



# Lepton and Flavour Anomalies: Starting from the Top

Imperial College London, Particle Physics Seminar

Jacob Kempster

26/06/2024





# Jacob Kempster

7 years postdoctoral experience

ATLAS Member for 13 years

## Research Interests

- Experimental particle physicist
- ATLAS Experiment, Large Hadron Collider (LHC)
- [Top quark physics and Effective Field Theory](#)
- [Flavour physics anomalies](#)

## Relevant Roles of Responsibility

- LHC Effective Field Theory Working Group Convener (ATLAS Top)
- ATLAS Top Group EFT Contact
- ATLAS HTop Combination Contact
- British Science Association Community Partner
- (Former) Top+X Working Group Convener
- (Former) Top UK Convener

## Contact

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# This talk

Overview of studies other people have done



discussion of something I did



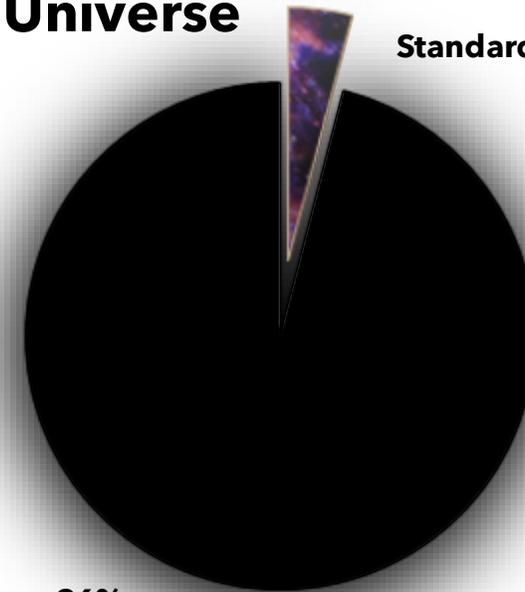
what I think we should do next

# The Problem(s)

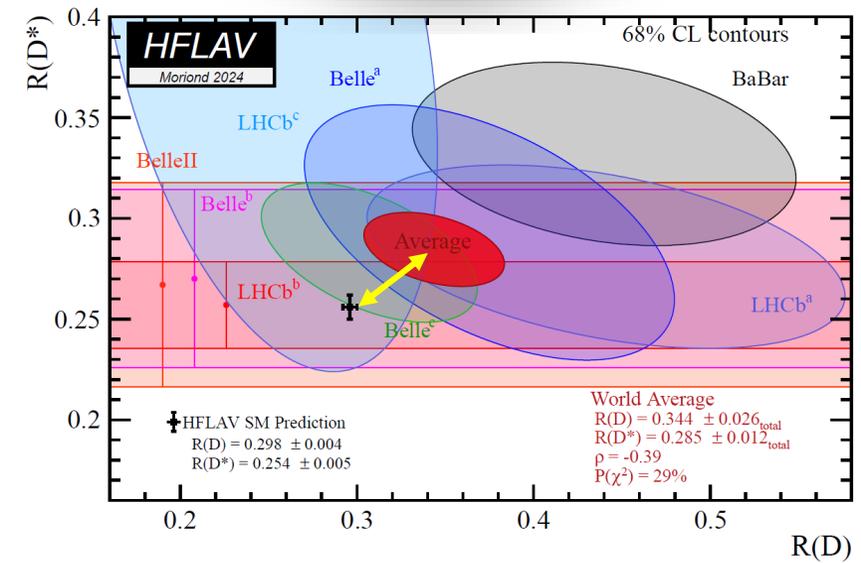
- New physics is elusive, but the Standard Model is incomplete!
- The next fundamental discovery may be outside of the direct energy reach of the Large Hadron Collider (LHC)
- We see hints of anomalous results in  $B$ -physics measurements, and now possibly beginning to appear in Top+X measurements

## The Universe

Standard Model, 4%

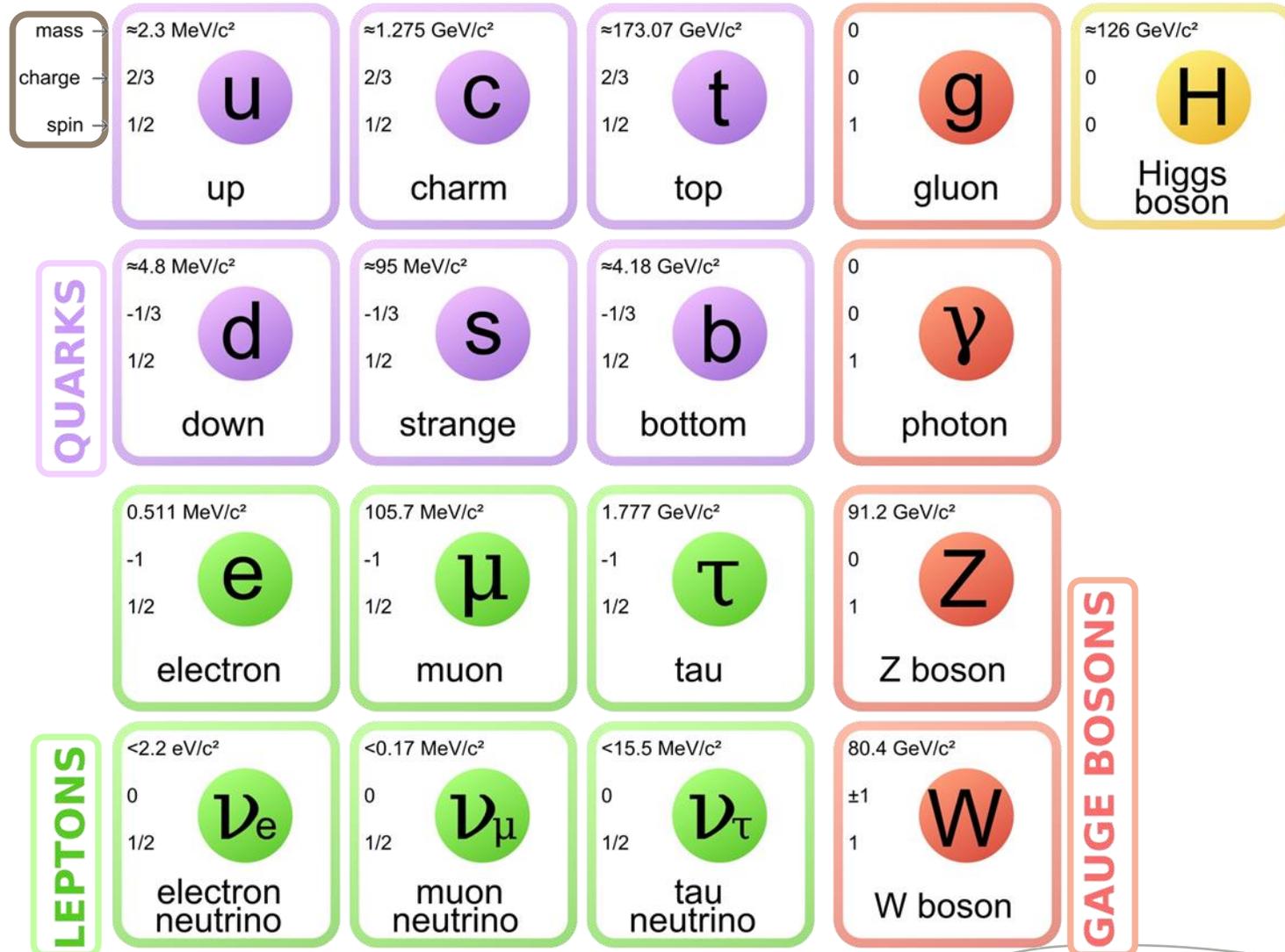


Unknown, 96%

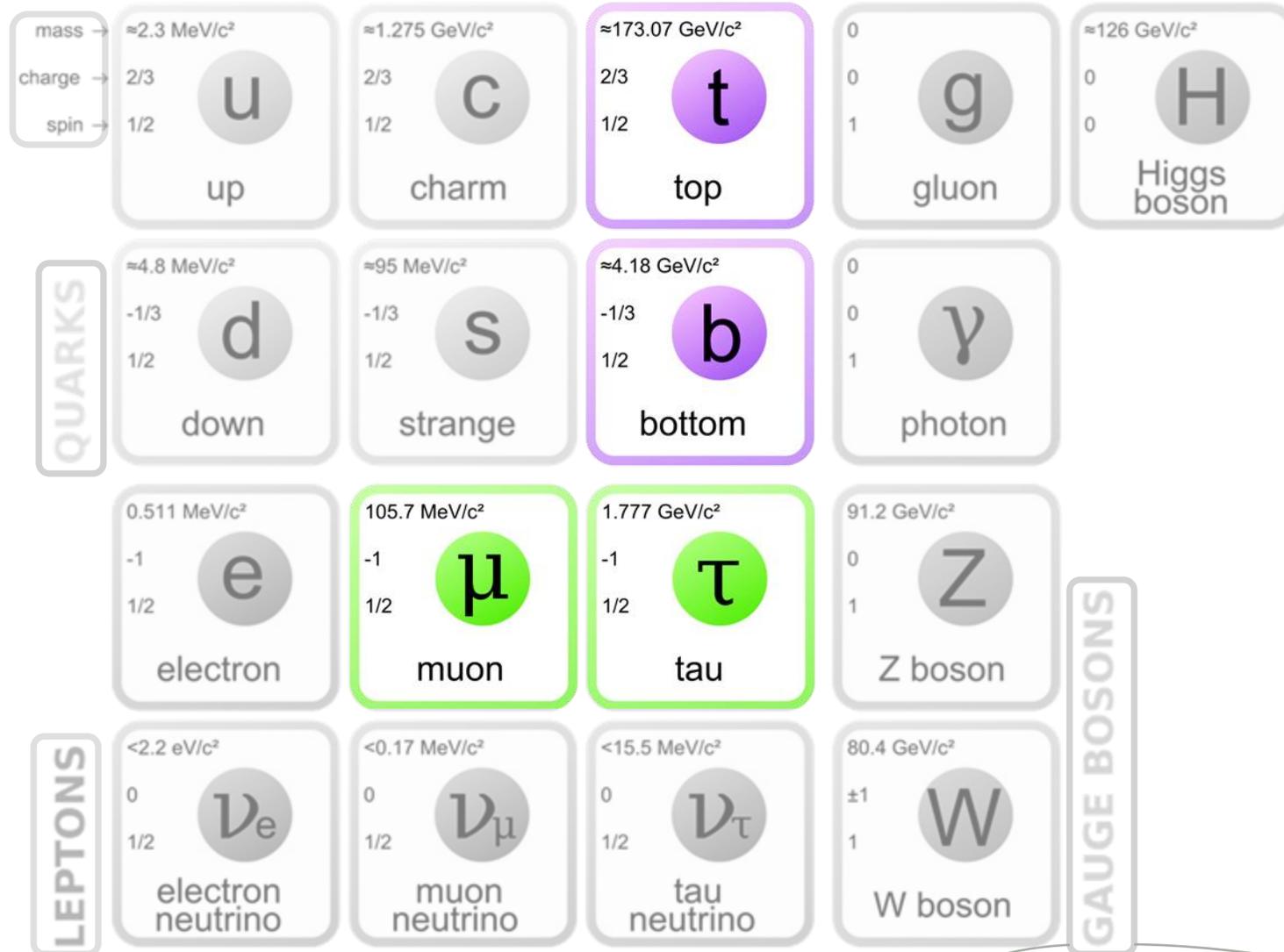


HFLAV

# The Standard Model



# The Standard Model



# Muons behaving badly

# D0 - Tevatron

Counting experiment - how many same-sign muon pairs?

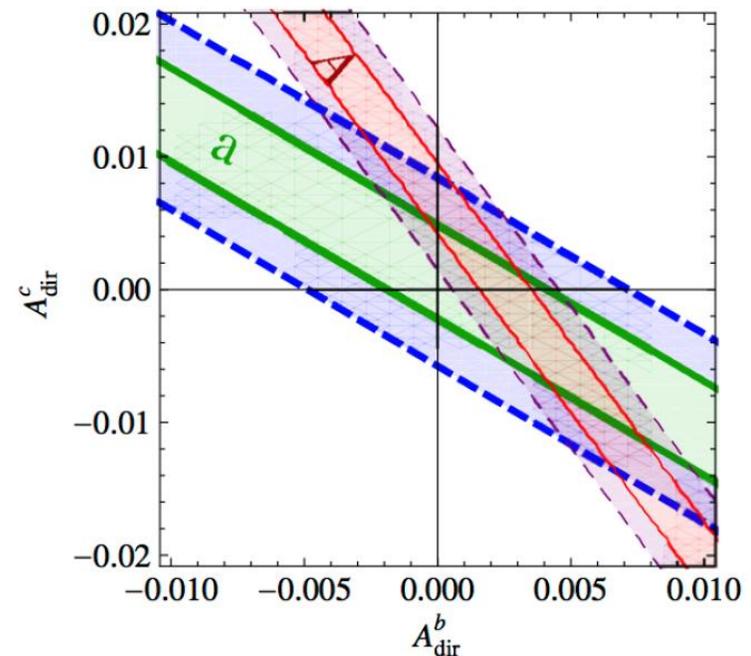
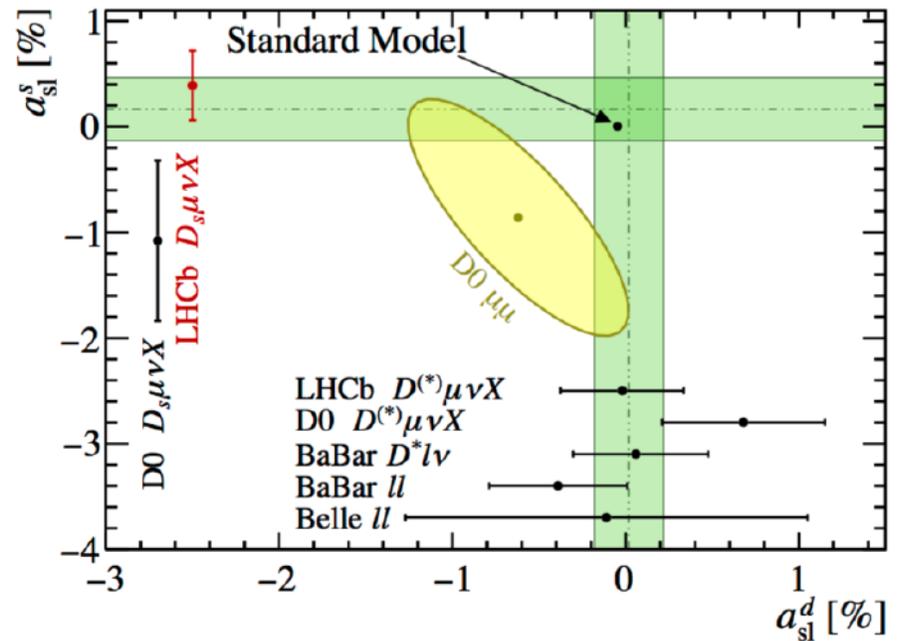
$$A = \frac{(N^{++} - N^{--})}{(N^{++} + N^{--})}$$

(2010-2013) Observed asymmetries up to  $3.9\sigma$  from SM expectation

- [PRD 105 \(2010\) 081801](#)
- [PRD 84 \(2011\) 052007](#)
- [PRD 87 \(2013\) 074020](#)

$$a_{sl}^q = \frac{\Gamma(\bar{B}_q^0 \rightarrow B_q^0 \rightarrow f) - \Gamma(B_q^0 \rightarrow \bar{B}_q^0 \rightarrow \bar{f})}{\Gamma(\bar{B}_q^0 \rightarrow B_q^0 \rightarrow f) + \Gamma(B_q^0 \rightarrow \bar{B}_q^0 \rightarrow \bar{f})},$$

$$a_{dir}^q = \frac{\Gamma(b \rightarrow \mu^- X) - \Gamma(\bar{b} \rightarrow \mu^+ X)}{\Gamma(b \rightarrow \mu^- X) + \Gamma(\bar{b} \rightarrow \mu^+ X)},$$



# Muon g-2 - Fermilab

$$a_\mu = (g_\mu - 2)/2$$

$g_\mu$  = Muon gyromagnetic factor

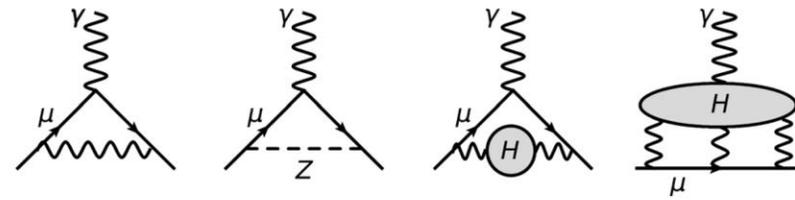


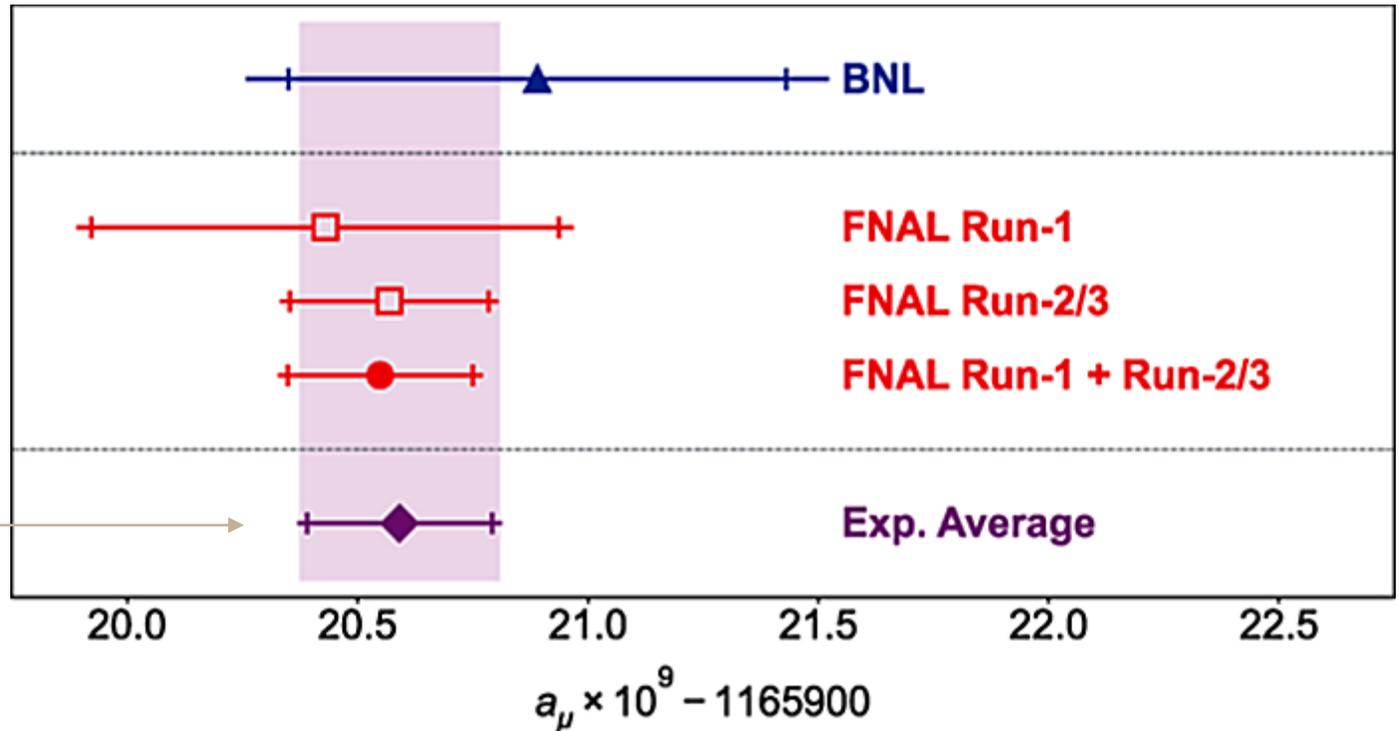
FIG. 1. Feynman diagrams of representative SM contributions to the muon anomaly. From left to right: first-order QED and weak processes, leading-order hadronic (H) vacuum polarization, and hadronic light-by-light contributions.

