

**$c$ -tagging efficiency for  $b$ -,  $c$ - and light flavour jets measured in data  $\uparrow$**

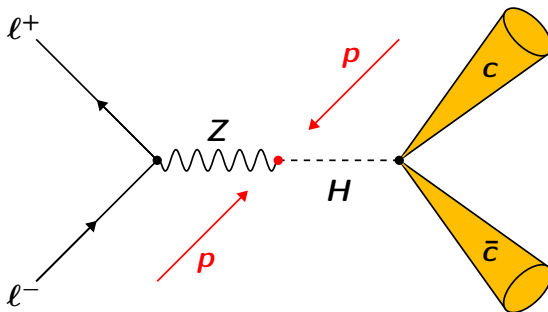
- Working point for  $H \rightarrow c\bar{c}$  exhibits a  $c$ -jet tagging efficiency of around 40%
- Rejects  $b$ -jets by around a factor  $4\times$  and light jets by around a factor  $10\times$
- Efficiency calibrated in data with samples of  $b$ -jets from  $t \rightarrow Wb$  decays and  $c$ -jets from  $W \rightarrow cs, cd$  decays (in  $t\bar{t}$  events)
- Typical total relative uncertainties of around 25%, 5% and 20% for  $c$ -,  $b$ - and light jets, respectively

## Part II - Search for $H \rightarrow c\bar{c}$ decays with ATLAS

How can we use the “charm tagger” to search for  $H \rightarrow c\bar{c}$  decays?



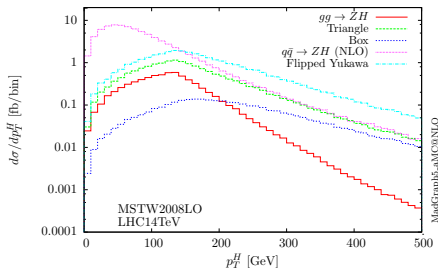
Given the success of the  $W/Z$  associated production channel in observing  $H \rightarrow b\bar{b}$  decays<sup>†</sup>, this channel is an obvious first candidate for a  $H \rightarrow c\bar{c}$  search



- **Focus on  $ZH$  production** with  $Z \rightarrow e^+e^-$  and  $Z \rightarrow \mu^+\mu^-$  decays for first ATLAS analysis: **Phys. Rev. Lett. 120 (2018) 211802**, [arXiv:1802.04329](https://arxiv.org/abs/1802.04329)
- Low exposure to experimental uncertainties, main backgrounds from  $Z + \text{jets}$ ,  $Z(W/Z)$  and  $t\bar{t}$
- Pioneer use of **new  $c$ -tagging algorithm** developed by ATLAS for Run 2 to identify the experimental signature of an inclusive  $H \rightarrow c\bar{c}$  decay

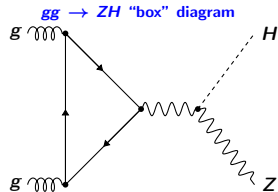
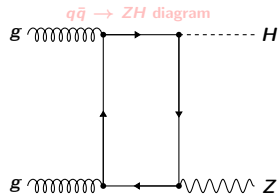
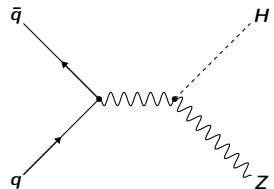
<sup>†</sup> ATLAS: Phys. Lett. B 786 (2018) 59 CMS: Phys. Rev. Lett. 121 (2018) 121801

- In  $\sqrt{s} = 13$  TeV  $pp$  collisions, Higgs boson production in association with a  $Z$  boson represents around 1.6% of the inclusive production rate
- The cross-section is dominated by the  $q\bar{q} \rightarrow ZH$  process, with total cross-section  $\sigma_{q\bar{q}} \approx 0.76$  pb
- Smaller contributions from  $gg \rightarrow ZH$ , with total cross-section  $\sigma_{gg} \approx 0.12$  pb, though it exhibits a harder  $p_T^H$  spectrum below  $\approx 150$  GeV



↑  $p_T^H$  distribution for  $q\bar{q}$  and  $gg$  initiated  $ZH$  production (from arXiv:1503.01656)

Representative Feynman diagrams for  $q\bar{q}/gg \rightarrow ZH$  processes →



$gg \rightarrow ZH$  "triangle" diagram

Use a  $\sqrt{s} = 13$  TeV  $pp$  collision sample collected during 2015 and 2016 corresponding to an integrated luminosity of  $36.1 \text{ fb}^{-1}$