[PRL 131 \(2023\) 161802](#)

$$a_l^{SM} = a_l^{QED} + a_l^{EW} + a_l^{had}$$

SM Prediction

4.2 $\sigma$



[EPJC 80 \(2020\) 241](#)

# Muon g-2 - Fermilab

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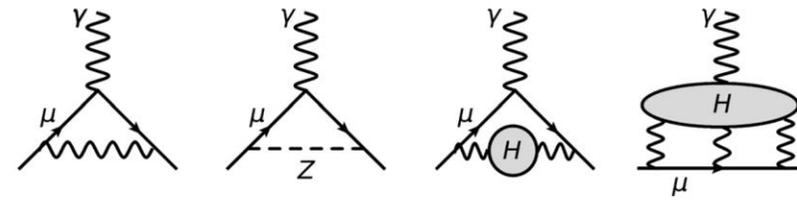
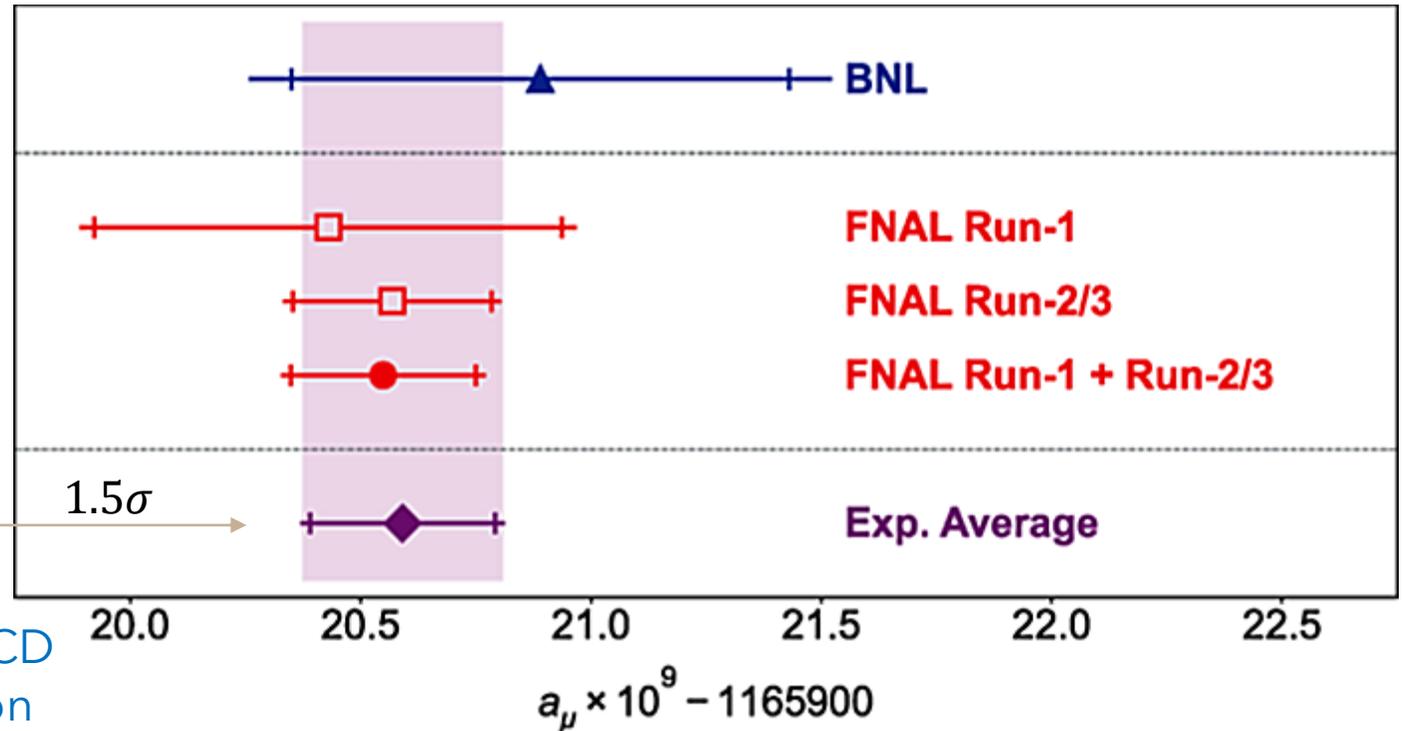


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[PRL 131 \(2023\) 161802](#)

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SM Prediction

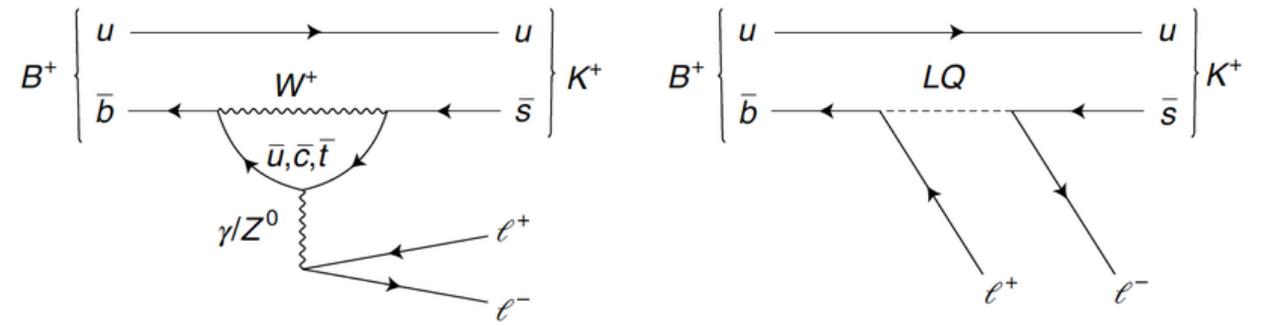
Lattice QCD Prediction

[EPJC 80 \(2020\) 241](#)

# $R(K^{(*)})$ $B \rightarrow s\mu^+\mu^-$

$$R_K = \frac{\text{BR}(B \rightarrow K\mu^+\mu^-)}{\text{BR}(B \rightarrow Ke^+e^-)},$$

$$R_{K^*} = \frac{\text{BR}(B \rightarrow K^*\mu^+\mu^-)}{\text{BR}(B \rightarrow K^*e^+e^-)}.$$

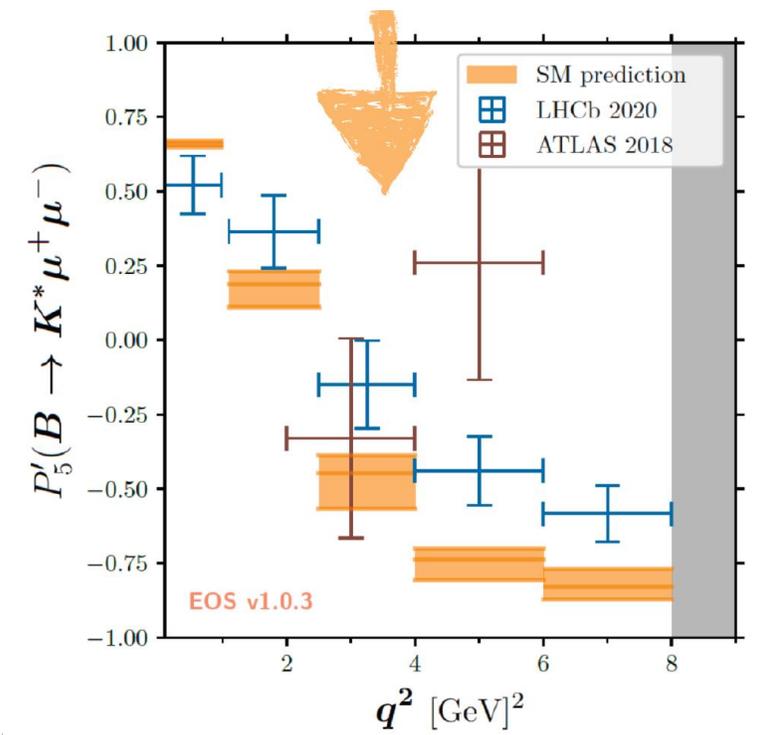
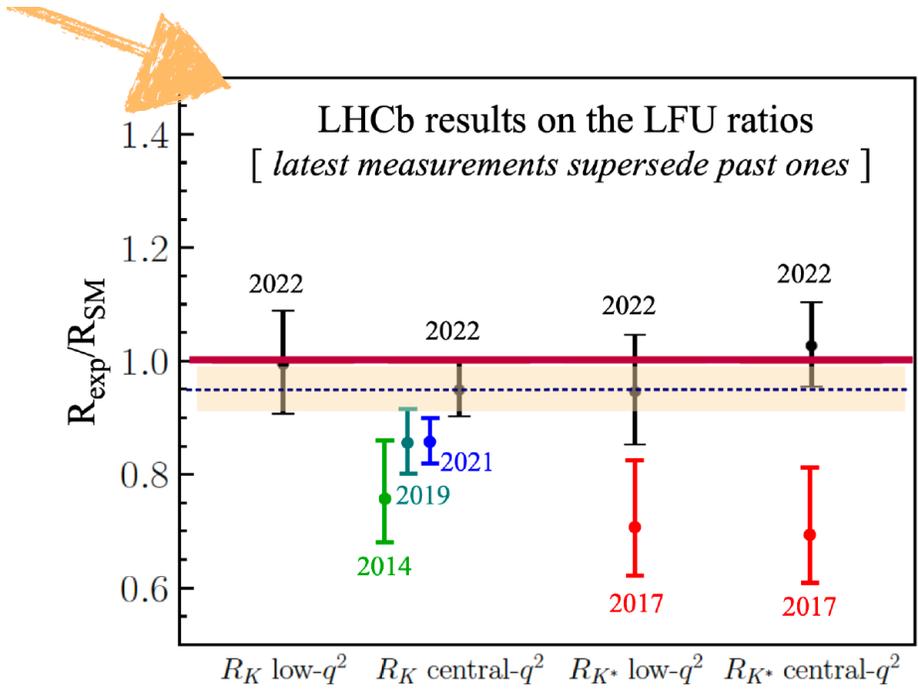


At one point this was up to  $3.1\sigma$  away from the SM

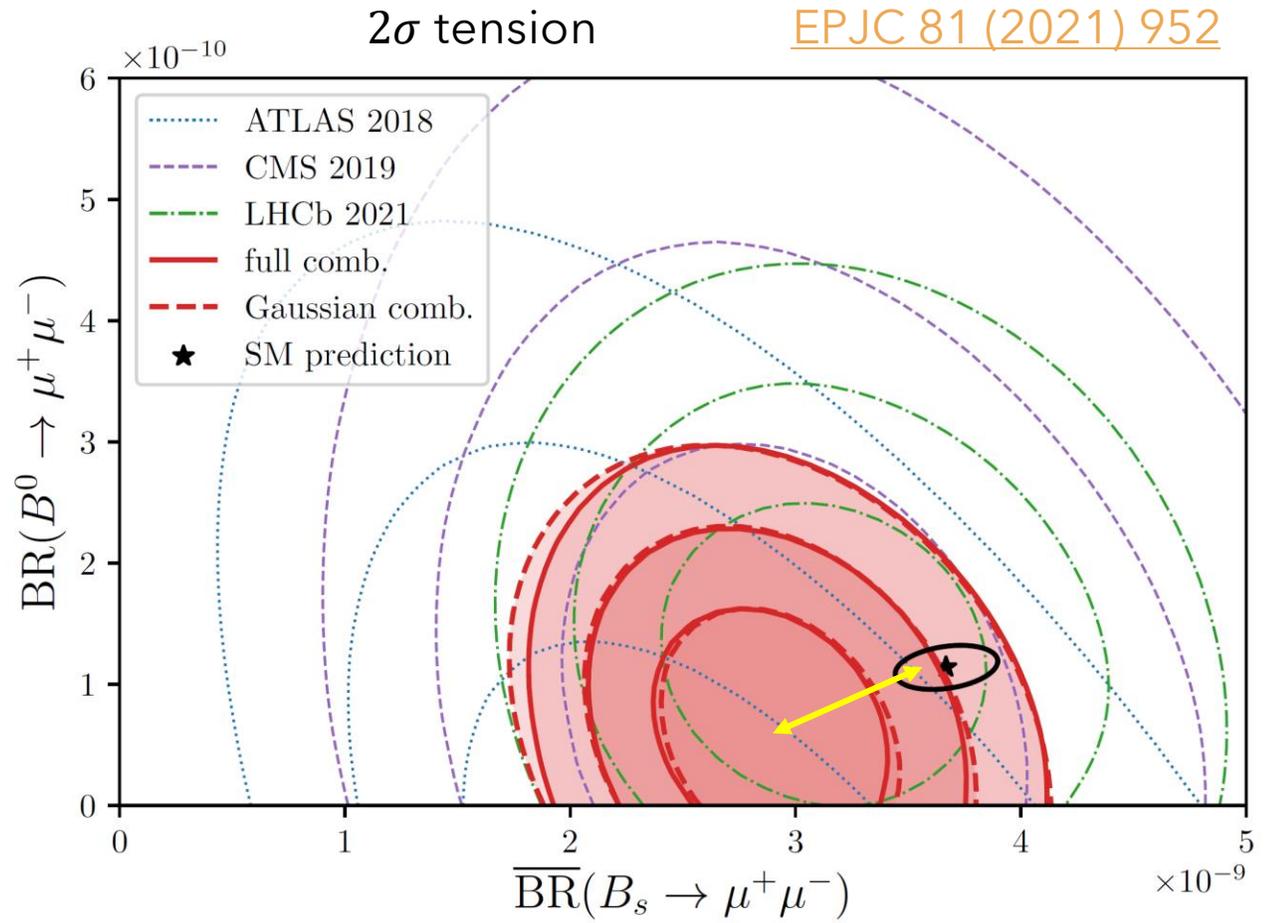
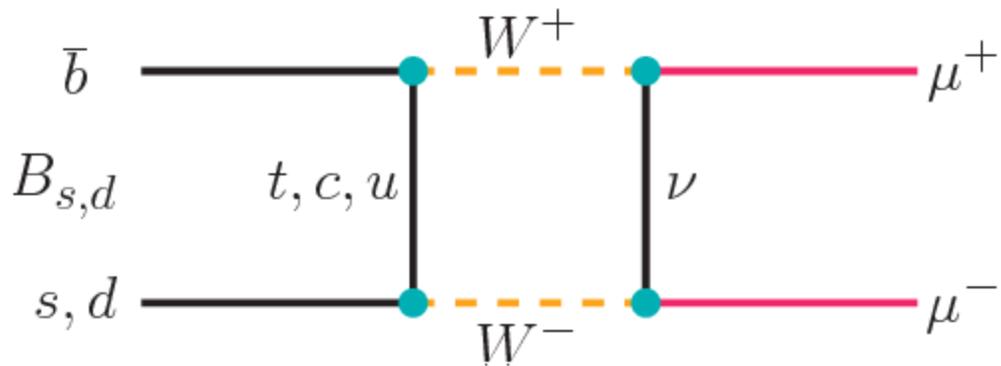
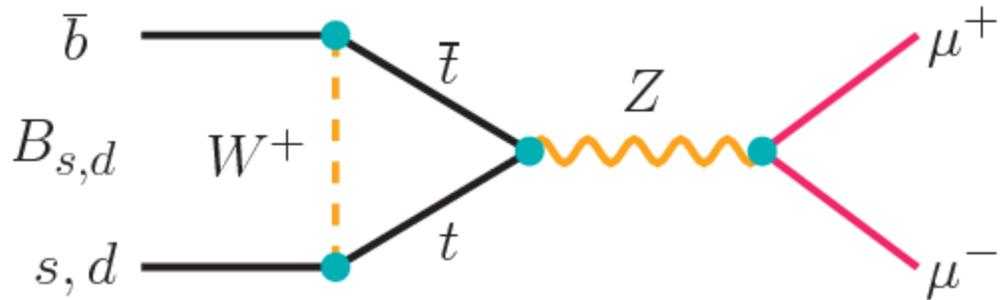
Now found to be in good agreement

[PRD 108 \(2023\) 032002](#)

(However, some angular discrepancies remain!)



# $B_s \rightarrow \mu^+ \mu^-$

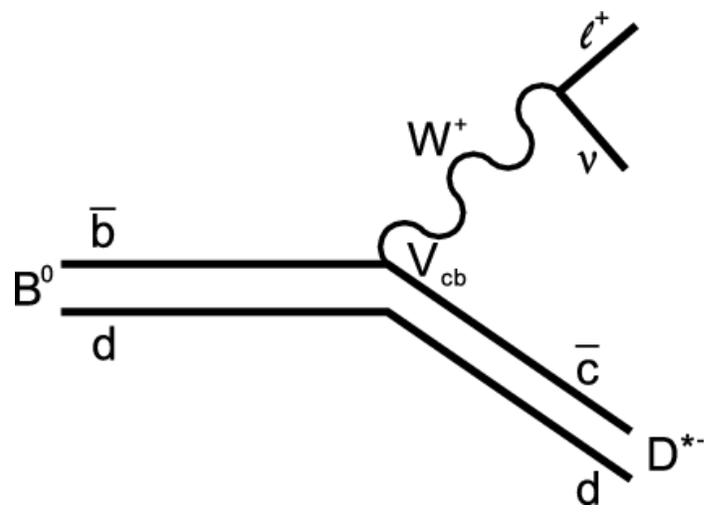


**Taus** behaving badly too?

# $R(D^{(*)})$

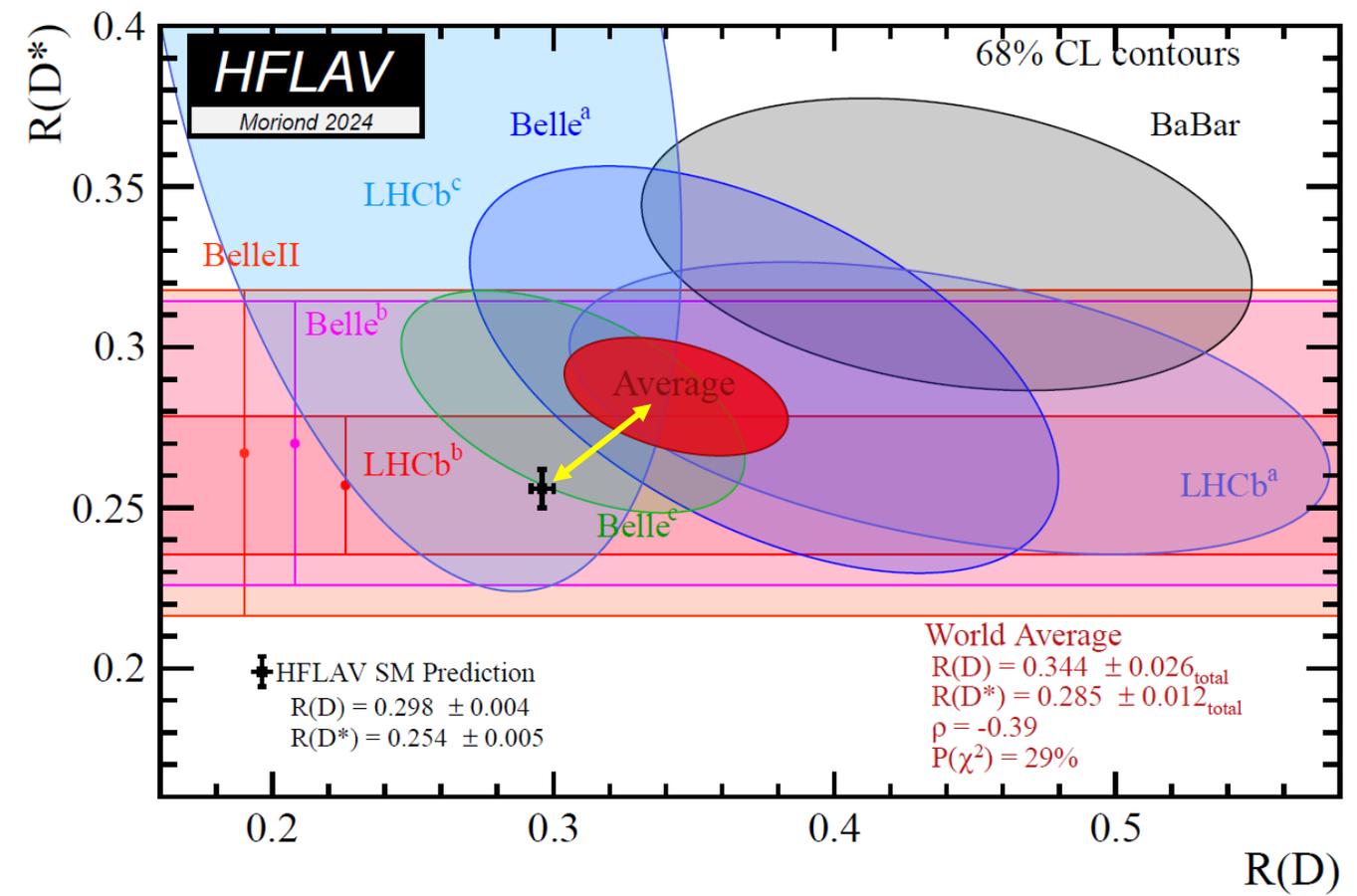
LHCb-PAPER-2024-007 (in preparation)

$$R(D^{(*)}) = \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^{(*)} l^- \bar{\nu}_l)}$$



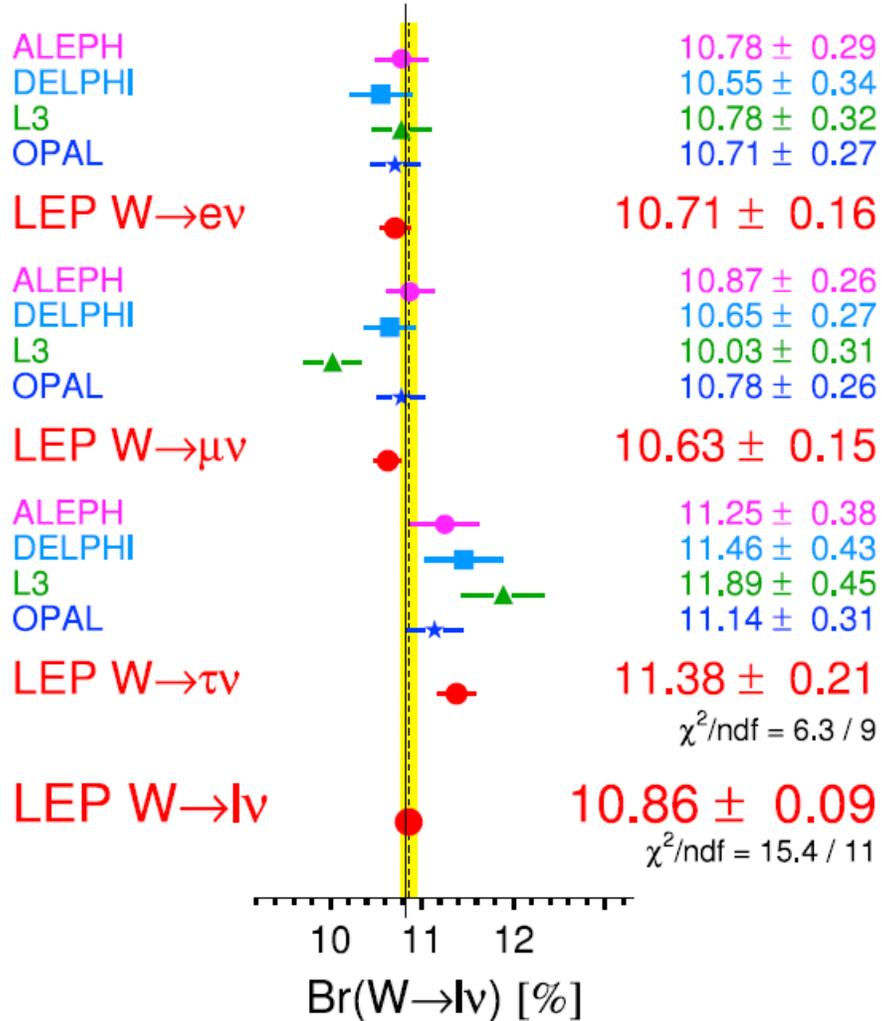
3.17σ tension

HFLAV (Moriond 2024)



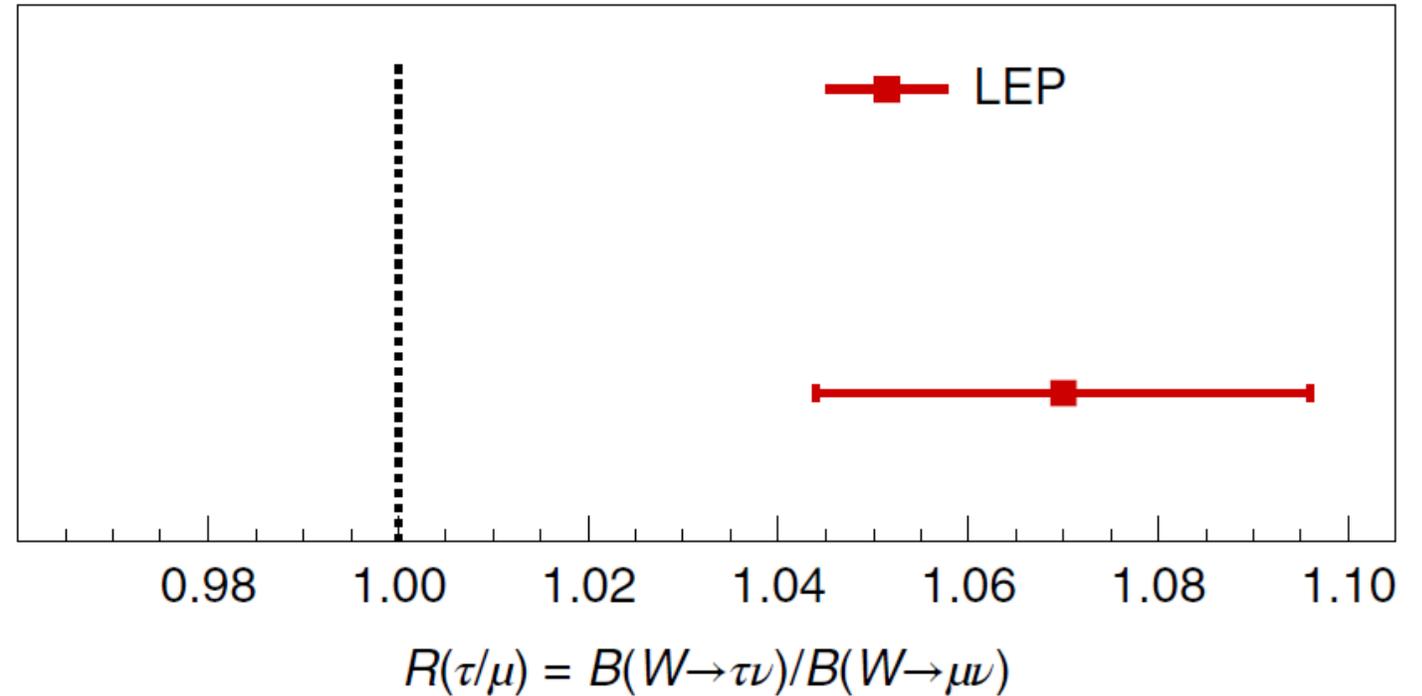
# LEP (CERN) Lepton Flavour Universality

## W Leptonic Branching Ratios

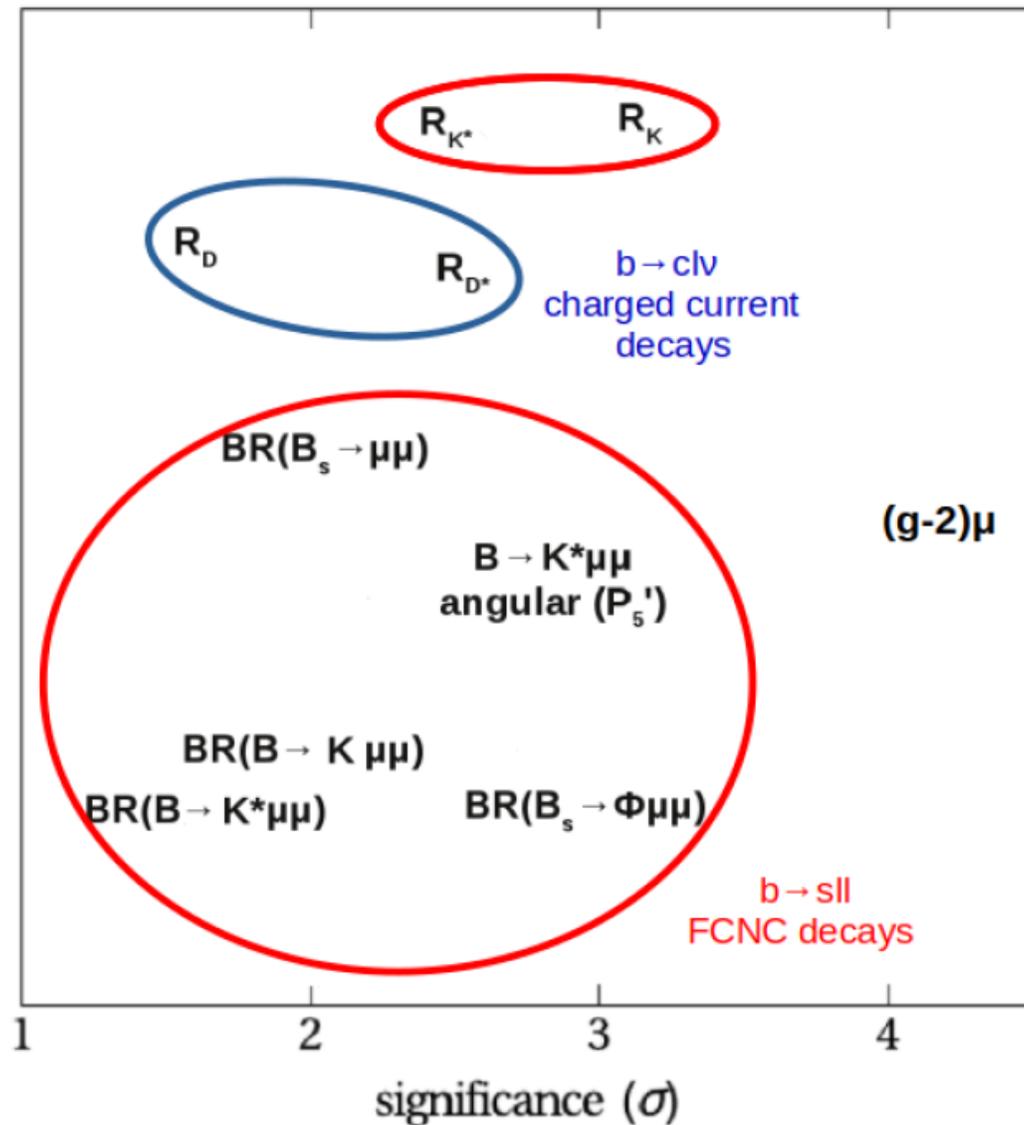


2.6 $\sigma$  tension

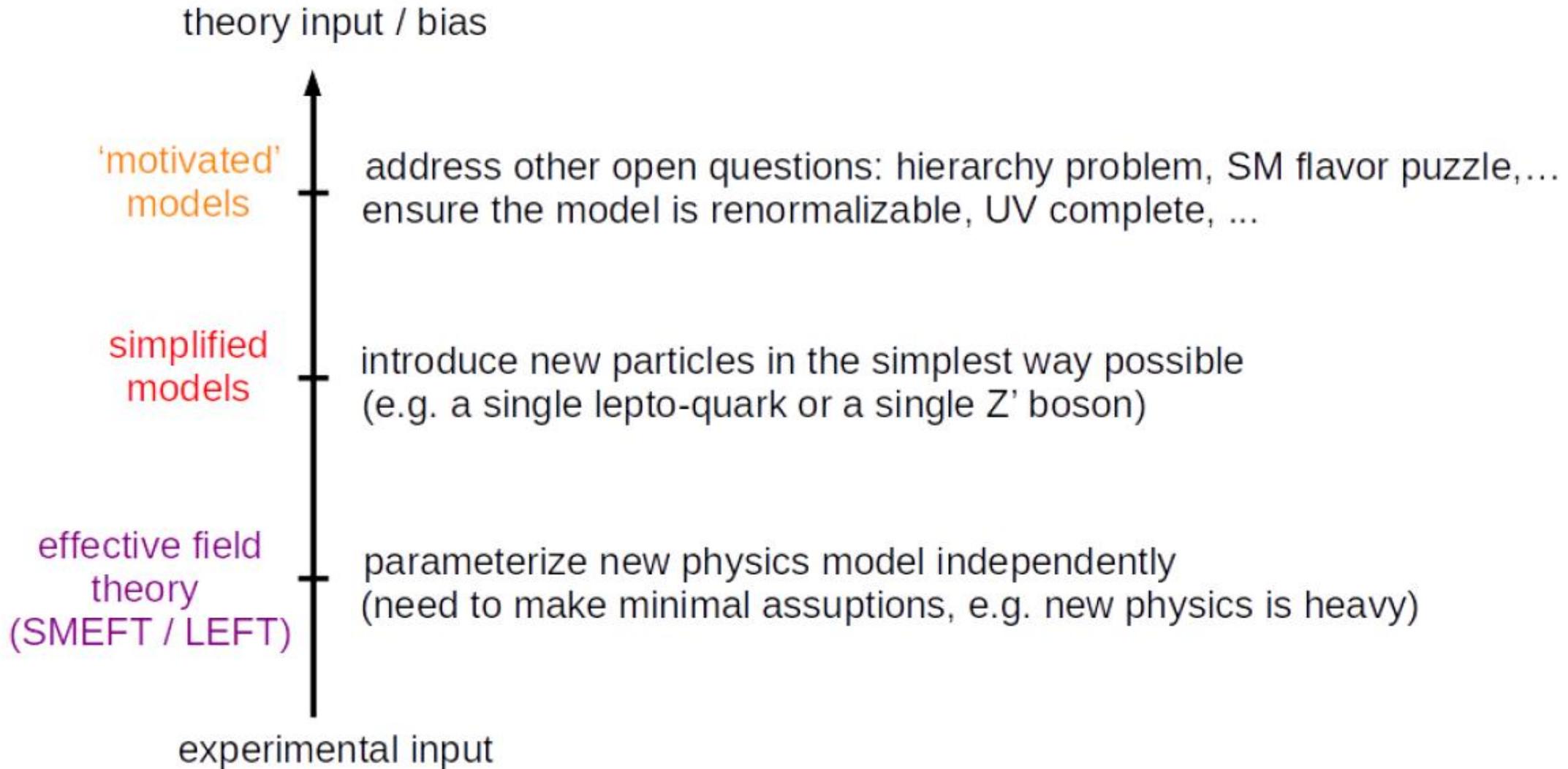
[J Phys Rep 532 \(2013\) 004](#)



(This is prompt lepton behaviour - i.e. unlikely to be same anomalous physics, but still interesting!)

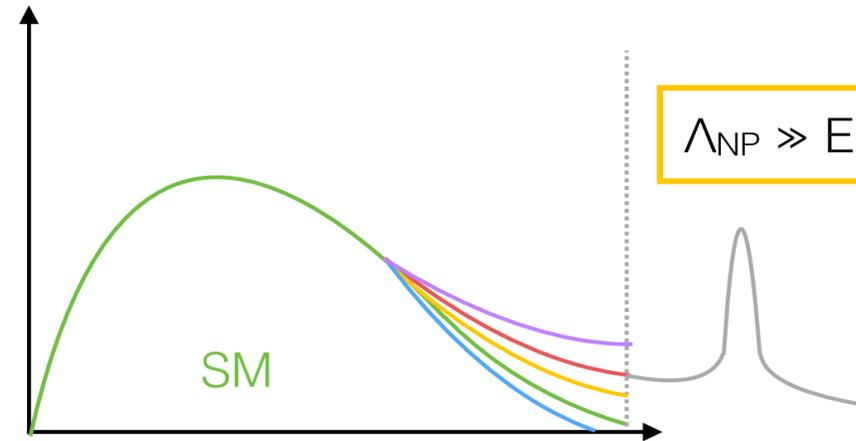


# Effective Field Theory



# Effective Field Theory (EFT)

Maybe New Physics (NP) exists at a significantly higher energy scale ( $\Lambda_{\text{NP}}$ ) than LHC can reach...



[K. Mimasu, EFTforTop](#)

$$\mathcal{L}_{eff} = \mathcal{L}_{SM} + \sum_{i,n} \frac{c_i^{(n)}}{\Lambda^{n-4}} \mathcal{O}_i^{(n)}$$

Standard  
Model

Coupling  
Strength

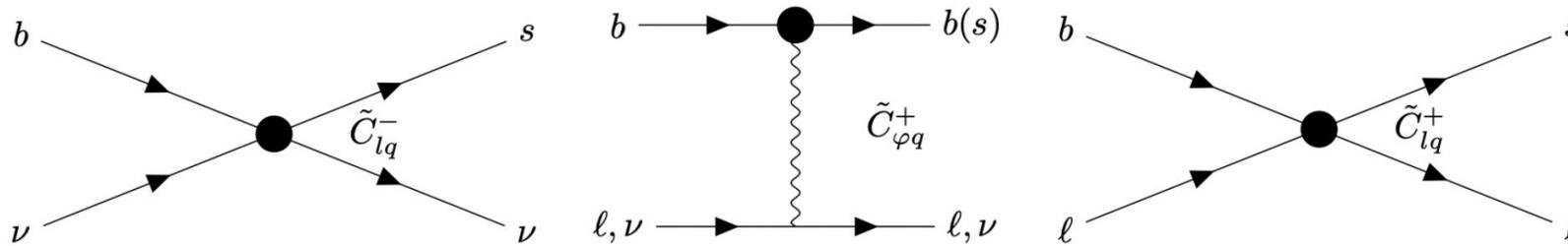
Operators introducing  
new interactions



# EFT and the B-anomalies

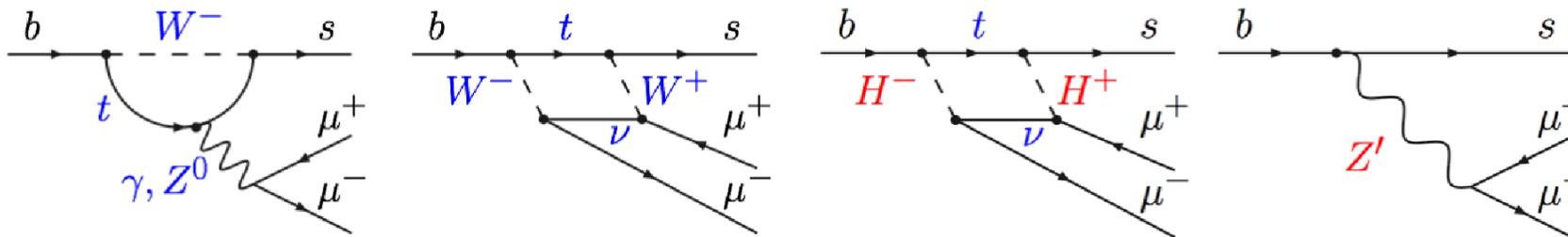
[JHEP 06 \(2021\) 010](#)

$$\bar{s}_L \gamma_\mu b_L \bar{\mu}_L \gamma^\mu \mu_L \quad \rightarrow \quad \begin{aligned} O_{lq}^{(1)} &= \bar{Q} \gamma_\mu Q \bar{L} \gamma^\mu L, \\ O_{lq}^{(3)} &= \bar{Q} \gamma_\mu \tau^I Q \bar{L} \gamma^\mu \tau^I L \end{aligned}$$



## $b \rightarrow sll$ transitions

- Flavour Changing Neutral Current (FCNC)  $b \rightarrow s(d)l^+l^-$  decays, such as  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ , are forbidden at tree level in the SM

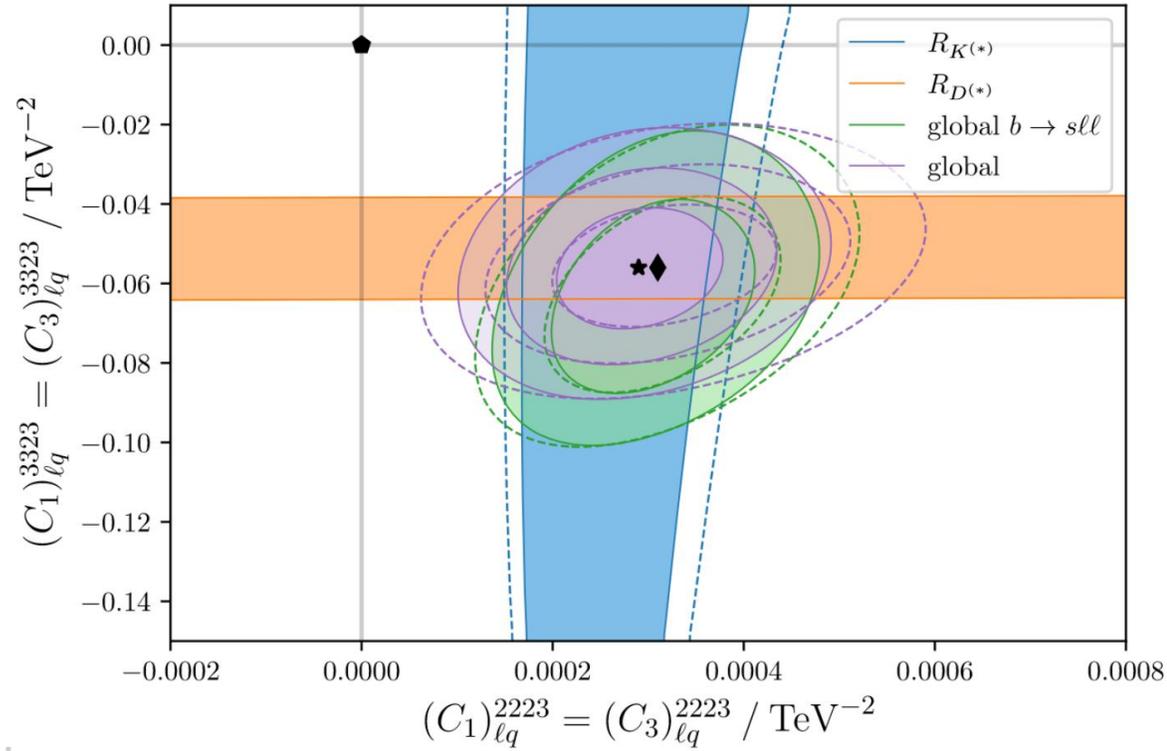


[P. Cartelle](#)



# EFT and the B-anomalies

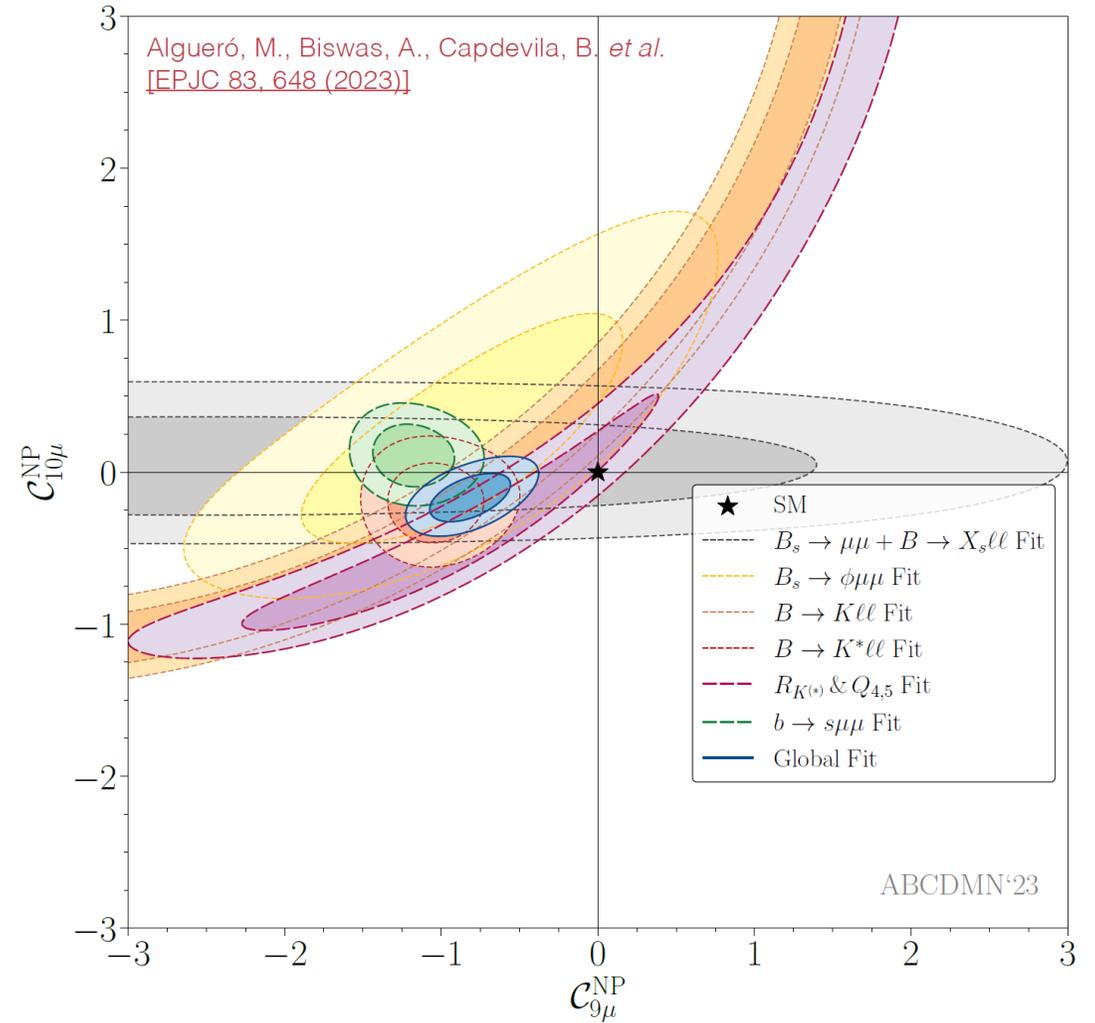
arXiv:2104.00015



◆ SM prediction

★ Best fit 2021  $R_K$  (LHCb arXiv:2103.11769,  $3.1\sigma$ )

◆ Best fit pre-2021  $R_K$



Algueró, M., Biswas, A., Capdevila, B. *et al.*  
[EPJC 83, 648 (2023)]

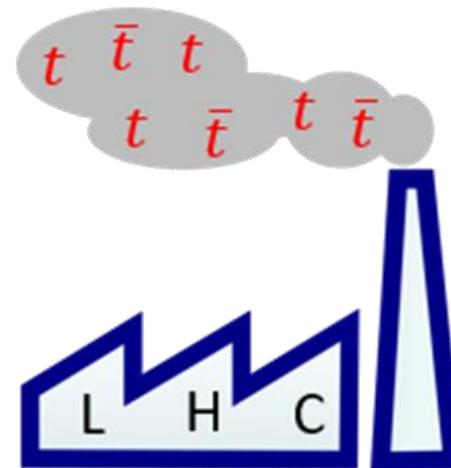
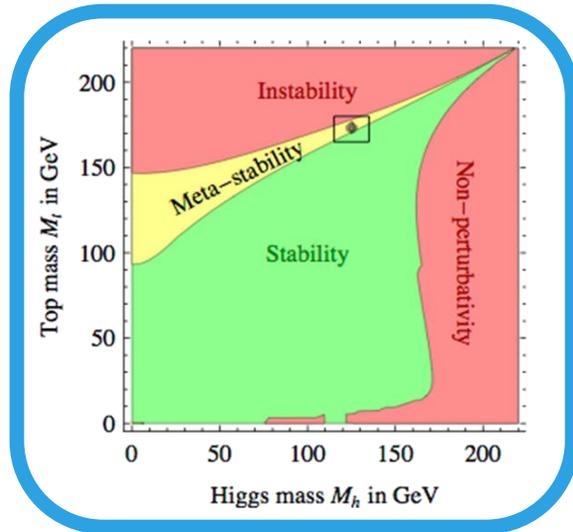
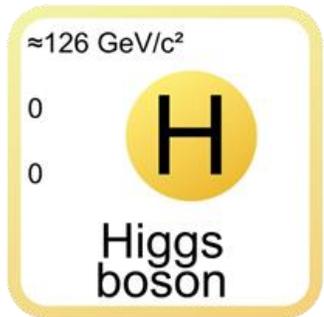
ABCDMN'23



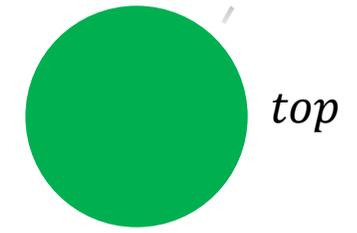
# Why Top quarks?