### $Z \rightarrow \ell^+ \ell^-$ Selection

- Trigger with lowest available  $p_T$  single electron or muon triggers
- Exactly two same flavour reconstructed leptons ( $e$  or  $\mu$ )
- Both leptons  $p_T > 7$  GeV and at least one with  $p_T > 27$  GeV
- Require opposite charges (dimuons only)
- $81 < m_{\ell\ell} < 101$  GeV
- $p_T^Z > 75$  GeV

### $H \rightarrow c\bar{c}$ Selection

- Consider anti- $k_T$   $R = 0.4$  calorimeter jets with  $|\eta| < 2.5$  and  $p_T > 20$  GeV
- At least two jets with leading jet  $p_T > 45$  GeV
- Form  $H \rightarrow c\bar{c}$  candidate from the two highest  $p_T$  jets in an event
- At least one  $c$ -tagged jet from  $H \rightarrow c\bar{c}$  candidate
- Dijet angular separation  $\Delta R_{jj}$  requirement which varies with  $p_T^Z$

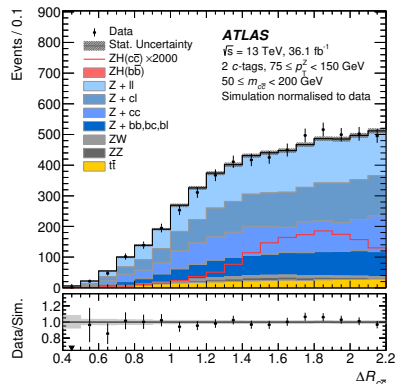
Split events into 4 categories (with varying S/B) based on  $H \rightarrow c\bar{c}$  candidates with 1 or 2  $c$ -tags and  $p_T^Z$  above/below 150 GeV

## Background Modelling

- Background dominated by  $Z + \text{jets} \rightarrow$  (enriched in heavy flavour jets)
- Smaller contributions from  $ZZ(q\bar{q})$ ,  $ZW(q\bar{q}')$  and  $t\bar{t}$
- Negligible ( $< 0.5\%$ ) contributions from  $W + \text{jets}$ ,  $WW$ , single-top and multi-jet

Simulation of  $ZH(c\bar{c}/b\bar{b})$ 

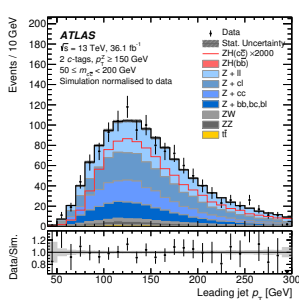
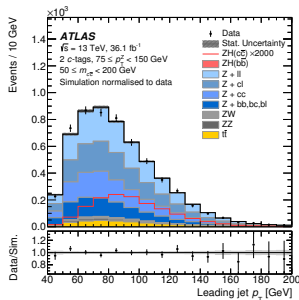
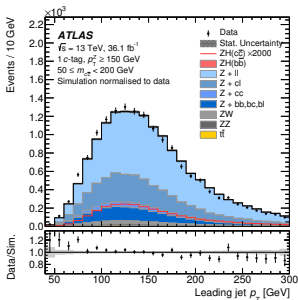
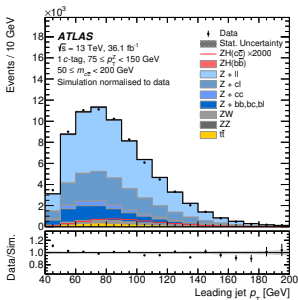
- Normalised with LHC Higgs XS WG YR4 recommendations (arXiv:1610.07922)
- $ZH(b\bar{b})$  treated as background normalised to SM expectation (with th. uncertainty)



Process	MC Generator	Normalisation Cross section
$q\bar{q} \rightarrow ZH(c\bar{c}/b\bar{b})$	Powheg+GoSaM+MiNLO+Pythia8	NNLO (QCD) NLO (EW)
$g\bar{g} \rightarrow ZH(c\bar{c}/b\bar{b})$	Powheg+Pythia8	NLO+NLL (QCD)
$Z + \text{jets}$	Sherpa 2.2.1	NNLO
$ZZ$ and $ZW$	Sherpa 2.2.1	NLO
$t\bar{t}$	Powheg+Pythia8	NNLO+NNLL

The nominal MC generators used to model the signal and backgrounds

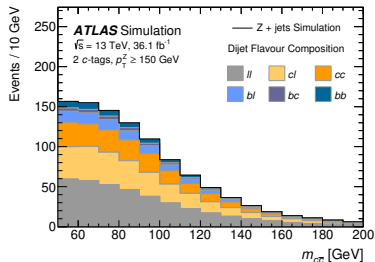
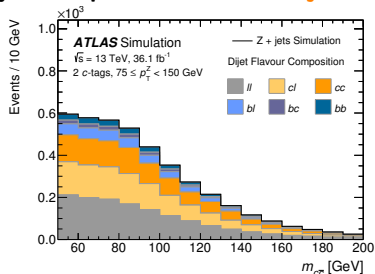
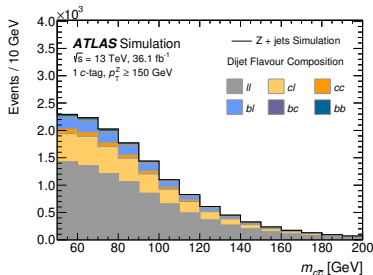
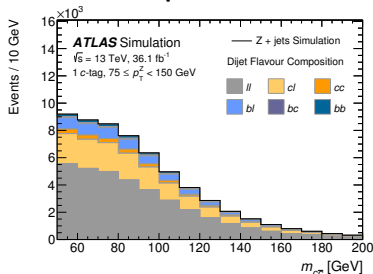
↓ Left: 1  $c$ -tag events



↑ Right: 2  $c$ -tag events

## Flavour composition of the Z + jets sample enriched with c-jets

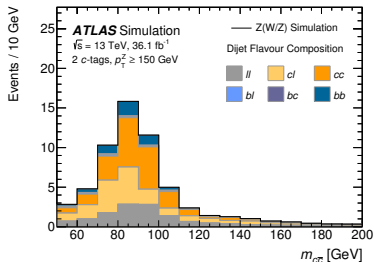
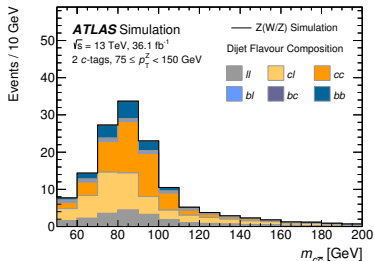
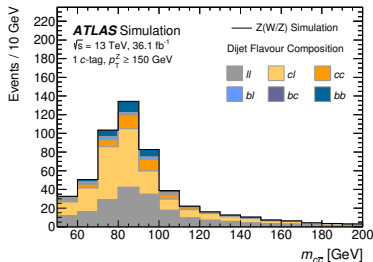
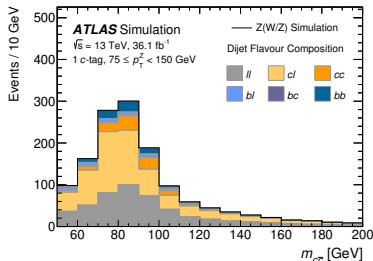
↓ Left: 1 c-tag events



↑ Right: 2 c-tag events

$c$ -tagged ZZ and ZW production enriched in  $Z \rightarrow c\bar{c}$  and  $W \rightarrow cs, cd$  decays

↓ Left: 1  $c$ -tag events



↑ Right: 2  $c$ -tag events

## Statistical Model

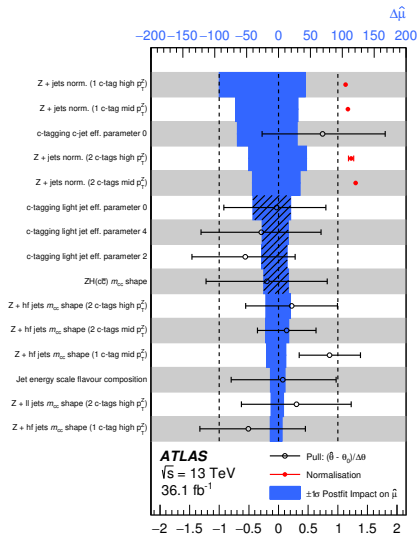
- Use the  $H \rightarrow c\bar{c}$  candidate invariant mass  $m_{c\bar{c}}$  as S/B discriminant
- Perform simultaneous binned likelihood fit to 4 categories within region  $50 < m_{c\bar{c}} < 200$  GeV
- $ZH(c\bar{c})$  signal parameterised with free signal strength parameter,  $\mu$ , common to all categories
- $Z + \text{jets}$  background determined directly from data with separate free normalisation parameter for each of the four categories