# Top Methodology

- Use the top quark - it is the most massive particle and the closest to the scale of new physics - it's vulnerable!
- Very short lifetime (does not hadronise) - opportunity to study a 'bare' quark
- Very selective about decay channels  $BR(t \rightarrow Wb) \sim 100\%$

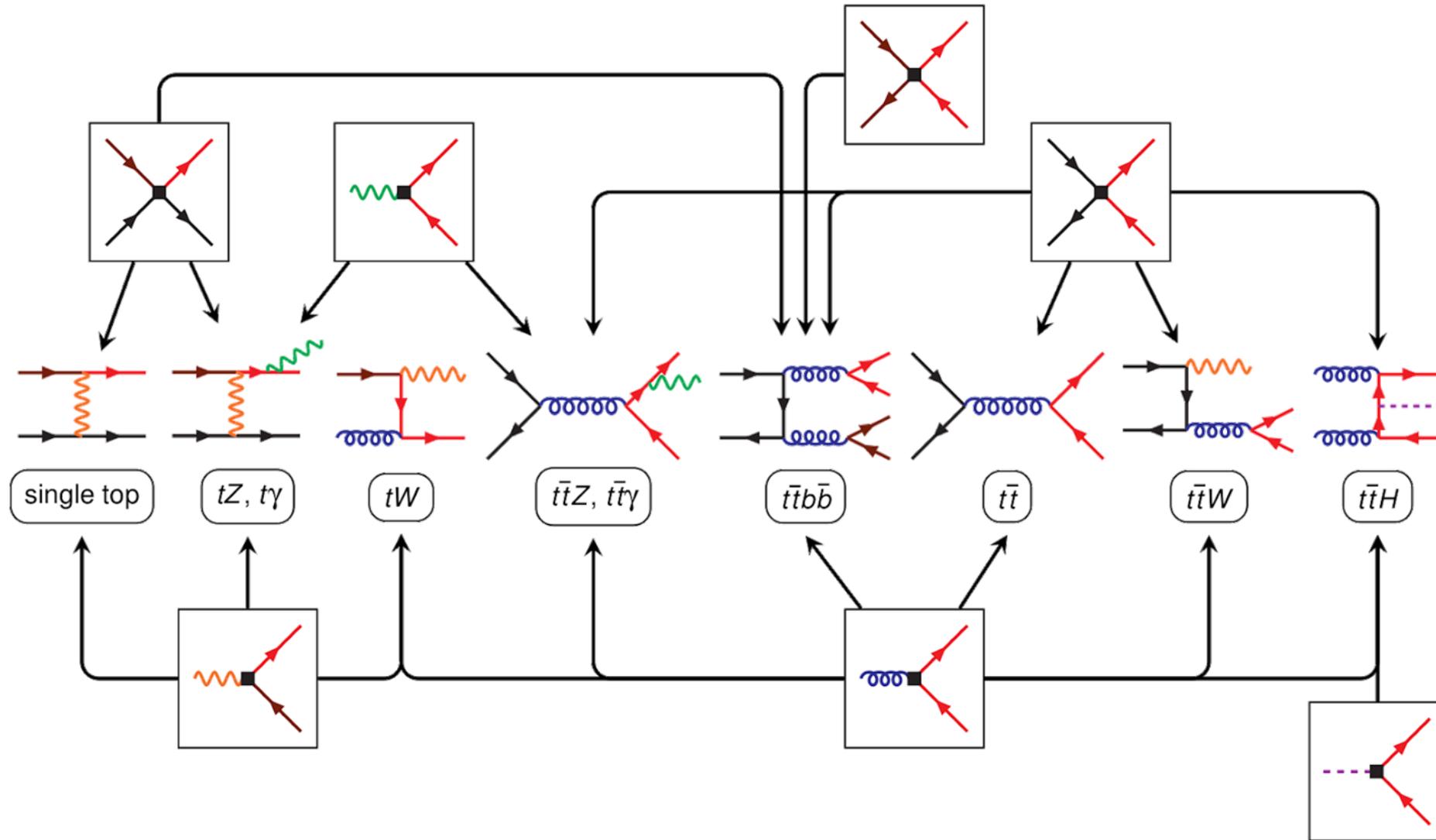


Energy Scale ↑



# Top EFT

[arXiv:1008.4484](https://arxiv.org/abs/1008.4484)

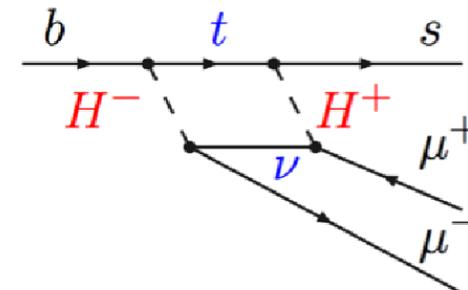
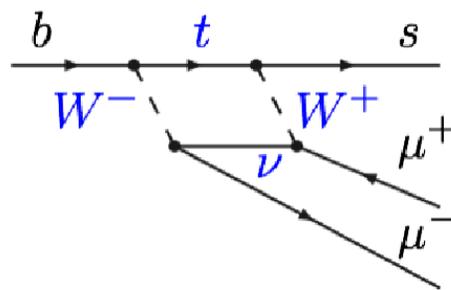
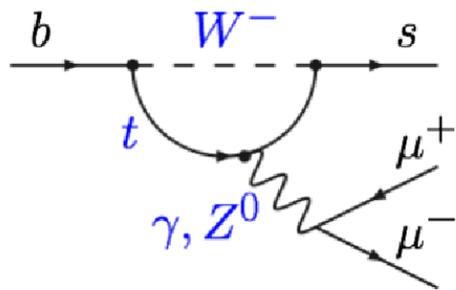
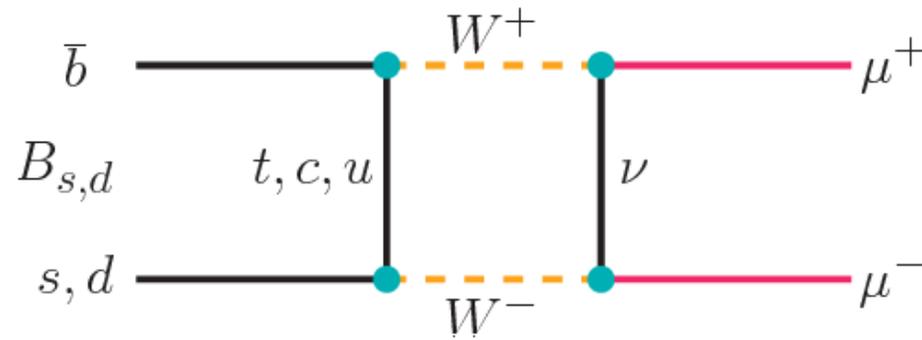
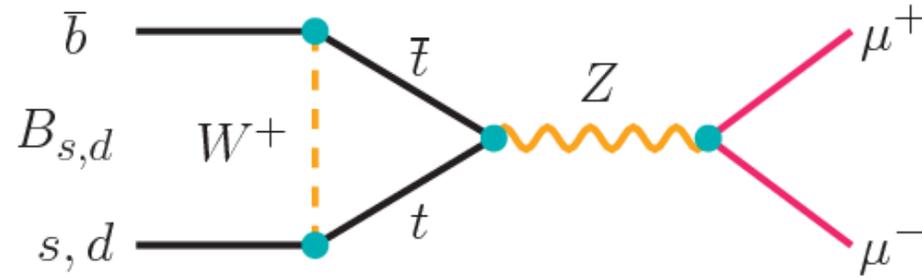


parameter	$t\bar{t}$	single $t$	$tW$	$tZ$	$t$ decay	$t\bar{t}Z$	$t\bar{t}W$
$C_{Qq}^{1,8}$	$\Lambda^{-2}$	-	-	-	-	$\Lambda^{-2}$	$\Lambda^{-2}$
$C_{Qq}^{3,8}$	$\Lambda^{-2}$	$\Lambda^{-4} [\Lambda^{-2}]$	-	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-2}$	$\Lambda^{-2}$
$C_{tu}^8, C_{td}^8$	$\Lambda^{-2}$	-	-	-	-	$\Lambda^{-2}$	-
$C_{Qq}^{1,1}$	$\Lambda^{-4} [\Lambda^{-2}]$	-	-	-	-	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-4} [\Lambda^{-2}]$
$C_{Qq}^{3,1}$	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-2}$	-	$\Lambda^{-2}$	$\Lambda^{-2}$	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-4} [\Lambda^{-2}]$
$C_{tu}^1, C_{td}^1$	$\Lambda^{-4} [\Lambda^{-2}]$	-	-	-	-	$\Lambda^{-4} [\Lambda^{-2}]$	-
$C_{Qu}^8, C_{Qd}^8$	$\Lambda^{-2}$	-	-	-	-	$\Lambda^{-2}$	-
$C_{tq}^8$	$\Lambda^{-2}$	-	-	-	-	$\Lambda^{-2}$	$\Lambda^{-2}$
$C_{Qu}^1, C_{Qd}^1$	$\Lambda^{-4} [\Lambda^{-2}]$	-	-	-	-	$\Lambda^{-4} [\Lambda^{-2}]$	-
$C_{tq}^1$	$\Lambda^{-4} [\Lambda^{-2}]$	-	-	-	-	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-4} [\Lambda^{-2}]$
$C_{\phi Q}^-$	-	-	-	$\Lambda^{-2}$	-	$\Lambda^{-2}$	-
$C_{\phi Q}^3$	-	$\Lambda^{-2}$	$\Lambda^{-2}$	$\Lambda^{-2}$	$\Lambda^{-2}$	$\Lambda^{-2}$	-
$C_{\phi t}$	-	-	-	$\Lambda^{-2}$	-	$\Lambda^{-2}$	-
$C_{\phi tb}$	-	$\Lambda^{-4}$	$\Lambda^{-4}$	$\Lambda^{-4}$	$\Lambda^{-4}$	-	-
$C_{tZ}$	-	-	-	$\Lambda^{-2}$	-	$\Lambda^{-2}$	-
$C_{tW}$	-	$\Lambda^{-2}$	$\Lambda^{-2}$	$\Lambda^{-2}$	$\Lambda^{-2}$	-	-
$C_{bW}$	-	$\Lambda^{-4}$	$\Lambda^{-4}$	$\Lambda^{-4}$	$\Lambda^{-4}$	-	-
$C_{tG}$	$\Lambda^{-2}$	$[\Lambda^{-2}]$	$\Lambda^{-2}$	-	$[\Lambda^{-2}]$	$\Lambda^{-2}$	$\Lambda^{-2}$

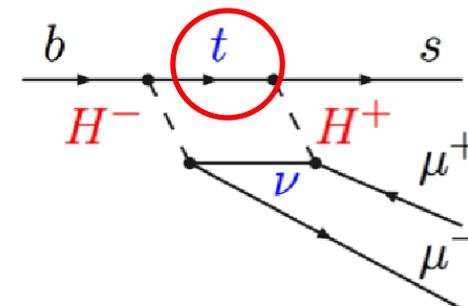
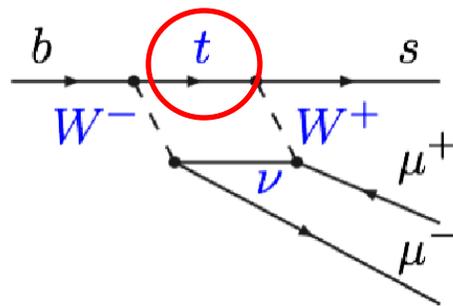
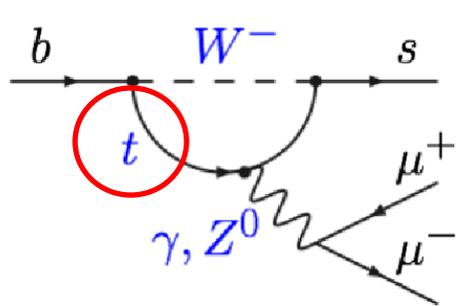
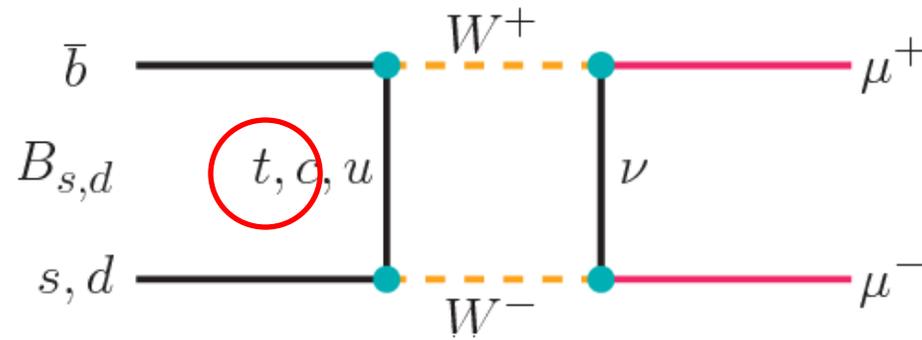
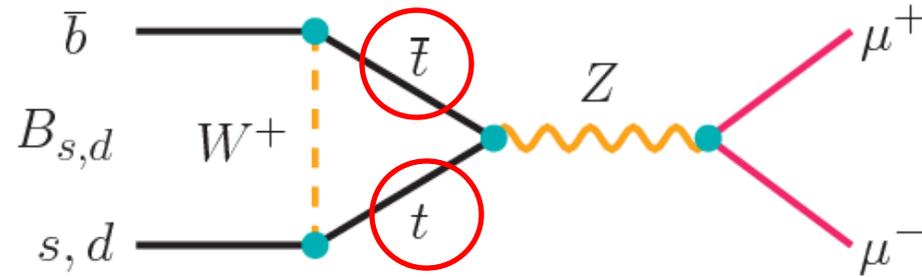
Example contributions of Wilson coefficients to top-quark observables via SM-interference ( $\Lambda^{-2}$ ) and via dimension-6 squared terms only ( $\Lambda^{-4}$ )



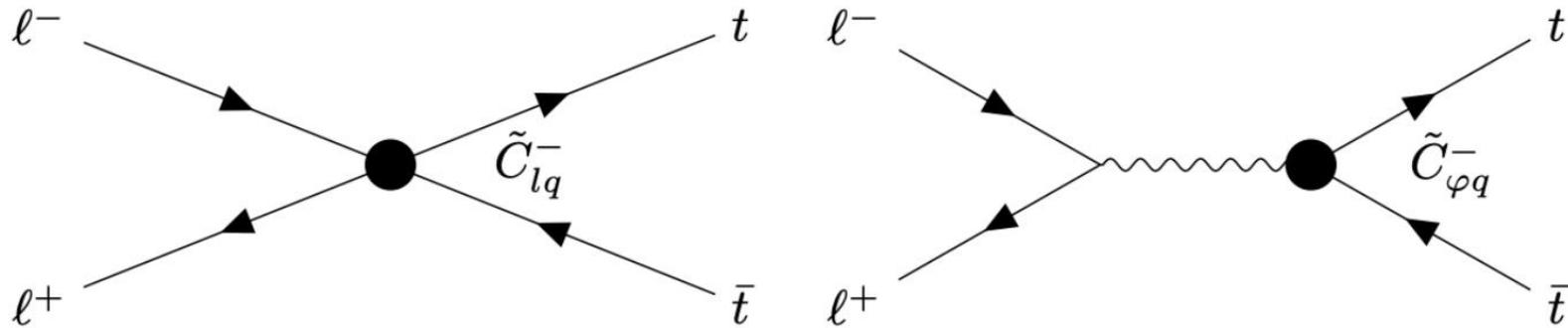
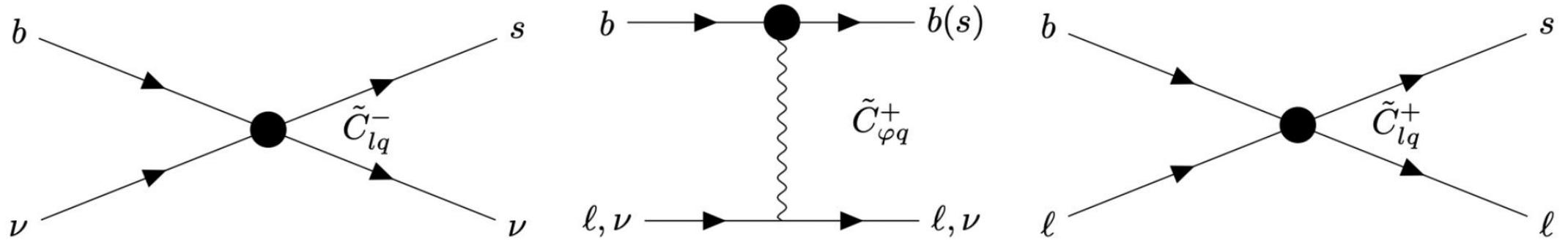
# Top EFT and the B-anomalies



# Top EFT and the B-anomalies



# Top EFT and the B-anomalies



# Four-fermion operators

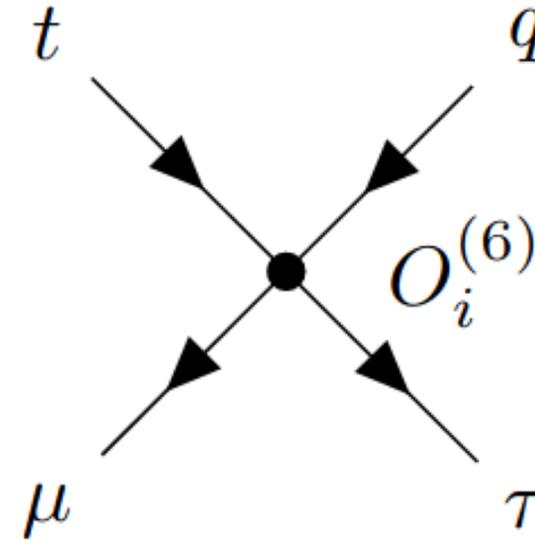
# Four-fermion / 2-quark-2-lepton (2Q2L) operator ‘family’ in context

$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
$Q_{ll}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	$Q_{ee}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	$Q_{le}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{uu}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{lu}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{dd}$	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{ld}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{eu}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{qe}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{ed}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
		$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
				$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$
$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		$B$ -violating			
$Q_{ledq}$	$(\bar{l}_p^j e_r)(\bar{d}_s^j q_t^j)$	$Q_{duq}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^{\gamma j})^T C l_t^k]$		
$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$	$Q_{qqu}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(u_s^\gamma)^T C e_t]$		
$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	$Q_{qqq}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jn} \varepsilon_{km} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(q_s^{\gamma m})^T C l_t^n]$		
$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$	$Q_{dqu}$	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$		
$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$				



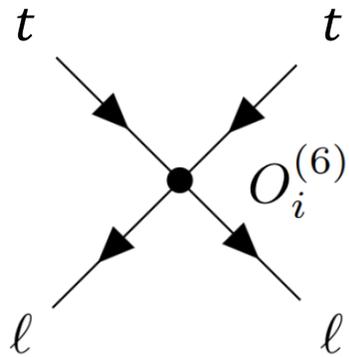
# 2Q2L EFT operators

Operator	Interaction	Lorentz Structure
$O_{lq}^{1(ijkl)}$	$(\bar{l}_i \gamma^\mu l_j)(\bar{q}_k \gamma_\mu q_l)$	Vector
$O_{lq}^{3(ijkl)}$	$(\bar{l}_i \gamma^\mu \sigma^I l_j)(\bar{q}_k \gamma_\mu \sigma_I q_l)$	Vector
$O_{eq}^{(ijkl)}$	$(\bar{e}_i \gamma^\mu e_j)(\bar{q}_k \gamma_\mu q_l)$	Vector
$O_{lu}^{(ijkl)}$	$(\bar{l}_i \gamma^\mu l_j)(\bar{u}_k \gamma_\mu u_l)$	Vector
$O_{eu}^{(ijkl)}$	$(\bar{e}_i \gamma^\mu e_j)(\bar{u}_k \gamma_\mu u_l)$	Vector
$O_{lequ}^{1(ijkl)}$	$(\bar{l}_i e_j) \varepsilon (\bar{q}_k u_l)$	Scalar
$O_{lequ}^{3(ijkl)}$	$(\bar{l}_i \sigma^{\mu\nu} e_j) \varepsilon (\bar{q}_k \sigma_{\mu\nu} u_l)$	Tensor



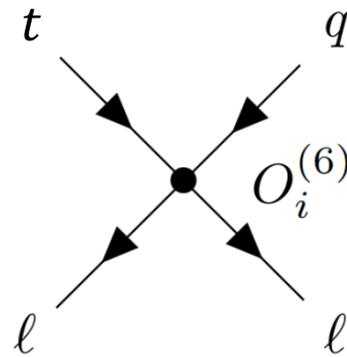
# Top 2Q2L operator effects

$t\bar{t}Z$ -like (diagonal)



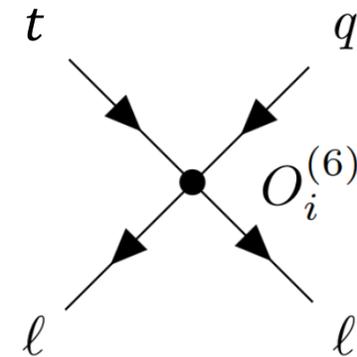
$t\bar{t}ll$

FCNC (semi-diagonal)

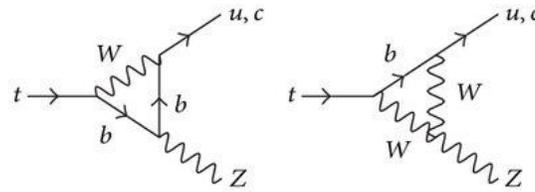
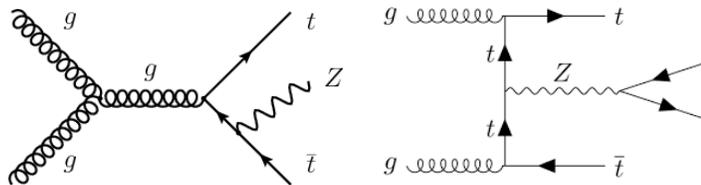


$tqll$

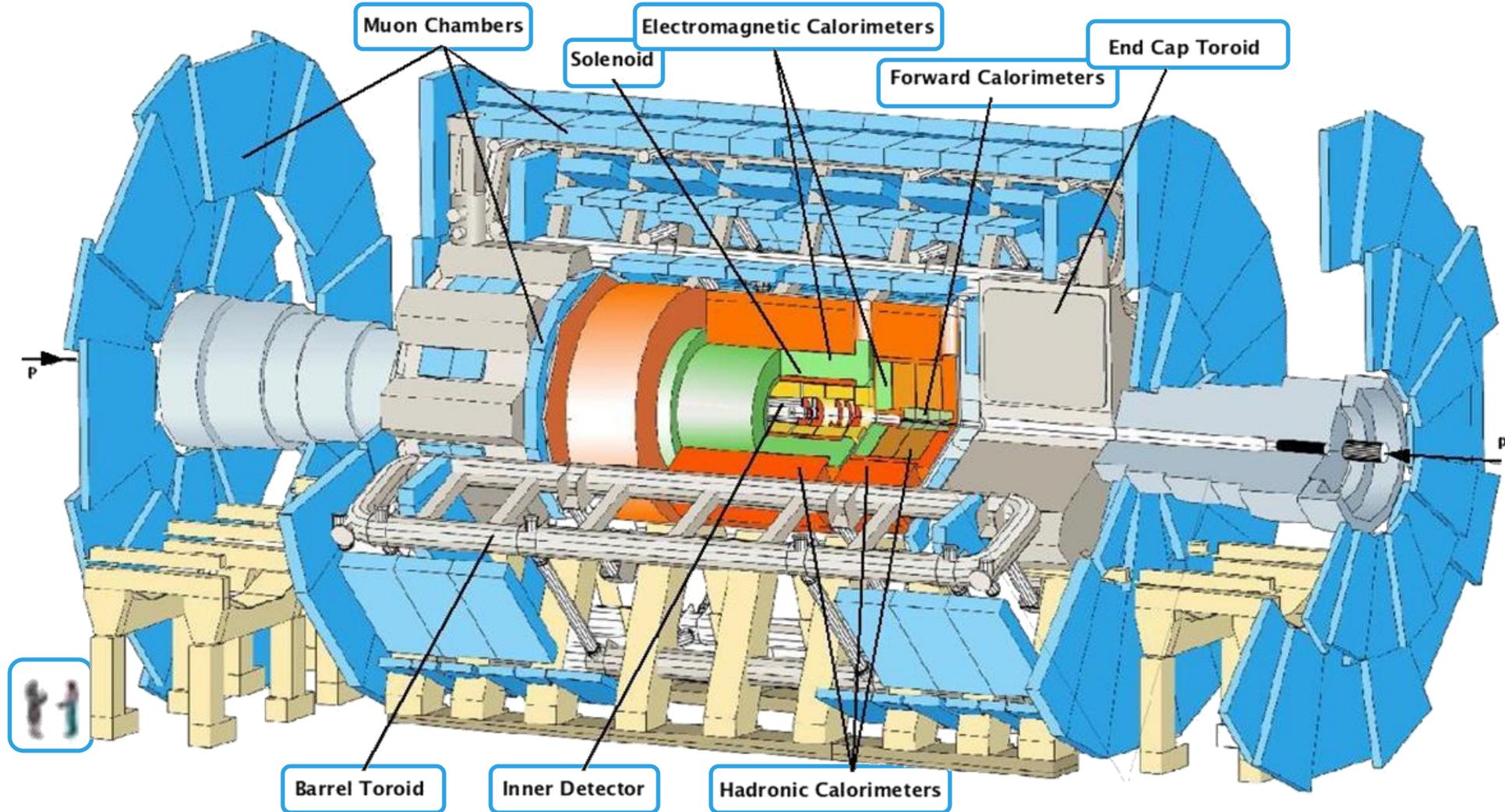
CLFV (fully off-diagonal)

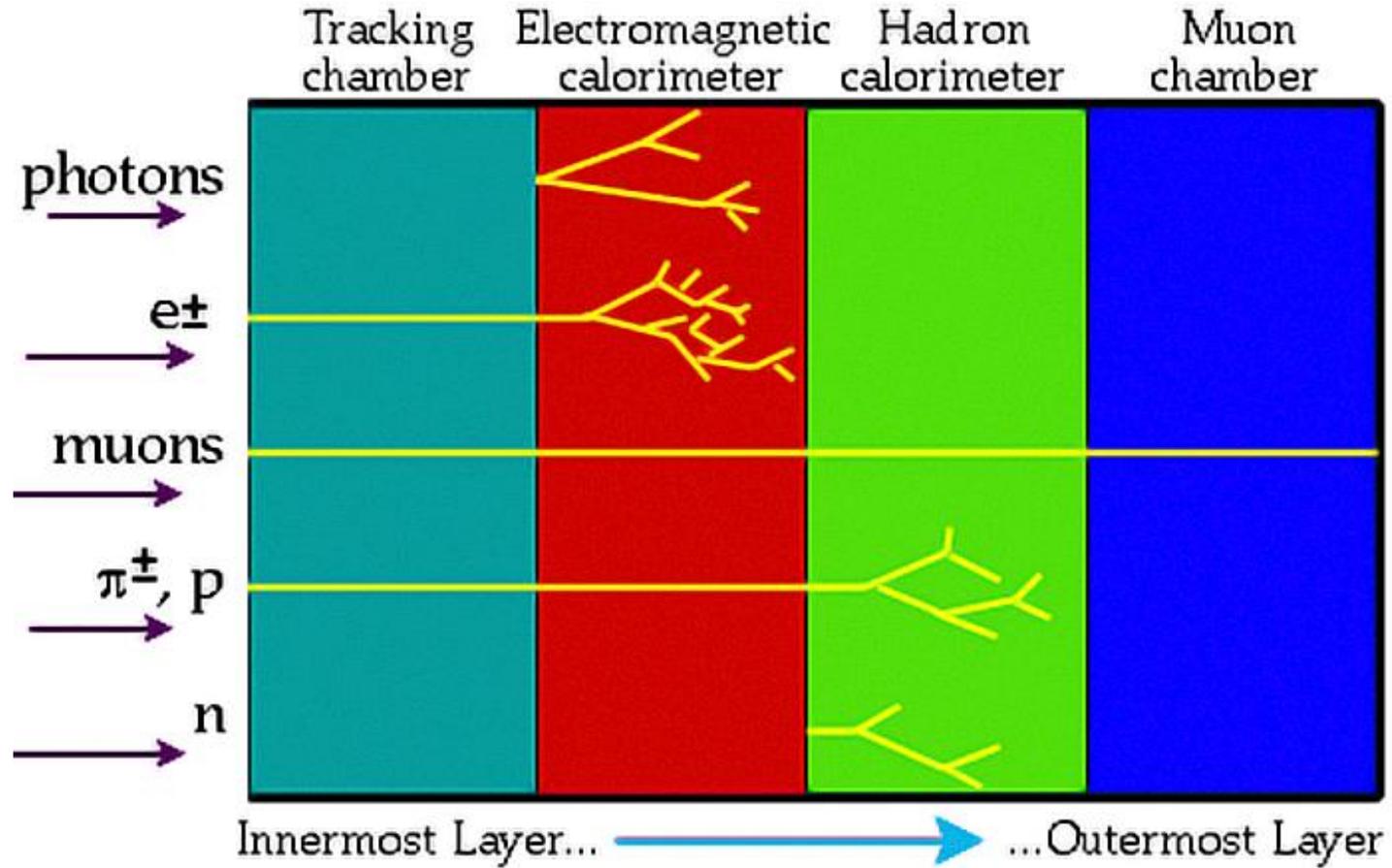


$tqll'$



# ATLAS and Top





# Analyses

- B-physics CP violation with Soft Muon Tagging [JHEP 02 \(2017\) 071](#)
- Lepton Flavour Universality in Top decays [Nat. Phys. 17 \(2021\) 813-818](#)
- Top FCNC  $t \rightarrow qH(\rightarrow \tau\tau)$  [JHEP 06 \(2023\) 155](#)
- Top CLFV  $\mu\tau qt$  (Accepted by PRD) [arXiv:2403.06742](#)

What's in the future?

# B-physics CP violation with Soft Muon Tagging

(vs D0 dimuon asymmetry)

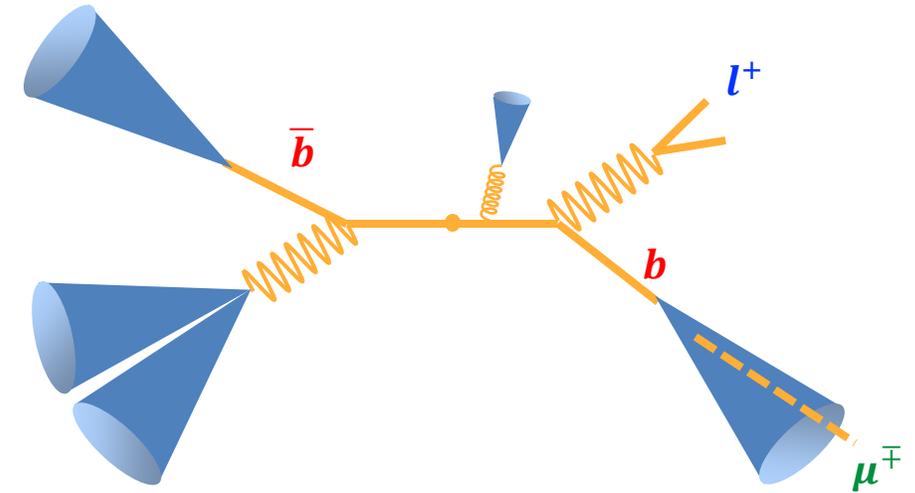
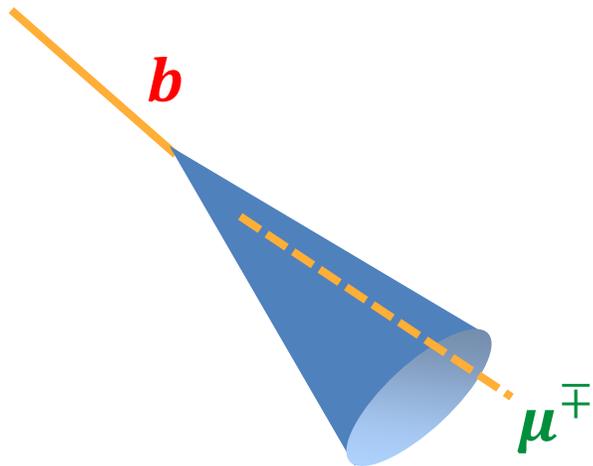


Unlike  $gg \rightarrow b\bar{b}$ , we know which  $b$  we are dealing with!

The charge of the  $W$ , tagged with a lepton, tells you the charge of the associated  $b$ -quark at **production**

$$l^+ \Rightarrow b$$

$$l^- \Rightarrow \bar{b}$$

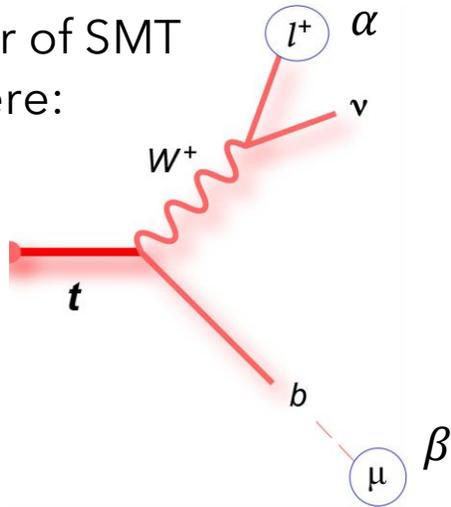


$$\text{BR}(b \rightarrow (\dots) \rightarrow \mu) \sim 20\%$$

The charge of the soft muon, tells you the charge of the associated  $b$ -quark at **decay**

# Charge asymmetries

Consider number of SMT muons,  $N^{\alpha\beta}$ , where:



$$A^{ss} = \frac{P(b \rightarrow l^+) - P(\bar{b} \rightarrow l^-)}{P(b \rightarrow l^+) + P(\bar{b} \rightarrow l^-)} = \frac{\left(\frac{N^{++}}{N^+}\right) - \left(\frac{N^{--}}{N^-}\right)}{\left(\frac{N^{++}}{N^+}\right) + \left(\frac{N^{--}}{N^-}\right)}$$

$$A^{os} = \frac{P(b \rightarrow l^-) - P(\bar{b} \rightarrow l^+)}{P(b \rightarrow l^-) + P(\bar{b} \rightarrow l^+)} = \frac{\left(\frac{N^{+-}}{N^+}\right) - \left(\frac{N^{-+}}{N^-}\right)}{\left(\frac{N^{+-}}{N^+}\right) + \left(\frac{N^{-+}}{N^-}\right)}$$

# CP asymmetries

- CP asymmetries can be extracted from  $A^{SS}, A^{OS}$
- As defined in **PRL 110,232002 (2013)**:

$$A^{SS} = r_b A_{\text{mix}}^{bl} + r_{c\bar{c}} A_{\text{mix}}^{bc} + r_c A_{\text{dir}}^{bc} - (r_c + r_{c\bar{c}}) A_{\text{dir}}^{cl}$$

$$A^{OS} = \tilde{r}_c A_{\text{mix}}^{bc} + \tilde{r}_b A_{\text{dir}}^{bl} + (\tilde{r}_c + \tilde{r}_{c\bar{c}}) A_{\text{dir}}^{cl}$$

$$A_{\text{mix}}^{bl} = \frac{\Gamma(b \rightarrow \bar{b} \rightarrow l^+ X) - \Gamma(\bar{b} \rightarrow b \rightarrow l^- X)}{\Gamma(b \rightarrow \bar{b} \rightarrow l^+ X) + \Gamma(\bar{b} \rightarrow b \rightarrow l^- X)} \xleftrightarrow{A_{\text{mix}}^b} A_{\text{mix}}^{bc} = \frac{\Gamma(b \rightarrow \bar{b} \rightarrow \bar{c} X) - \Gamma(\bar{b} \rightarrow b \rightarrow c X)}{\Gamma(b \rightarrow \bar{b} \rightarrow c X) + \Gamma(\bar{b} \rightarrow b \rightarrow c X)}$$

$$A_{\text{dir}}^{bl} = \frac{\Gamma(b \rightarrow l^- X) - \Gamma(\bar{b} \rightarrow l^+ X)}{\Gamma(b \rightarrow l^- X) + \Gamma(\bar{b} \rightarrow l^+ X)}$$

$$A_{\text{dir}}^{cl} = \frac{\Gamma(\bar{c} \rightarrow l^- X_L) - \Gamma(c \rightarrow l^+ X_L)}{\Gamma(\bar{c} \rightarrow l^- X_L) + \Gamma(c \rightarrow l^+ X_L)}$$

$$A_{\text{dir}}^{bc} = \frac{\Gamma(b \rightarrow c X_L) - \Gamma(\bar{b} \rightarrow \bar{c} X_L)}{\Gamma(b \rightarrow c X_L) + \Gamma(\bar{b} \rightarrow \bar{c} X_L)}$$

Results	Data ( $10^{-2}$ )	Existing limits ( $2\sigma$ ) ( $10^{-2}$ )	SM ( $10^{-2}$ )
$A^{SS}$	$-0.7 \pm 0.8$	—	$< 10^{-2}$ [1]
$A^{oS}$	$0.4 \pm 0.5$	—	$< 10^{-2}$ [1]
$A_{\text{mix}}^b$	$-2.5 \pm 2.8$	$< 0.1$ [3]	$< 10^{-3}$ [2,3]
$A_{\text{dir}}^{bl}$	$0.5 \pm 0.5$	$< 1.2$ [4]	$< 10^{-5}$ [1]
$A_{\text{dir}}^{cl}$	$1.0 \pm 1.0$	$< 6.0$ [4]	$< 10^{-9}$ [1]
$A_{\text{dir}}^{bc}$	$-1.0 \pm 1.1$	—	$< 10^{-7}$ [5]

At  $2\sigma$  the constraints made by this analysis are stronger than the existing limit on  $A_{\text{dir}}^{cl}$

This the first direct experimental constraint on  $A_{\text{dir}}^{bc}$ .

[1] PRL 110, 232002 (2013) [2] arXiv:1511.09466v1 [3] arXiv:1412.7515v1 (HFAG) [4] PRD 87, 074036 (2015) [5] PLB 694, 374 (2011)

# Lepton Flavour Universality with Tops

(vs LEP LFU)

# Lepton Flavour Universality

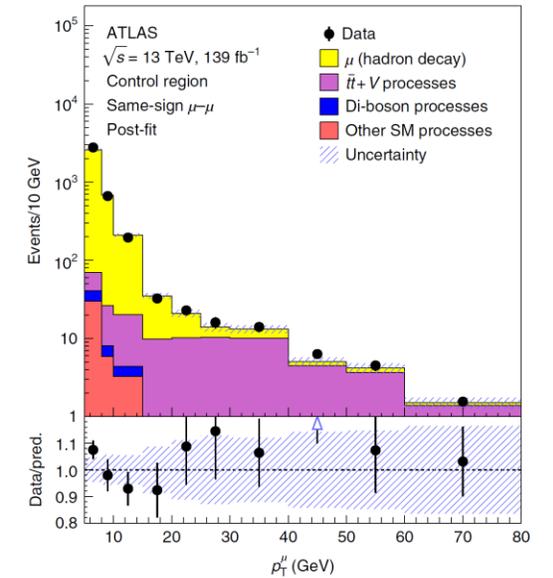
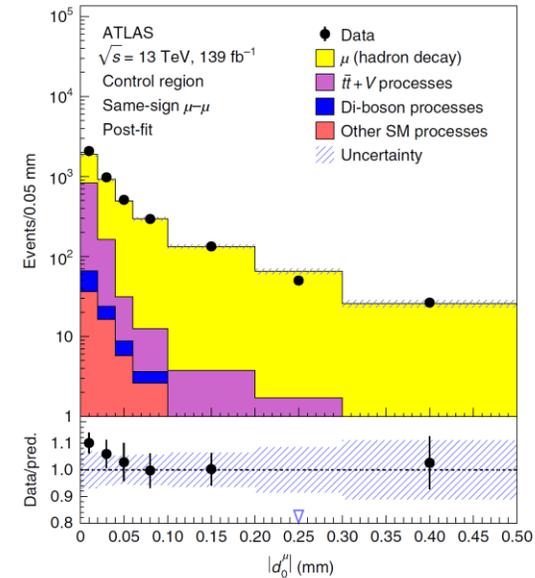
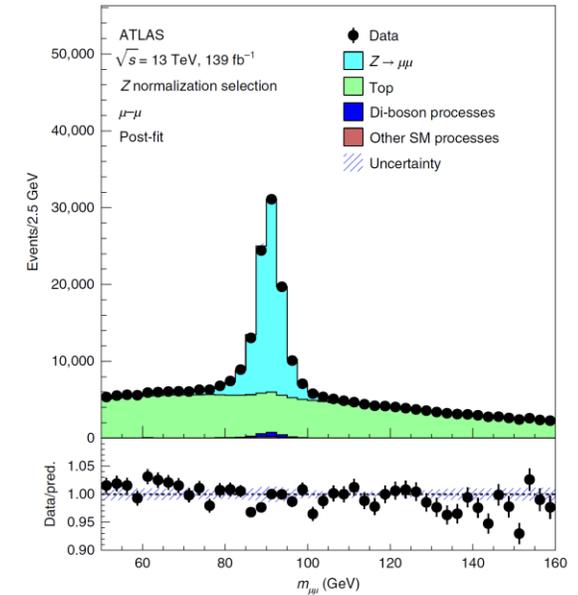
$$R(\tau/\mu) = \frac{\mathcal{B}(t \rightarrow bW(\rightarrow \tau\nu))}{\mathcal{B}(t \rightarrow bW(\rightarrow \mu\nu))}$$

Opposite-sign dimuon events

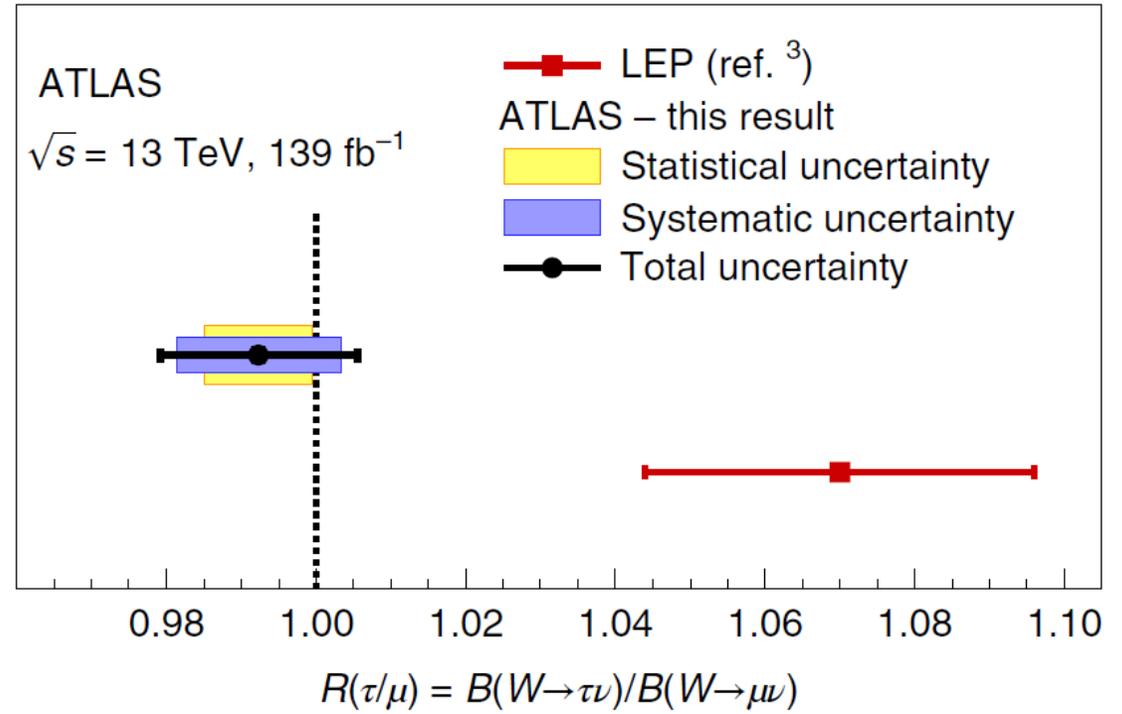
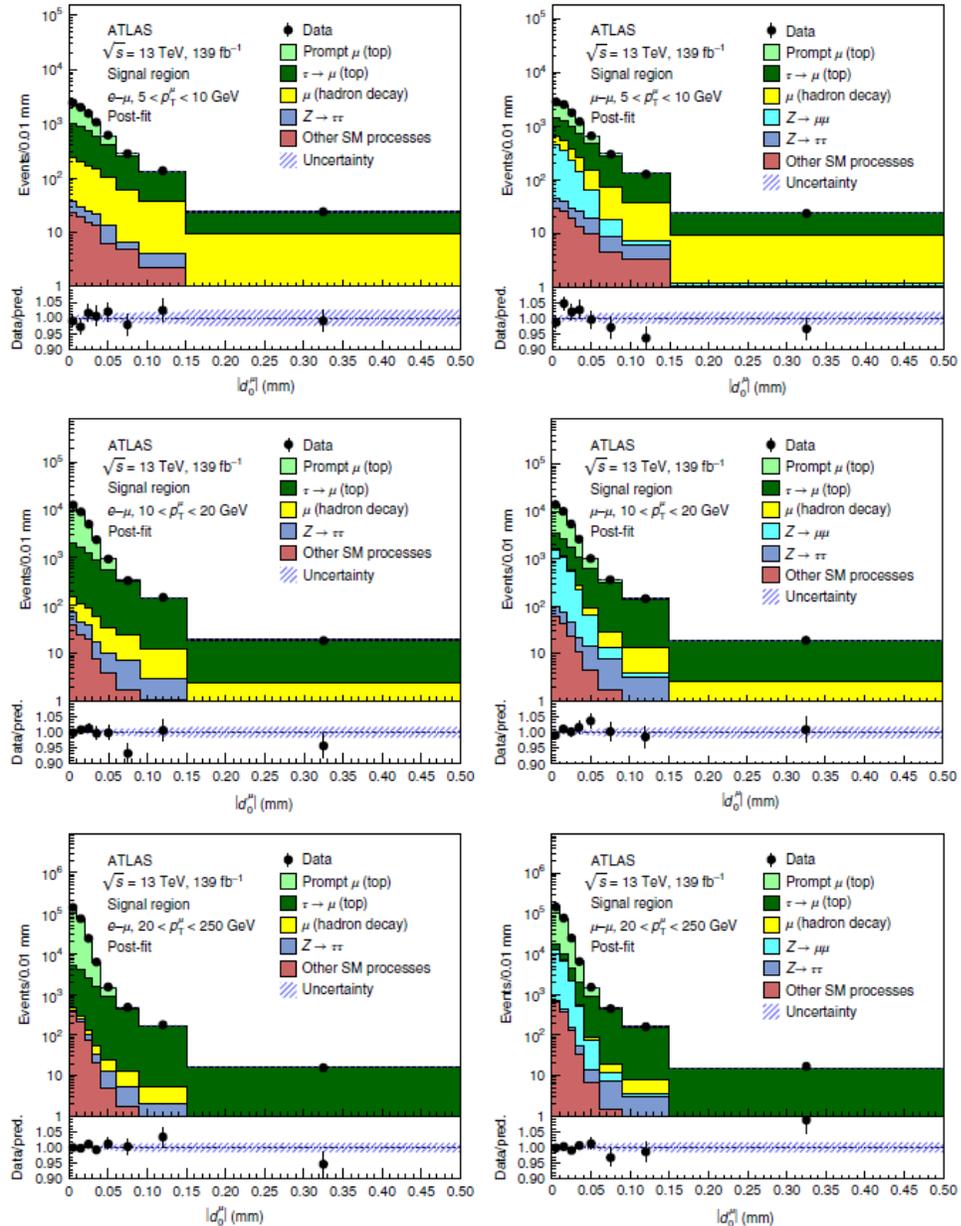
- Big backgrounds from  $Z \rightarrow \mu\mu$

Using leptonic  $\tau \rightarrow \mu$  decays = big challenge!