## Systematic Uncertainties

- Included in the fit model as constrained nuisance parameters which parametrize the constraints from auxiliary measurements (e.g. lepton/jet calibrations)
- Experimental uncertainties associated with luminosity,  $c$ -tagging, lepton and jet performance are all included in the model
- Normalisation, acceptance and  $m_{c\bar{c}}$  shape uncertainties associated with signal and background simulation are also included



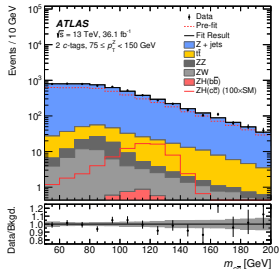
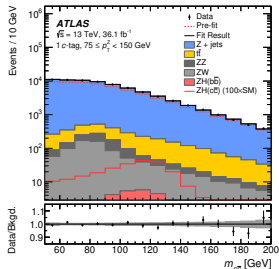
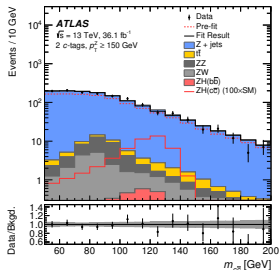
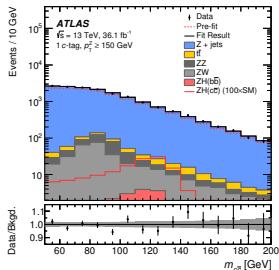
**Sensitivity dominated by systematic uncertainties, clear that these uncertainties should be reduced in order to fully exploit a larger dataset in the future**



Source	$\sigma/\sigma_{\text{tot}}$
<b>Statistical</b>	49%
Floating Z + jets Normalisation	31%
<b>Systematic</b>	87%
Flavour Tagging	73%
Background Modeling	47%
Lepton, Jet and Luminosity	28%
Signal Modeling	28%
MC statistical	6%

**Note: correlations between nuisance parameters within groups leads to  $\sum_i \sigma_i^2 \neq \sigma_{\text{syst}}^2$ .**

- c-tagging uncertainties and background modelling (particularly Z + jets  $m_{c\bar{c}}$  shape) have the dominant impact
- However, we can expect many of these uncertainties (e.g. Z + jets norm.) to reduce with a larger dataset

1  $c$ -tag2  $c$ -tags $p_T^Z > 150 \text{ GeV}$  $75 < p_T^Z < 150 \text{ GeV}$ 

- No significant evidence for  $ZH(c\bar{c})$  production
- Data consistent with background only hypothesis

SM expected number  
of  $ZH(c\bar{c})$  events

1  $c$ -tag  $75 < p_T^Z < 150 \text{ GeV}$

2.1

1  $c$ -tag  $p_T^Z > 150 \text{ GeV}$

1.2

2  $c$ -tags  $75 < p_T^Z < 150 \text{ GeV}$

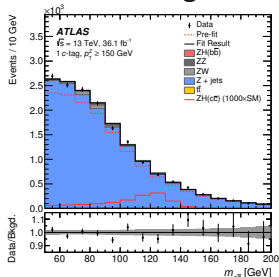
0.5

2  $c$ -tags  $p_T^Z > 150 \text{ GeV}$

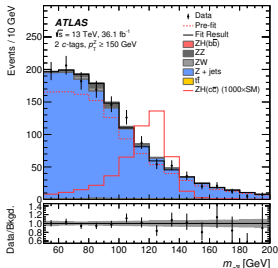
0.3

$p_T^Z > 150 \text{ GeV}$

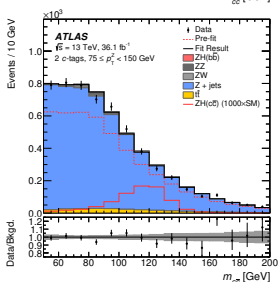
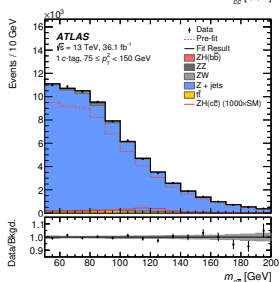
1 c-tag



2 c-tags



$75 < p_T^Z < 150 \text{ GeV}$



- No significant evidence for  $ZH(c\bar{c})$  production
- Data consistent with background only hypothesis

SM expected number  
of  $ZH(c\bar{c})$  events

1 c-tag  $75 < p_T^Z < 150 \text{ GeV}$

2.1

1 c-tag  $p_T^Z > 150 \text{ GeV}$

1.2

2 c-tags  $75 < p_T^Z < 150 \text{ GeV}$

0.5

2 c-tags  $p_T^Z > 150 \text{ GeV}$

0.3

### Cross check with $ZV$ production

- To validate background modelling and uncertainty prescriptions, measure production rate of the sum of  $ZZ$  and  $ZW$  relative to the SM expectation
- Observe (expect)  $ZV$  production with significance of  $1.4\sigma$  ( $2.2\sigma$ )
- Measure  $ZV$  signal strength of  $0.6^{+0.5}_{-0.4}$ , consistent with SM expectation

### Limits on $ZH(c\bar{c})$ production

95% CL $CL_s$ upper limit on $\sigma(pp \rightarrow ZH) \times \mathcal{B}(H \rightarrow c\bar{c})$ [pb]			
Observed	Median Expected	Expected $+1\sigma$	Expected $-1\sigma$
<b>2.7</b>	3.9	6.0	2.8

- No evidence for  $ZH(c\bar{c})$  production with current dataset (as expected)
- Upper limit of  $\sigma(pp \rightarrow ZH) \times \mathcal{B}(H \rightarrow c\bar{c}) < 2.7 \text{ pb}$  set at 95% CL, to be compared to an SM value of  $2.55 \times 10^{-2} \text{ pb}$
- Corresponds to **110×** ( $150^{+80}_{-40}$  expected) the SM expectation

**World's most stringent direct constraint on  $H \rightarrow c\bar{c}$  decays!**

⚠ **None of the following interpretation is sanctioned by ATLAS, responsibility lies solely with me!** However, everything is calculated using *published information alone...*

**Ultimate goal is derive a model independent constraint on  $Hc\bar{c}$  coupling, best way to do this is to exploit synergy with  $ZH, H \rightarrow b\bar{b}$  channel**

- Consider the ratio of  $\mu_{ZH(c\bar{c})}/\mu_{ZH(b\bar{b})}$  for the  $Z \rightarrow \ell^+\ell^-$  channel
- Sensitive to ratio  $\kappa_c/\kappa_b$  and independent of model dependent assumption on  $\Gamma_H$
- Assume production is identical between  $ZH(c\bar{c})$  and  $ZH(b\bar{b})$  (i.e. selection phase space, categories etc.), leading to perfect cancellation of production cross-sections

$$\mu_{ZH(c\bar{c})} = \frac{\Gamma_{H \rightarrow c\bar{c}}}{\Gamma_{H \rightarrow c\bar{c}}^{\text{SM}}} \cdot \frac{\Gamma_H^{\text{SM}}}{\Gamma_H} \cdot \frac{\sigma(pp \rightarrow ZH)}{\sigma^{\text{SM}}(pp \rightarrow ZH)} = \kappa_c^2 \cdot \frac{\Gamma_H^{\text{SM}}}{\Gamma_H} \cdot \frac{\sigma(pp \rightarrow ZH)}{\sigma^{\text{SM}}(pp \rightarrow ZH)}$$

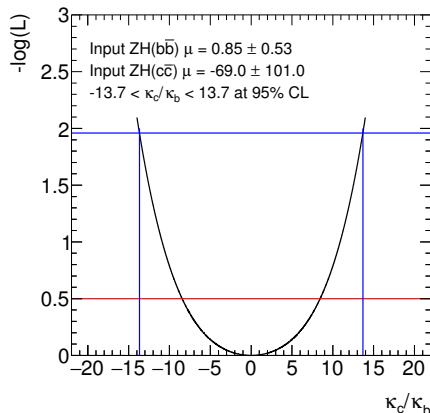
$$\mu_{ZH(b\bar{b})} = \frac{\Gamma_{H \rightarrow b\bar{b}}}{\Gamma_{H \rightarrow b\bar{b}}^{\text{SM}}} \cdot \frac{\Gamma_H^{\text{SM}}}{\Gamma_H} \cdot \frac{\sigma(pp \rightarrow ZH)}{\sigma^{\text{SM}}(pp \rightarrow ZH)} = \kappa_b^2 \cdot \frac{\Gamma_H^{\text{SM}}}{\Gamma_H} \cdot \frac{\sigma(pp \rightarrow ZH)}{\sigma^{\text{SM}}(pp \rightarrow ZH)}$$

$$\frac{\mu_{ZH(c\bar{c})}}{\mu_{ZH(b\bar{b})}} = \left( \frac{\kappa_c}{\kappa_b} \right)^2$$