- Difficult to separate from soft muons (hadron decays)



# Lepton Flavour Universality



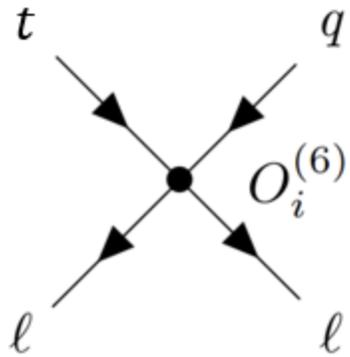
# Top FCNC

(vs  $b \rightarrow sll$ )

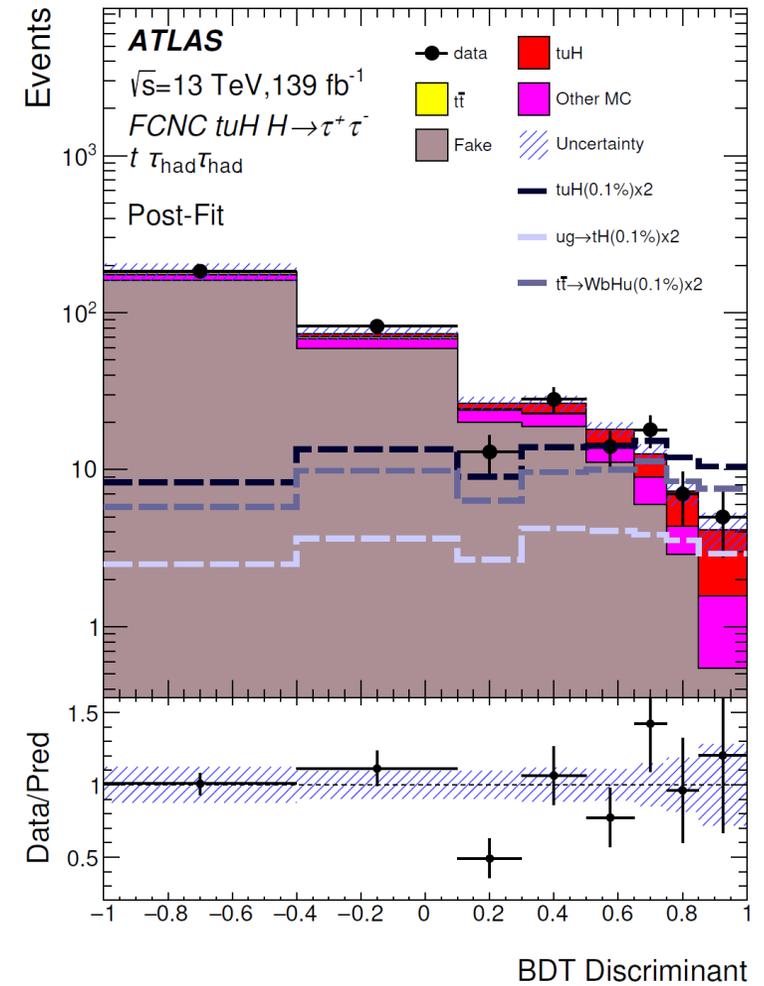
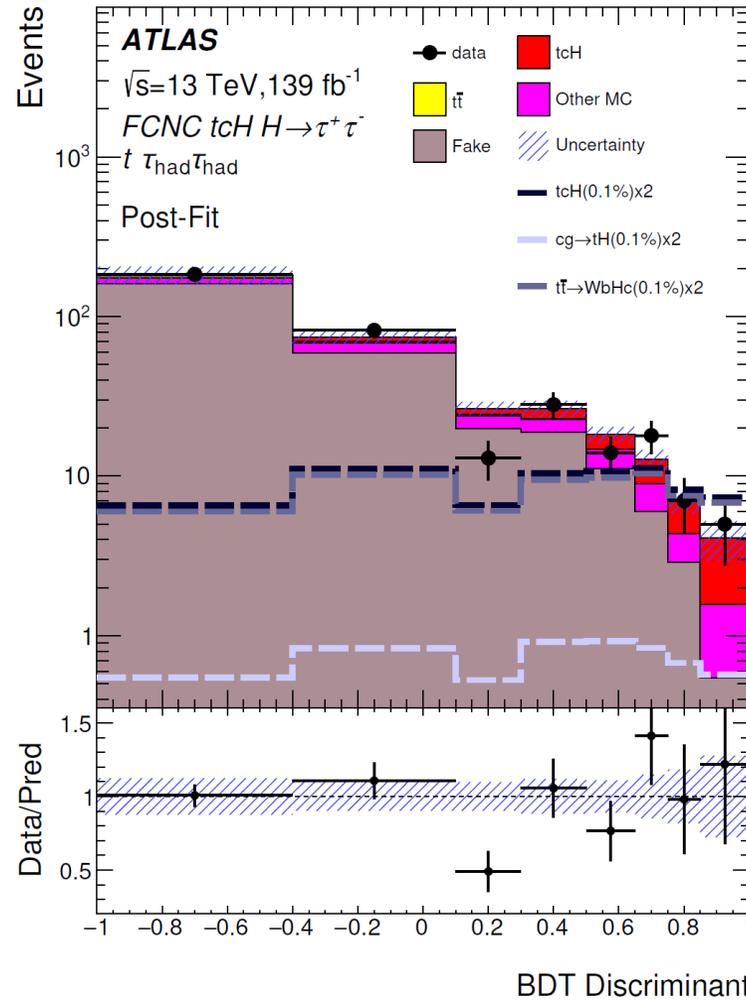
# Top FCNC $t \rightarrow qH(\rightarrow \tau\tau)$

JHEP 06 (2023) 155

FCNC (semi-diagonal)



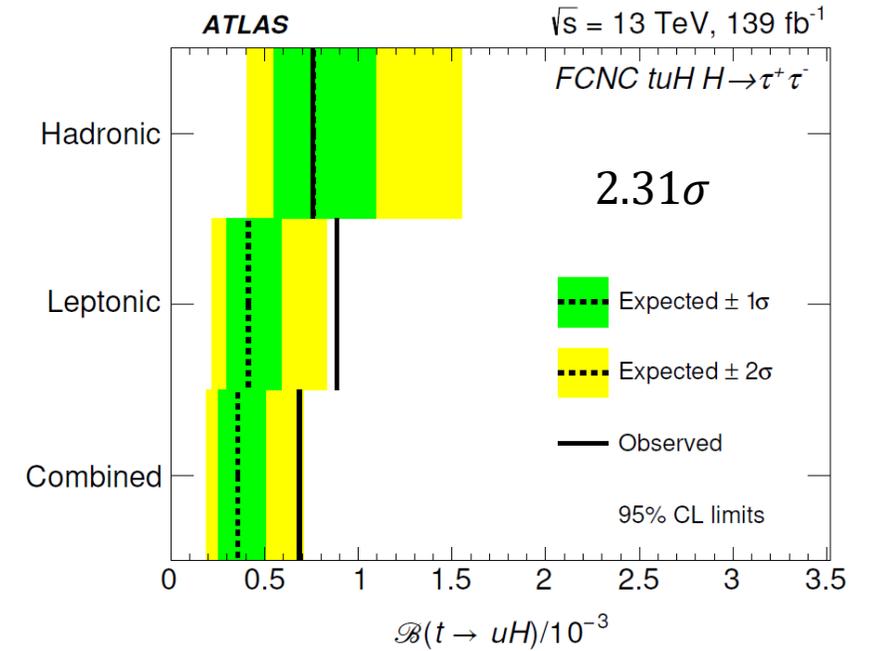
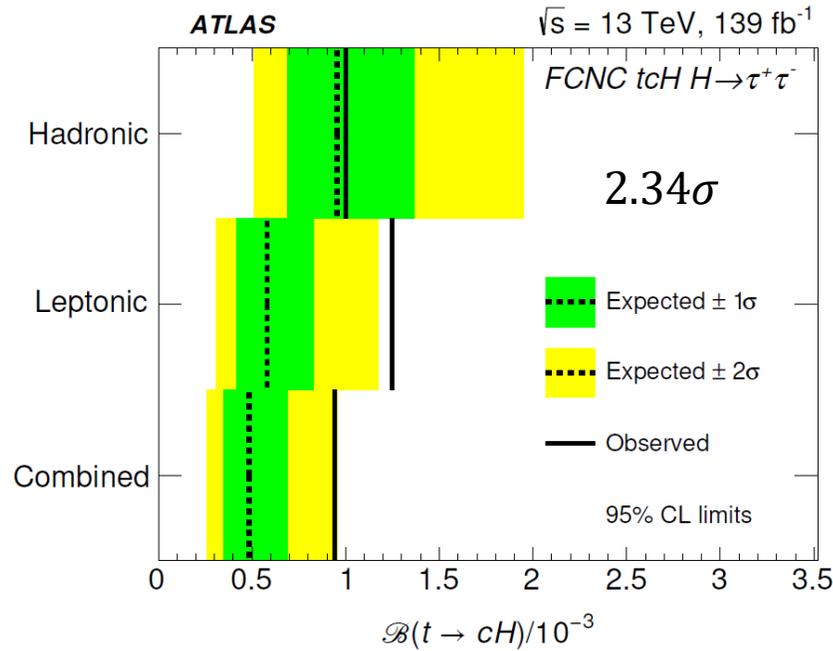
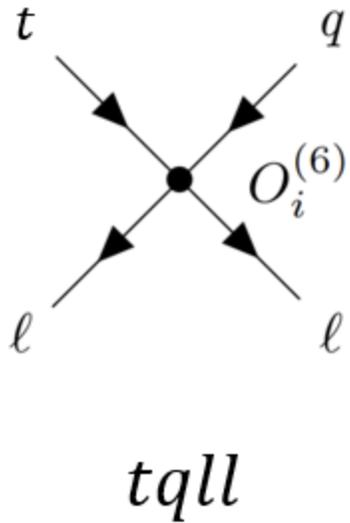
$tqll$



# Top FCNC $t \rightarrow qH(\rightarrow \tau\tau)$

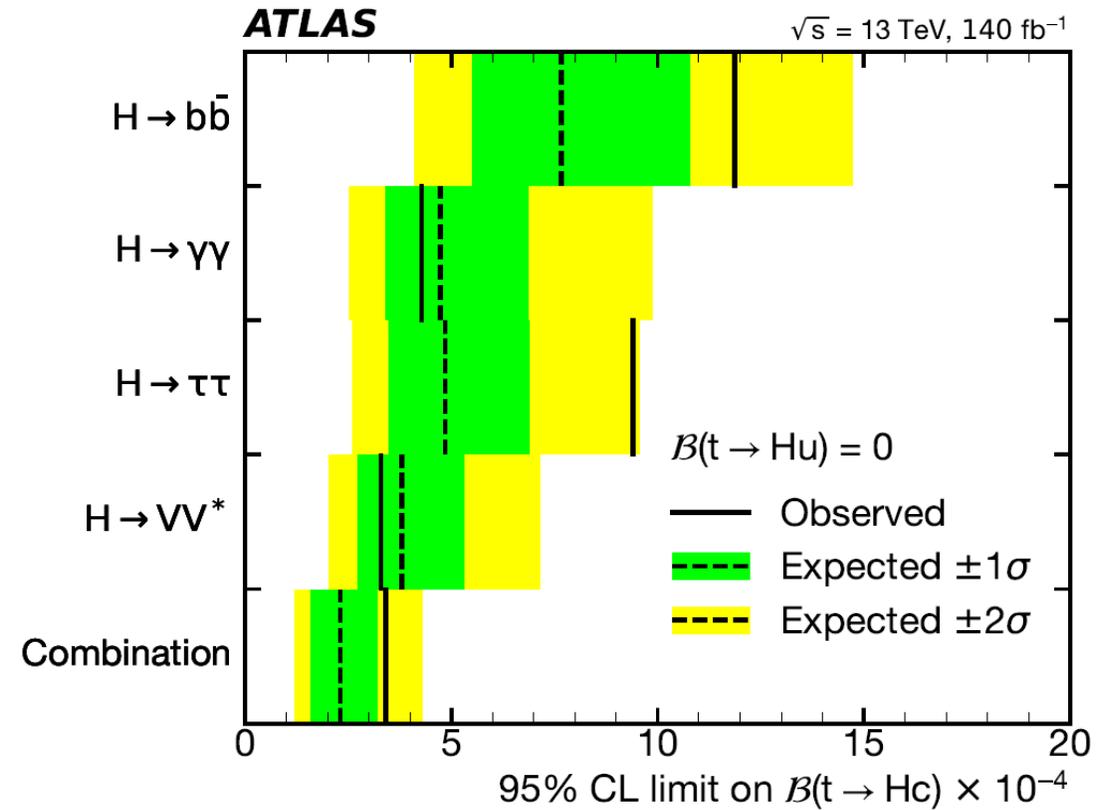
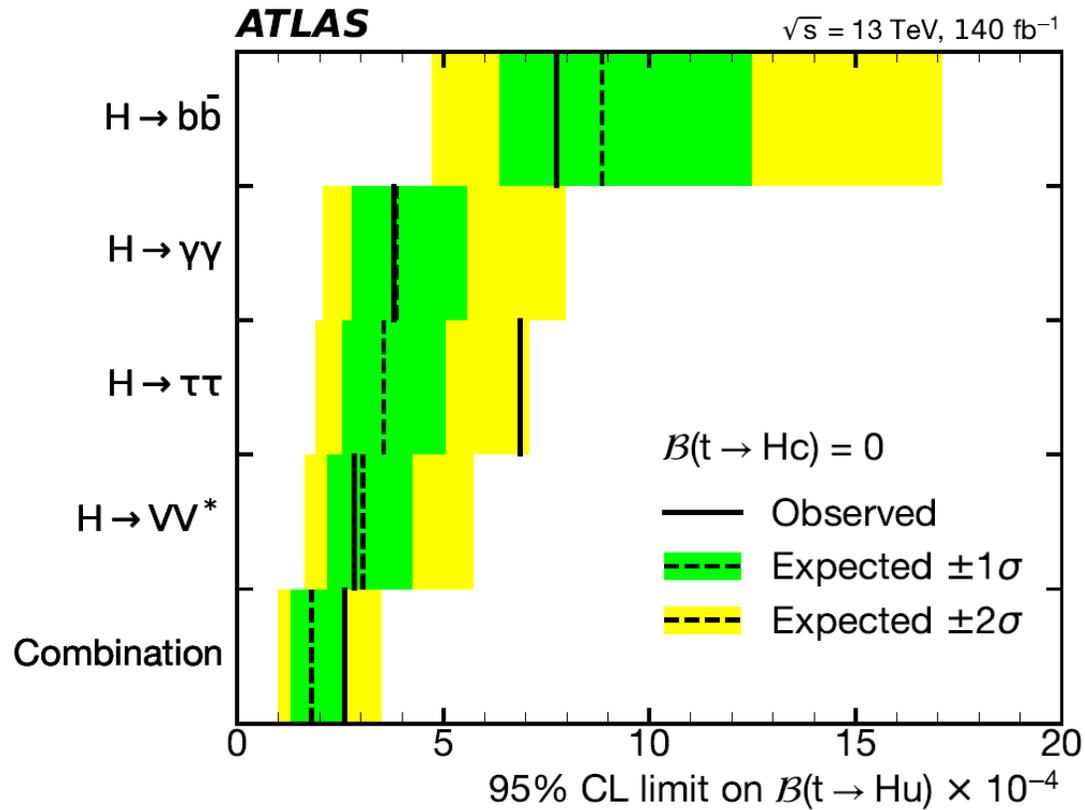
[JHEP 06 \(2023\) 155](#)

FCNC (semi-diagonal)



# Top FCNC $t \rightarrow qH(\rightarrow XX)$

[arXiv:2404.02123](https://arxiv.org/abs/2404.02123)



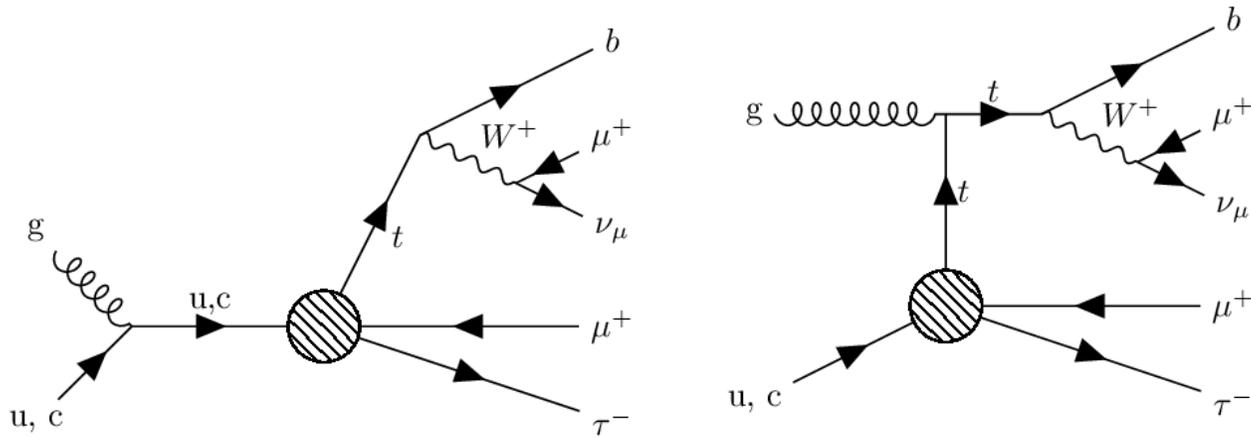
# Top Charged-Lepton Flavour-Violation

(vs B / Lep flavour anomalies)

# Top CLFV

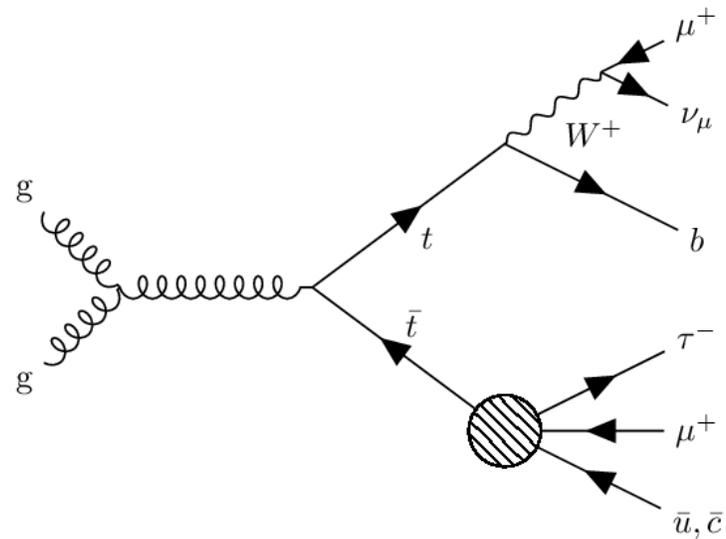
Production

$$qg \rightarrow tll'$$



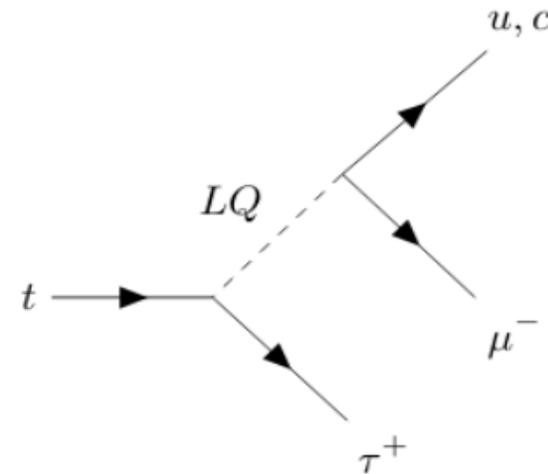
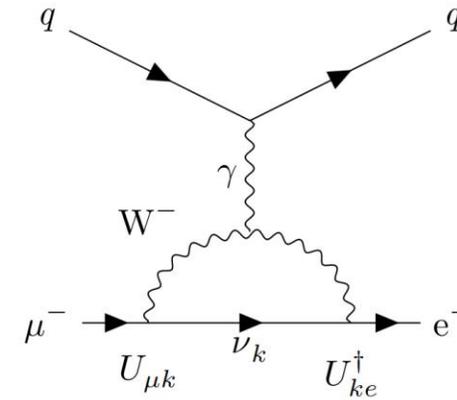
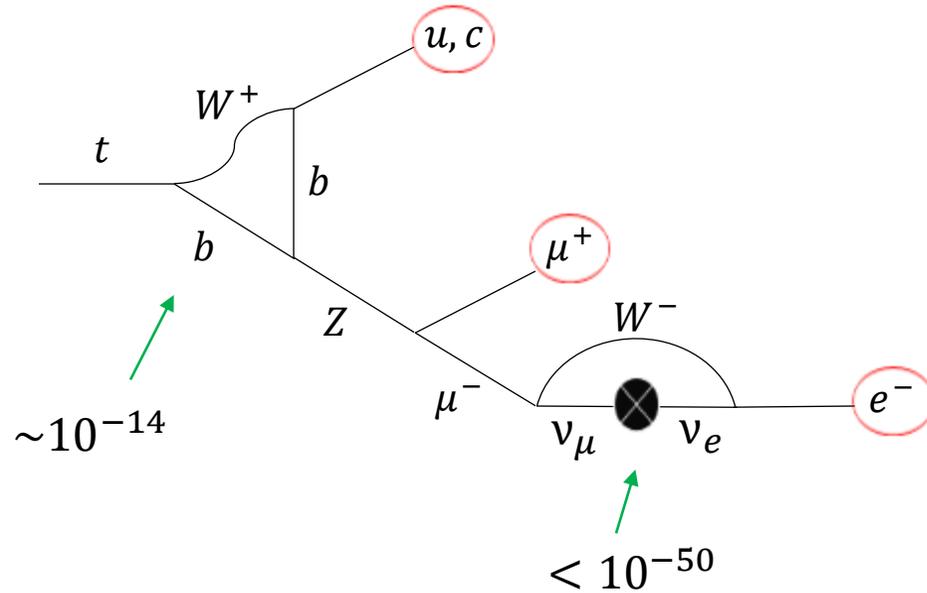
Decay

$$t\bar{t} \rightarrow (ll'q)(l\nu b)$$



# CLFV and Neutrino Oscillations / New Physics

Neutrino oscillations  $\rightarrow$  LFV in lepton sector but far beyond any experimental sensitivity

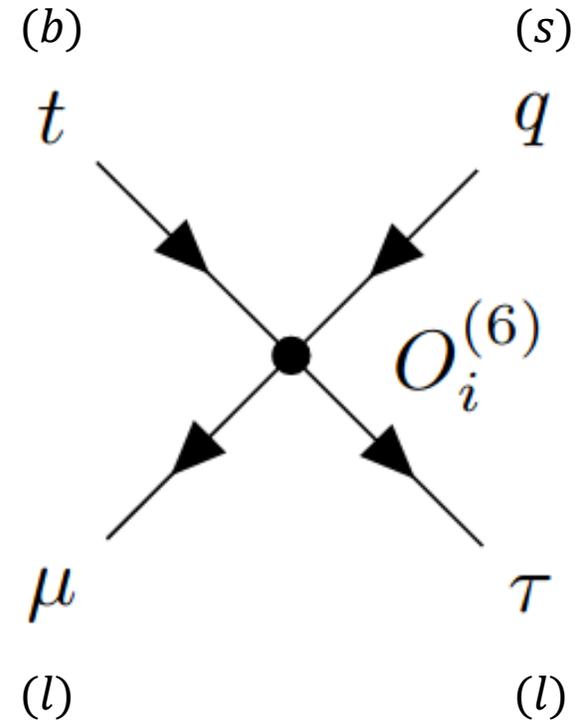


New physics which introduces additional terms involving lepton fields in Lagrangian can lead to LFV, e.g. SUSY, leptoquarks, 2HDMs



# 2Q2L EFT operators

Operator	Interaction	Lorentz Structure
$O_{lq}^{1(ijkl)}$	$(\bar{l}_i \gamma^\mu l_j)(\bar{q}_k \gamma_\mu q_l)$	Vector
$O_{lq}^{3(ijkl)}$	$(\bar{l}_i \gamma^\mu \sigma^I l_j)(\bar{q}_k \gamma_\mu \sigma_I q_l)$	Vector
$O_{eq}^{(ijkl)}$	$(\bar{e}_i \gamma^\mu e_j)(\bar{q}_k \gamma_\mu q_l)$	Vector
$O_{lu}^{(ijkl)}$	$(\bar{l}_i \gamma^\mu l_j)(\bar{u}_k \gamma_\mu u_l)$	Vector
$O_{eu}^{(ijkl)}$	$(\bar{e}_i \gamma^\mu e_j)(\bar{u}_k \gamma_\mu u_l)$	Vector
$O_{lequ}^{1(ijkl)}$	$(\bar{l}_i e_j) \varepsilon (\bar{q}_k u_l)$	Scalar
$O_{lequ}^{3(ijkl)}$	$(\bar{l}_i \sigma^{\mu\nu} e_j) \varepsilon (\bar{q}_k \sigma_{\mu\nu} u_l)$	Tensor



# Recent history

Limits on CLFV branching ratio of top (95% CL):

$$B(t \rightarrow ll'q) < 1.86 \times 10^{-5}$$

[ATLAS-CONF-2018-044](#)

(3-lepton final state, 80 fb<sup>-1</sup>)

$$B(t \rightarrow e\mu q) < 6.6 \times 10^{-6}$$

$$B(t \rightarrow e\mu q) < 0.009 - 0.258 \times 10^{-6}$$

[CMS-PAS-TOP-22-005](#)

(3-lepton final state, 138 fb<sup>-1</sup>)

This analysis is first direct search for CLFV  $\mu\tau qt$  coupling.

BSM models predicting CLFV with electrons/muons also apply to taus, often additionally enhanced due to larger mass



# Charged Lepton Flavour Violation

Using [dim6top](#), found to agree with [SMEFTsim 3.0](#)

	Cross-section $\sigma_{-scale}^{+scale} \pm \text{PDF}$ [fb]		
	$c_{\text{vector}}^{(ijk3)}$	$c_{\text{lequ}}^{1(ijk3)}$	$c_{\text{lequ}}^{3(ijk3)}$
<b>Production <math>\ell\ell' ut</math></b>	$118_{-19}^{+24} \pm 1$	$101_{-16}^{+21} \pm 1$	$2150_{-320}^{+410} \pm 20$
<b>Production <math>\ell\ell' ct</math></b>	$7.9_{-1.0}^{+1.2} \pm 1.6$	$6.1_{-0.8}^{+1.0} \pm 1.5$	$153_{-18}^{+21} \pm 29$
<b>Decay <math>\ell\ell' q_k t</math></b>	$6.9_{-1.3}^{+1.8} \pm 0.1$	$3.46_{-0.66}^{+0.90} \pm 0.03$	$166_{-32}^{+43} \pm 2$

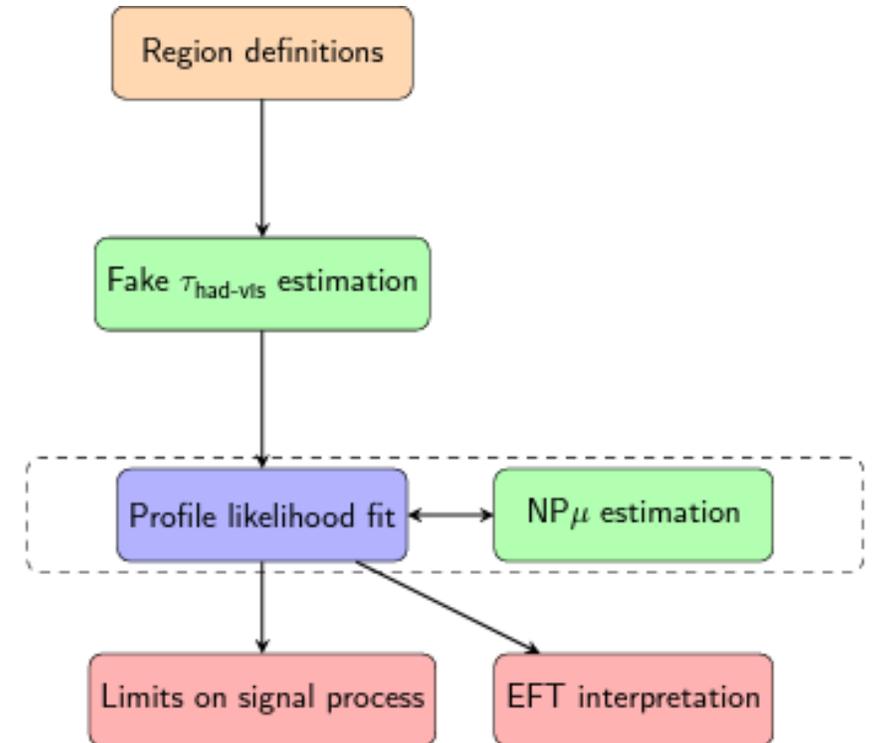
$$\Gamma(t \rightarrow \ell_i^+ \ell_j^- q_k) = \frac{m_t}{6144\pi^3} \left(\frac{m_t}{\Lambda}\right)^4 \left\{ 4|c_{\text{lequ}}^{-(ijk3)}|^2 + 4|c_{\text{lequ}}^{(ijk3)}|^2 + 4|c_{\text{lequ}}^{(ijk3)}|^2 \right. \\ \left. + 4|c_{\text{lequ}}^{(ijk3)}|^2 + 2|c_{\text{lequ}}^{1(ijk3)}|^2 + 96|c_{\text{lequ}}^{3(ijk3)}|^2 \right\}$$

[JHEP04\(2019\)014](#)



# Charged Lepton Flavour Violation

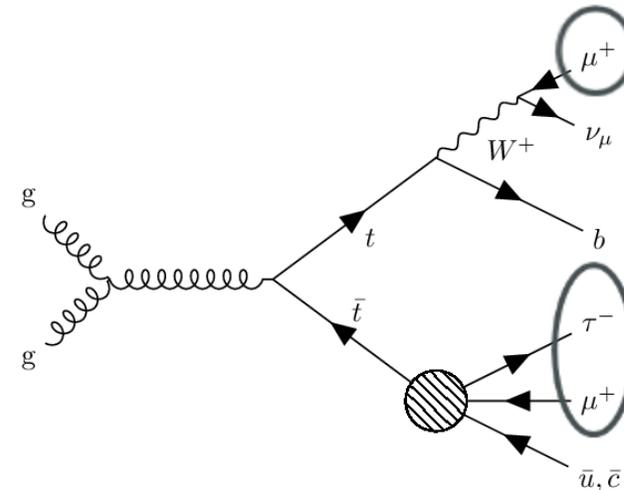
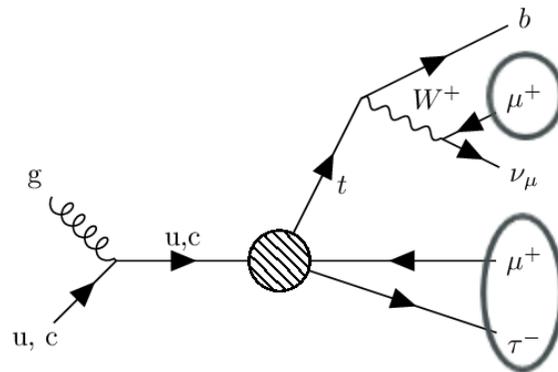
- Single lepton triggers
- Definition of analysis regions including dedicated CRs for fake backgrounds
  - Select events with electrons, muons and *hadronically-decaying* tau leptons ( $\tau_{\text{had-vis}}$ )
  - **Trilepton** selection:  $\mu\mu\tau_{\text{had-vis}} / e\mu\mu$
- Prompt/real backgrounds estimated in MC ( $t\bar{t}V$ , diboson,  $tW$ )
- Data-driven estimation of fake lepton backgrounds
  - Fake  $\tau_{\text{had-vis}}$  (+ 2 prompt  $\mu$ ): scale factor method
  - Non-prompt muons: template fit method (*takes place in PL fit*)
- Profile likelihood fit to SRs and non-prompt muon CR
- EFT interpretation



# Event selection with $139 \text{ fb}^{-1}$

- Top quark decay and production diagrams differ by 1-jet
- Trilepton event selection including hadronic taus
- Same-sign muons produce significant background reduction

	SR	CR $\tau$	CR $t\bar{t}\mu$
Lepton flavour	$2\mu 1\tau_{\text{had}}$		$2\mu 1e (\ell_3 = \mu)$
$N_{\text{jets}}$	$\geq 1$	$\geq 2$	$\geq 1$
$N_{b\text{-tags}}$	1	1	$\leq 2$
$\tau_{\text{had}} p_{\text{T}}$	$> 20 \text{ GeV}$	$> 20 \text{ GeV}$	–
Muon $p_{\text{T}}$	$> 15 \text{ GeV}$	$> 15 \text{ GeV}$	$> 10 \text{ GeV}$
Higher $p_{\text{T}}$ muon	Tight	Tight	Tight
Lower $p_{\text{T}}$ muon	Tight	Tight	Loose
Muon charges	SS	OS	–
$m_{\mu\mu}^{\text{OS}}$	–	–	$> 15 \text{ GeV}$
$ m_{\mu\mu}^{\text{OS}} - M_Z $	–	$< 10 \text{ GeV}$	$> 10 \text{ GeV}$
$3p_{\text{T}}^{\mu_1} + \sum m_{\ell\ell}^{\text{OS}}$	–	–	$< 400 \text{ GeV}$



# Yields

Process	SR			CR $t\bar{t}\mu$		
$t\bar{t} + \text{NP } \mu$	7.9	$\pm$	3.4	164	$\pm$	14
$t\bar{t}W$	3.5	$\pm$	1.8	1.2	$\pm$	0.6
$t\bar{t}H$	3.1	$\pm$	0.4	1.26	$\pm$	0.14
$t\bar{t}Z$	2.9	$\pm$	0.5	0.88	$\pm$	0.33
$t+X$	2.48	$\pm$	0.18		–	
$WZ$	3.6	$\pm$	1.3	7.3	$\pm$	2.4
$ZZ$	0.59	$\pm$	0.22	1.8	$\pm$	0.6
$VVV$	0.01	$\pm$	0.05	0.47	$\pm$	0.24
Fake electron		–		7	$\pm$	4
Fake $\tau$	3.3	$\pm$	0.4		–	
Fake $\tau + \text{NP } \mu$	3.7	$\pm$	2.7		–	
$t+X + \text{NP } \mu$	0.29	$\pm$	0.31	15	$\pm$	5
$Z + \text{NP } \mu$	0.192	$\pm$	0.010	1.8	$\pm$	1.0
Other NP $\mu$	0.051	$\pm$	0.010		–	
Other	0.23	$\pm$	0.11	1.1	$\pm$	0.6
Signal ( $t\bar{t}$ )	0.19	$\pm$	0.14	0.025	$\pm$	0.019
Signal (single-top)	6	$\pm$	4	0.022	$\pm$	0.023
Total	38	$\pm$	5	201	$\pm$	14
Data	37			202		



# Fake-tau estimation

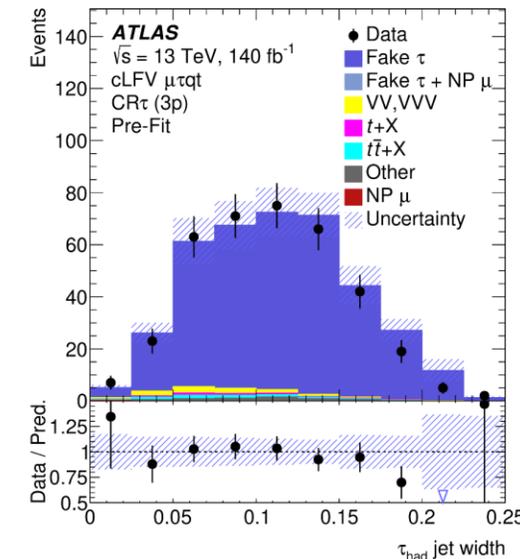
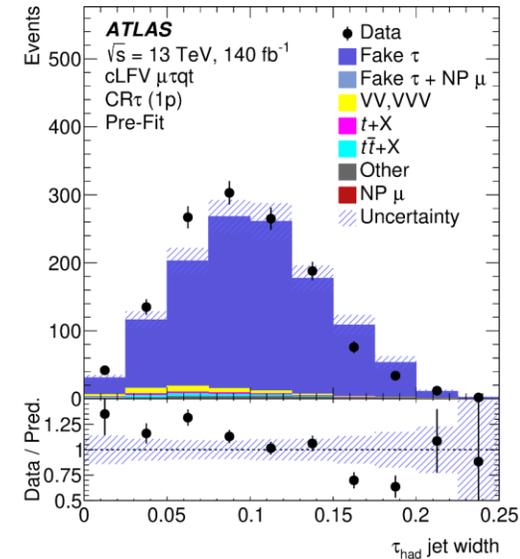
Fakes are usually due to mis-identified jets

Dedicated CR (does not enter the fit)

Scale factors (SF) are used to correct the rate of the fake-tau background

SFs are parameterised by:

- Track multiplicity (1-prong / 3-prong)
- Tau-jet width
  - This is a good proxy for the quark-gluon fractions which may differ slightly between SR/CR and between data and MC
- Systematics for SM backgrounds are propagated to the SFs and correlated appropriately in the fits

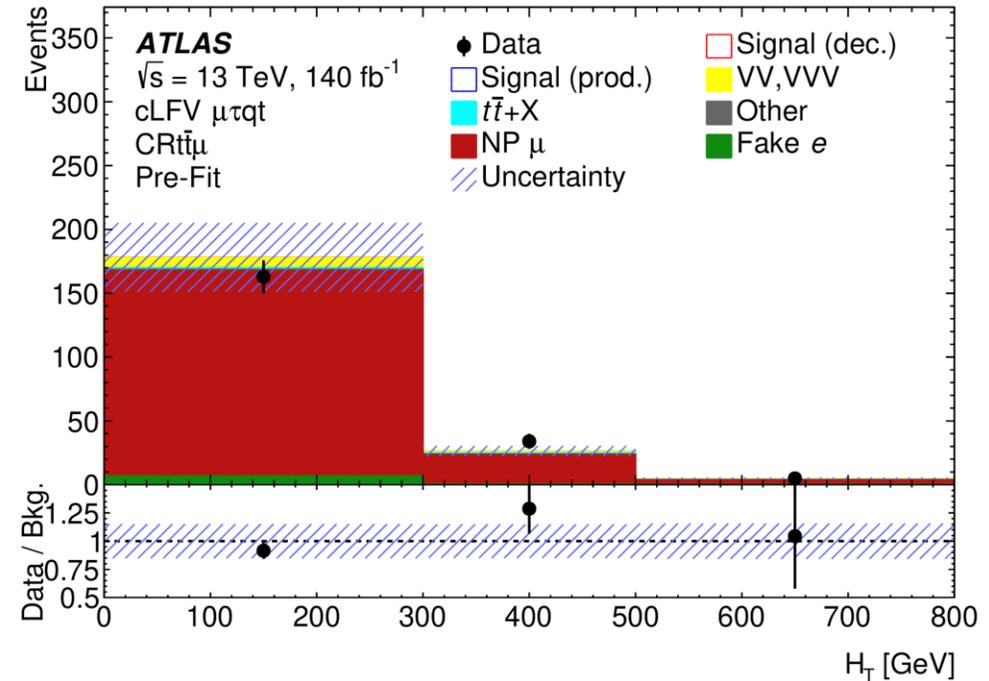


# Fake/Non-prompt (NP) muon estimation

Dedicated CR (enters the fit)

Targeting non-prompt muons from  $b$ -jets in  $t\bar{t}$  events

Normalisation is controlled by a profile-likelihood fit (next slides)



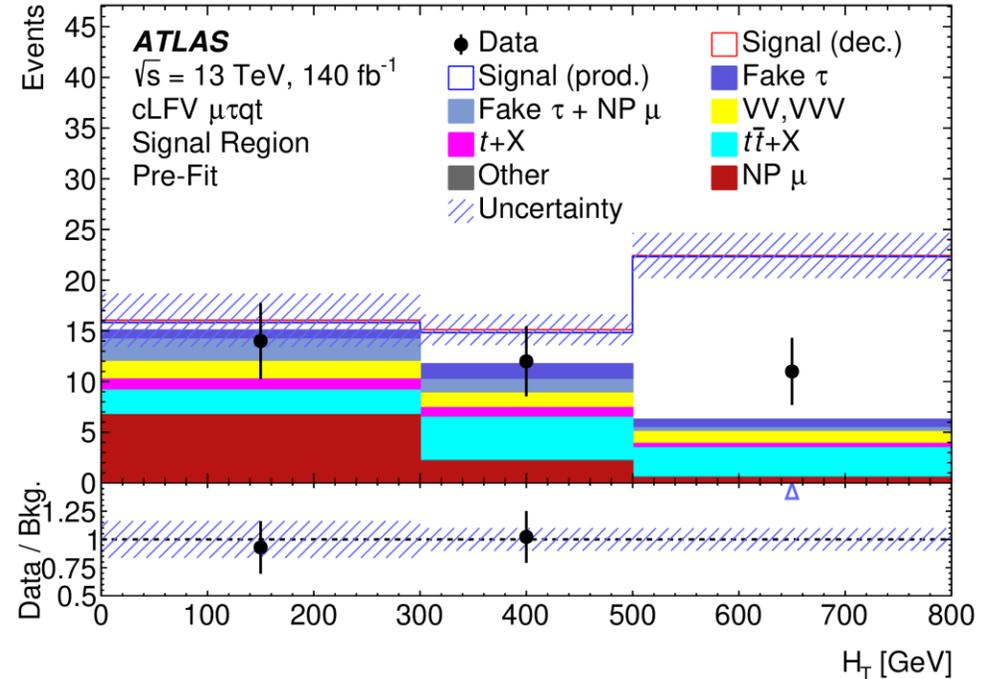
# Signal region

Binned in HT to capture energy growth behaviour of EFT operators

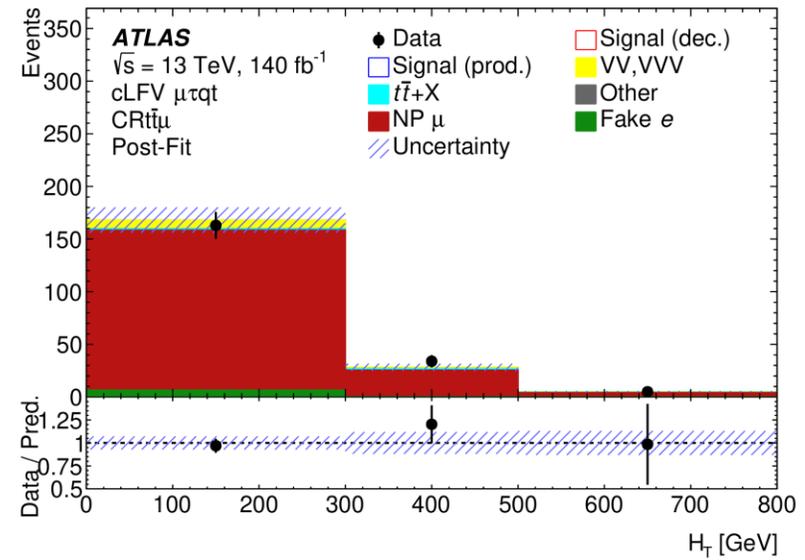
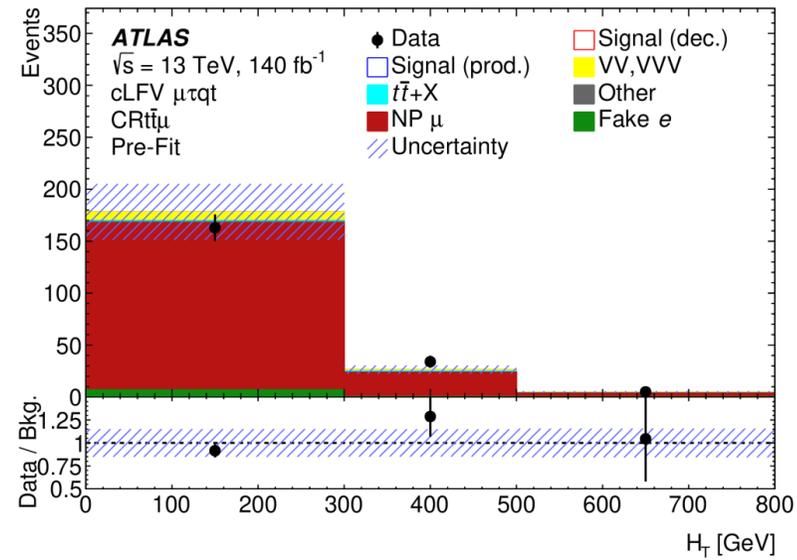
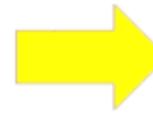
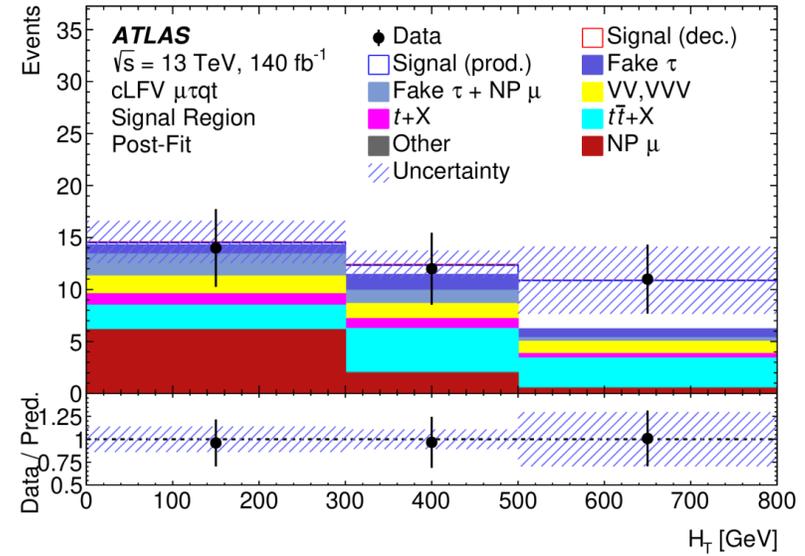
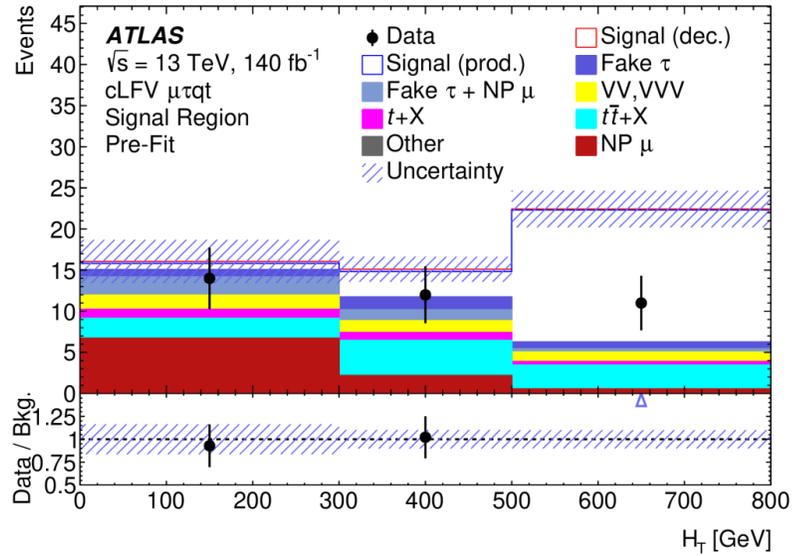
Signal shown is inclusive EFT (up-initiated, charm-initiated, all operators)