- For now, consider systematic uncertainties for  $ZH(c\bar{c})$  and  $ZH(b\bar{b})$  as uncorrelated

### What is the current sensitivity to $\kappa_c/\kappa_b$ ?

- Consider existing  $ZH(c\bar{c})$  result and “combine” with recent ATLAS 80 fb<sup>-1</sup>  $Z(\ell\ell)H(b\bar{b})$  measurement<sup>†</sup>
- Small differences in selection and categories, but production cancellation hypothesis likely not too bad
- Treatment of systematics as un-correlated should give a more conservative constraint on  $\kappa_c/\kappa_b$



Existing results offer constraint at the level of  $|\kappa_c/\kappa_b| < 14$  at 95% CL

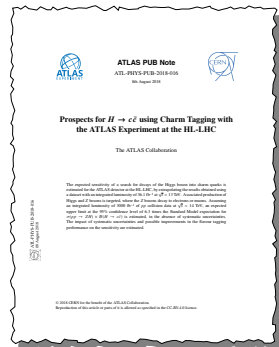
- This is only possible when considering combination with  $ZH(b\bar{b})$ , not enough constraint (even with assumption for  $\Gamma_H$ ) with  $ZH(c\bar{c})$  analysis alone

<sup>†</sup> Phys. Lett. B 786 (2018) 134 (arXiv:1807.00802)

## ATL-PHYS-PUB-2018-016

What sensitivity can we expect for a HL-LHC scenario with a  $\sqrt{s} = 14$  TeV  $3000 \text{ fb}^{-1}$  dataset?

- A projection of the existing  $Z(\ell\ell)H, H \rightarrow c\bar{c}$  analysis was prepared for the upcoming HL-LHC physics yellow report
- Generally very similar to the Run 2 analysis, with several minor changes (described below)



## Differences

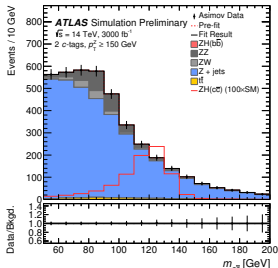
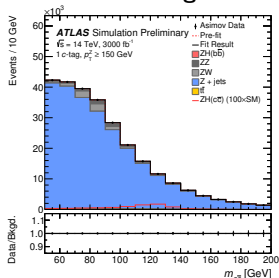
## Similarities

- Consider  $Z(\ell\ell)H$  channel only (no addition of  $W(\ell\nu)H$  or  $Z(\nu\nu)H$ )
- Identical event selection, categorisation and fit procedure
- Move to a tighter  $c$ -tagging working point (18%  $c$ -jet, 5%  $b$ -jets, 0.5% light jets)
- Don't consider systematic uncertainties (though their effect is estimated)

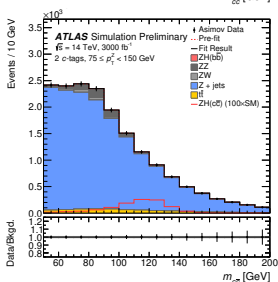
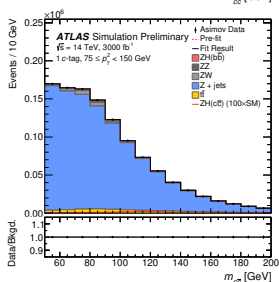
## 1 c-tag

## 2 c-tags

$p_T^Z > 150 \text{ GeV}$




$75 < p_T^Z < 150 \text{ GeV}$



- Result of fit to expected (“Asimov”) dataset for  $3000 \text{ fb}^{-1}$
- Background composition (in terms of “process”) very similar
- Di-jet flavour composition now more c-jet enriched (you can't see that from these plots)



## Projected Results

- Expected limit on  $Z(\ell\ell)H, H \rightarrow c\bar{c}$  production at  $6.3 \times$  SM prediction at 95% CL (c.f.  $150 \times$  expected for  $36.1 \text{ fb}^{-1}$  at 13 TeV)
-  Corresponds to around  $|\kappa_c/\kappa_b| < 3$  (with naive scaling of ATLAS Run 2  $ZH(b\bar{b})$  result based on luminosity only)

## Things to remember

- Limit deteriorates by up to +36% with the inclusion of systematic uncertainties (estimated from Run 2 analysis)
- Projection considers the  **$Z(\ell\ell)H$  channel alone!** (sensitivity of  $W(\ell\nu)H$  and  $Z(\nu\nu)H$  channels at least as good)



As before, this is NOT an ATLAS result, but my estimate based on public information alone

## Summary

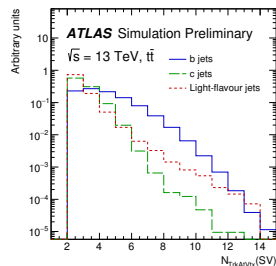
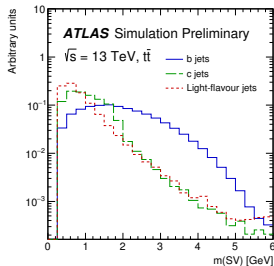
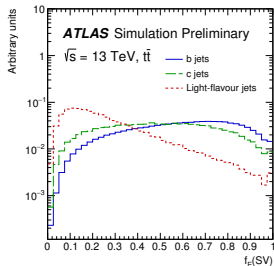
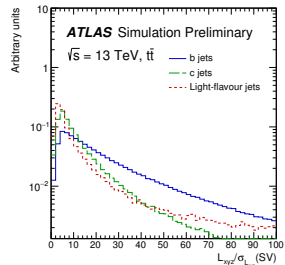
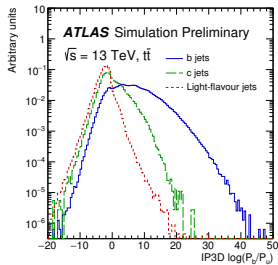
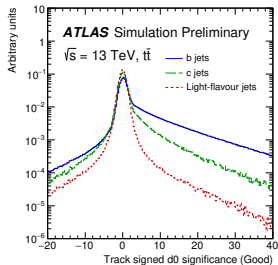
- Search for  $pp \rightarrow ZH, H \rightarrow c\bar{c}$  production with  $c$ -tagging techniques provides limit of  $\sigma(pp \rightarrow ZH) \times \mathcal{B}(H \rightarrow c\bar{c}) < 2.7 \text{ pb}$  ( $110\times$  SM expectation) at 95% CL
- Corresponds (roughly) to constraint of  $|\kappa_c/\kappa_b| < 14$ , when considered within the context the latest ATLAS  $ZH, H \rightarrow b\bar{b}$  measurement
- Limit expected to improve to  $6\times$  SM expectation for nominal HL-LHC scenario
- This inclusive channel is more sensitive to the  $Hc\bar{c}$  coupling than the  $H \rightarrow J/\psi \gamma$  decay, but comparable to approaches based on modified  $g_c \rightarrow Hc$  production
- Clear that no single approach can yet claim it will manage to probe the  $Hc\bar{c}$  coupling down to the SM prediction by the end of the LHC era

What next for inclusive  $H \rightarrow c\bar{c}$  decays?

- Large gains in sensitivity possible with multivariate techniques and other  $VH$  channels ( $W(\ell\nu)$  and  $Z(\nu\nu)$ )
- Performance of  $c$ -tagging is developing rapidly, next generation algorithms already exploit advanced ML techniques (ATL-PHYS-PUB-2017-013), huge scope for innovation!
- Much to gain (e.g. sensitivity to  $\kappa_c/\kappa_b$ ) from synchronisation with  $VH(b\bar{b})$  channel

Thank you for your attention!

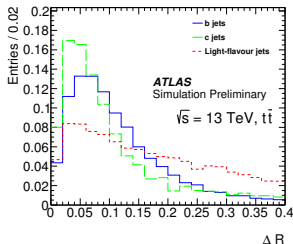
# **Additional Slides**



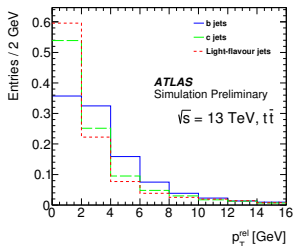
More details in [ATL-PHYS-PUB-2016-012](#)

Exploit the large branching fractions for the semi-leptonic  $c/b$  hadron decays and the clean “muon-in-jet” experimental signature:

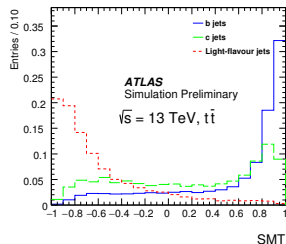
- Expect much higher rate of muons within  $b/c$ -jets, relative to light flavour jets, due to the decays  $B \rightarrow \mu\nu X$  and  $B \rightarrow DX \rightarrow \mu\nu X'$  ( $B$  of around 10% each)
- ✓ Complementary to SV and IP based taggers, different  $c/b$  hadron properties exploited and ATLAS detector components employed
- ✗ Light flavour jet backgrounds from muons produced in  $\pi/K$  decays in flight difficult to model in simulation



Left:  $\Delta R$  of muon w.r.t. jet axis



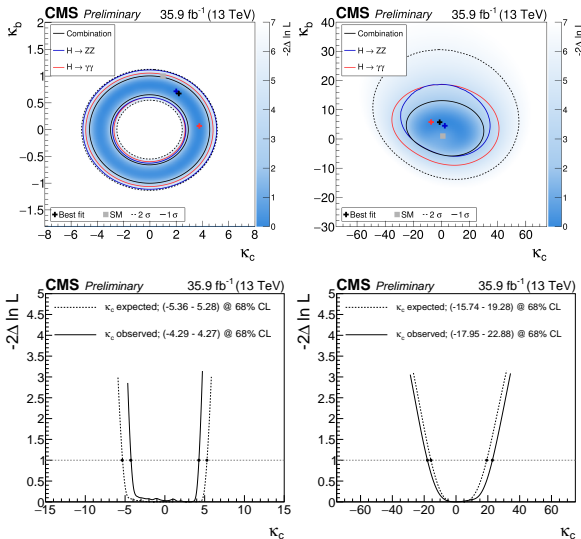
Centre:  $p_T^{\text{rel}}$  of muon relative to the jet axis  
 observables



Right: BDT built from muon

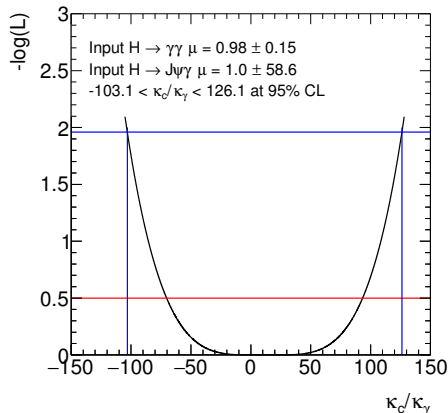
Top:  $\kappa_c$  vs.  $\kappa_b$

Bottom:  $\kappa_c$ , profiling  $\kappa_b$



Left: Normalisation + shape information

Right: Only shape information



- Consider the ratio of signal strength measurements for  $H \rightarrow J/\psi \gamma$  w.r.t.  $H \rightarrow \gamma\gamma$
- Dependence on  $\Gamma_H$  and  $\sigma(pp \rightarrow H)$  (approximately) cancels in this ratio, sensitive to  $\kappa_C/\kappa_\gamma$
- Figure above based on ATLAS Run 2  $H \rightarrow J/\psi \gamma$  search and latest  $H \rightarrow \gamma\gamma$  measurement (arXiv:1802.04146)



This is NOT an ATLAS result, but my estimate based on public information alone

Focus on the experimentally clean  $J/\psi \rightarrow \mu^+ \mu^-$  decays  
and target high rate inclusive  $H$  production

### Trigger and Data Sample

- Dedicated photon + single muon triggers implemented to identify distinctive event topology
- Collected  $36.1 \text{ fb}^{-1}$   
 $\sqrt{s} = 13 \text{ TeV } pp$  dataset during the 2015 and 2016 LHC runs

### $J/\psi$ Selection

- Require  $m_{\mu^+ \mu^-}$  loosely consistent with  $J/\psi$  mass
- **Minimum  $p_T^{J/\psi}$  requirement** varying with  $m_{J/\psi \gamma}$  from 34 – 54.4 GeV, depending on channel (to optimise both  $H$  and  $Z$  searches)

### Photon Selection

- “Tight” photon ID requirements
- Isolated in both tracker and calorimeter

$$\Delta\phi(J/\psi, \gamma) > \pi/2$$

$$p_T^\gamma > 35 \text{ GeV}$$

$$p_T^{\mu \text{ lead}} > 18 \text{ GeV}$$

$$p_T^{\mu \text{ sub-lead}} > 3 \text{ GeV}$$

### Di-muon Selection

- Oppositely charged pair of muons
- Isolated in tracker (accounting for neighboring muon track)
- $L_{xy}/\sigma_{L_{xy}} < 3$  to reject  $b \rightarrow J/\psi X$