For up-quark operators, the production mode (blue) dominates the cross-section and sensitivity

For charm-quark operators, the production and decay modes are more balanced



# Profile-likelihood fit



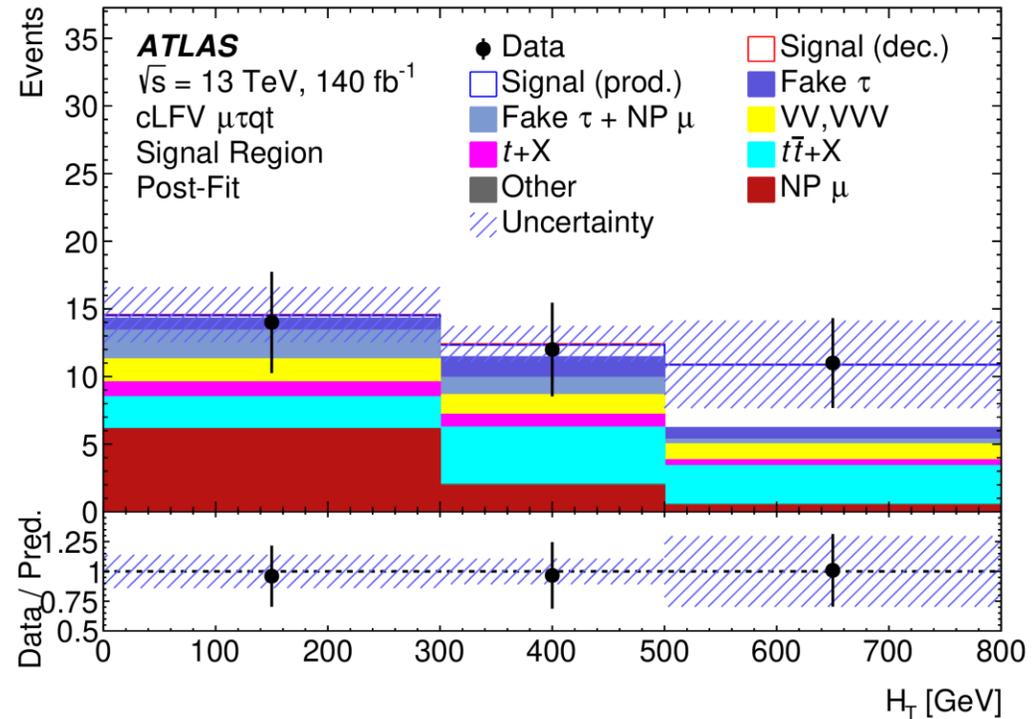
# Profile-likelihood fit

Good agreement between data and background-only model

## Statistically limited result

Largest systematics are signal,  $t\bar{t}W$  and diboson modelling

1.6 $\sigma$  tension



'Inclusive' BR limits set assuming all EFT operators are of equal magnitude

	95% CL upper limits on $\mathcal{B}(t \rightarrow \mu\tau q)$	
	Stat. uncertainty	Stat.+syst. uncertainties
<b>Expected</b>	$4.6 \times 10^{-7}$	$5.0 \times 10^{-7}$
<b>Observed</b>	$8.2 \times 10^{-7}$	$8.7 \times 10^{-7}$



# EFT Result breakdown

	95% CL upper limits on $\mathcal{B}(t \rightarrow \mu\tau q)$ ( $\times 10^{-7}$ )					
	$c_{lq}^{-(ijk3)}$	$c_{eq}^{(ijk3)}$	$c_{lu}^{(ijk3)}$	$c_{eu}^{(ijk3)}$	$c_{lequ}^{1(ijk3)}$	$c_{lequ}^{3(ijk3)}$
<b>Expected (u)</b>	2.3	2.0	1.9	2.2	1.2	3.0
<b>Observed (u)</b>	4.0	3.6	3.3	3.8	2.0	5.2
<b>Expected (c)</b>	33	32	32	33	20	41
<b>Observed (c)</b>	56	54	53	54	34	67

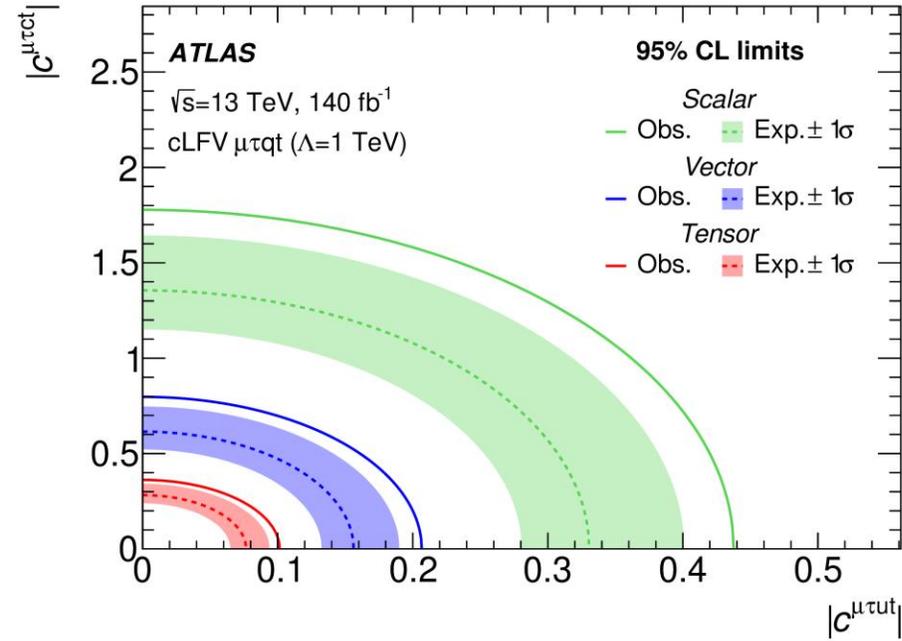
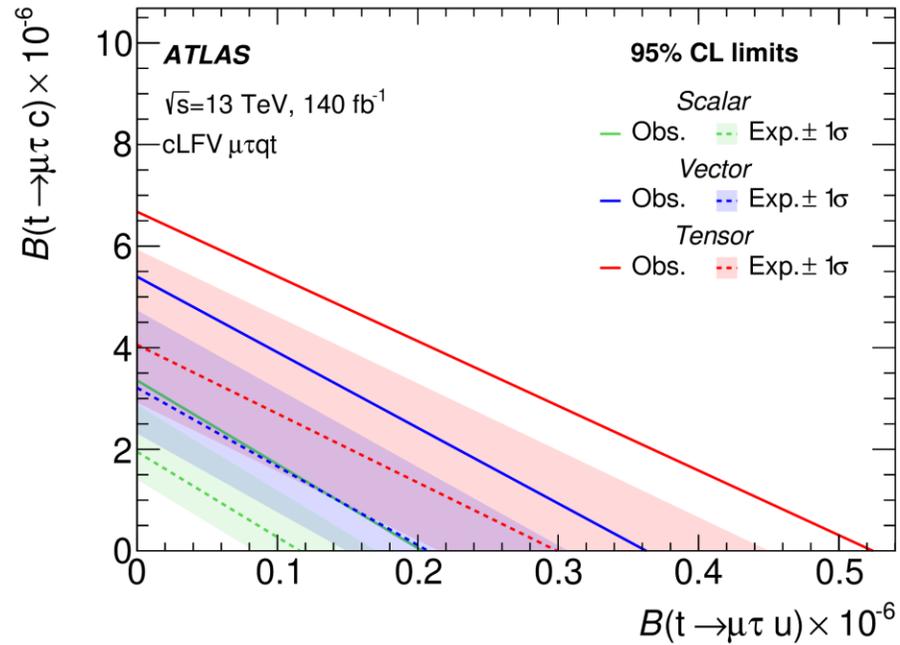
	95% CL upper limits on $ c /\Lambda^2$ [TeV $^{-2}$ ]					
	$c_{lq}^{-(ijk3)}$	$c_{eq}^{(ijk3)}$	$c_{lu}^{(ijk3)}$	$c_{eu}^{(ijk3)}$	$c_{lequ}^{1(ijk3)}$	$c_{lequ}^{3(ijk3)}$
<b>Previous (u)</b>	12	12	12	12	18	2.4
<b>Expected (u)</b>	0.33	0.31	0.3	0.32	0.33	0.08
<b>Observed (u)</b>	0.43	0.41	0.4	0.42	0.44	0.10
<b>Previous (c)</b>	14	14	14	14	21	2.6
<b>Expected (c)</b>	1.3	1.2	1.2	1.2	1.4	0.28
<b>Observed (c)</b>	1.6	1.6	1.6	1.6	1.8	0.36

EFT limits improve upon previous results ([re-interpretation of ATLAS FCNC  \$tZq\$  analysis](#)):

- From factors of 7.2 for  $c_{lequ}^{3(2323)}$  (for  $\mu\tau ct$ ) to 41 for  $c_{lequ}^{1(2313)}$  (for  $\mu\tau ut$ ).



# EFT Result breakdown

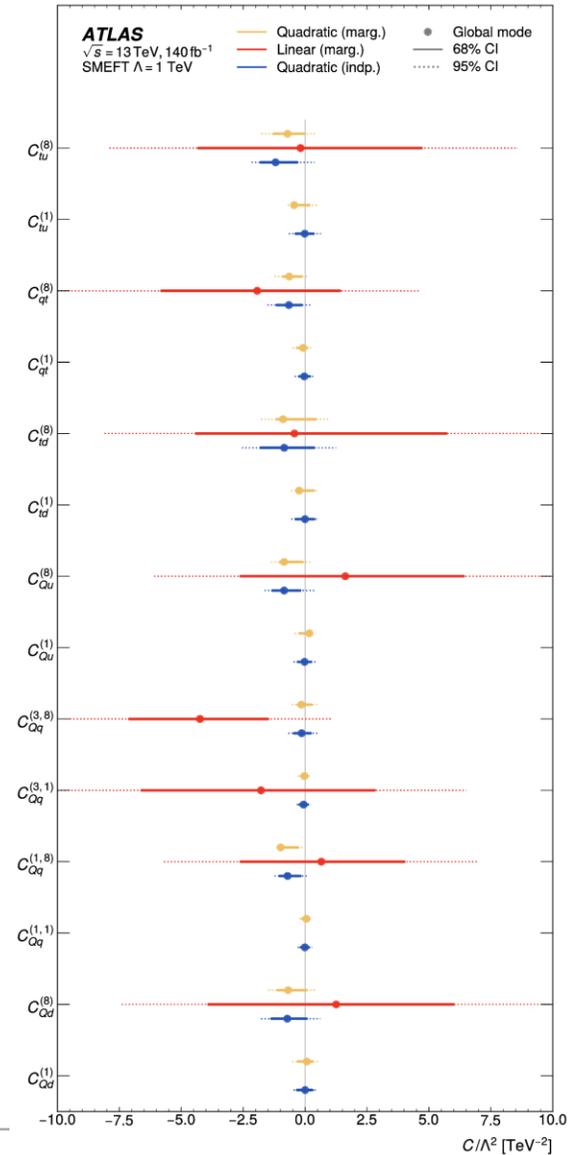
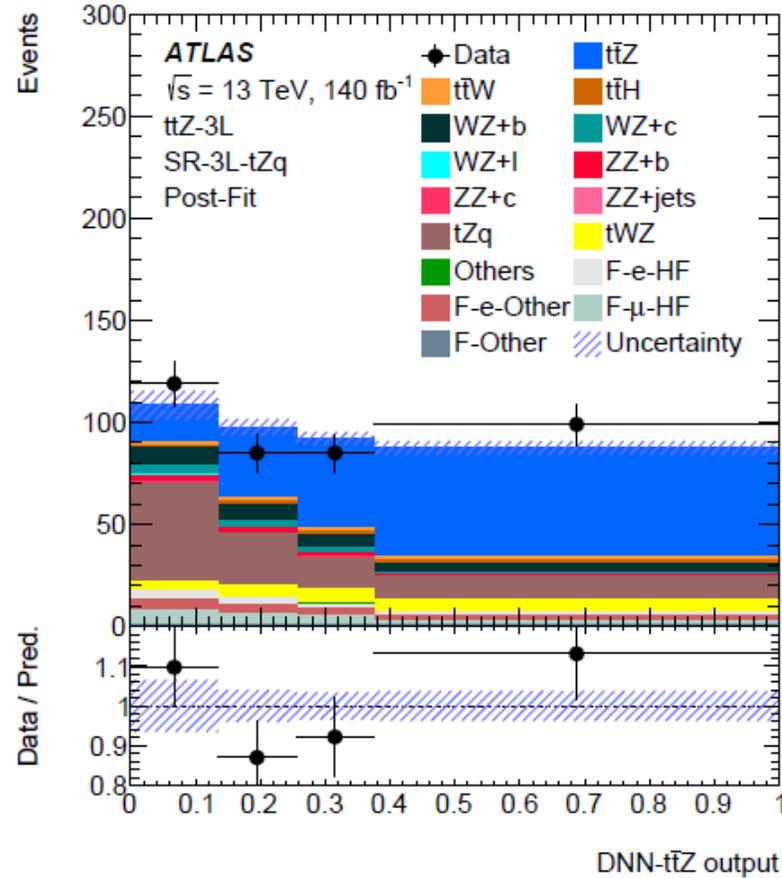
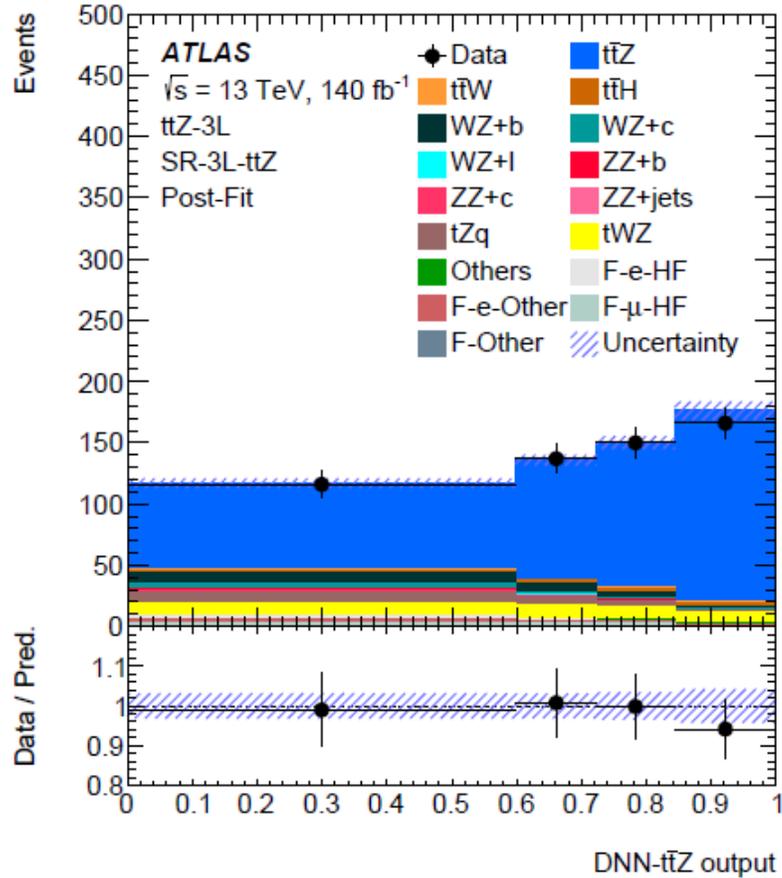


*What's next?*

Off-shell  $t\bar{t}Z$  ( $t\bar{t}ll$ ) and  $tZq$  ( $tqll$ )

# Going off-shell

arXiv:2312.04450

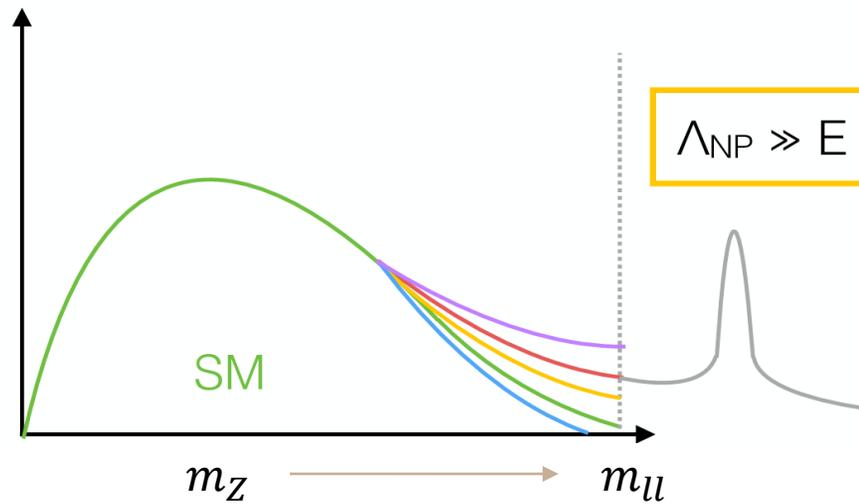


# Going off-shell

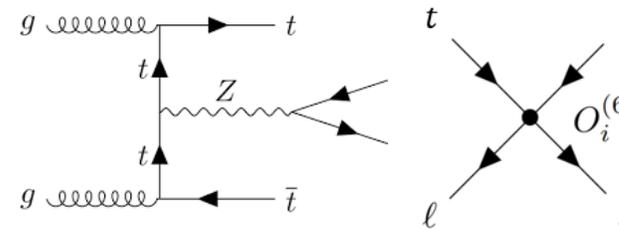
arXiv:2312.04450

Taking a  $t\bar{t}Z$  style analysis and going off shell has benefits for NP searches:

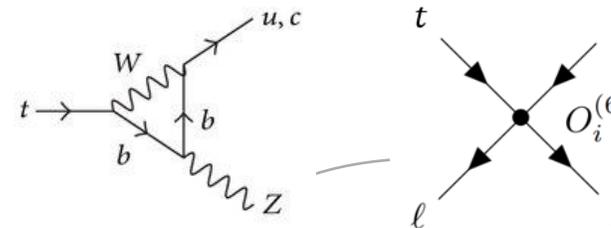
- Massively reduce SM backgrounds
- Enhance sensitivity to EFT operators (which exhibit energy growth)



$t\bar{t}Z$  search becomes  $t\bar{t}ll$  search above Z peak



$tZq$  search becomes  $tql$  search above Z peak

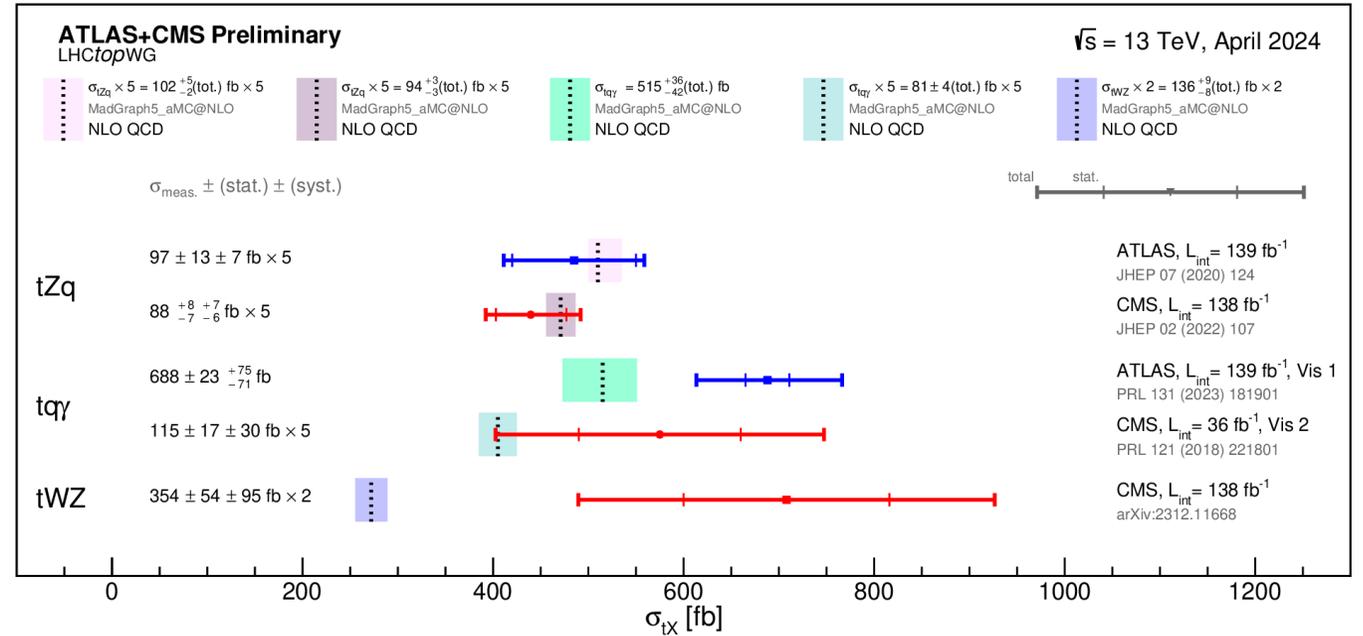
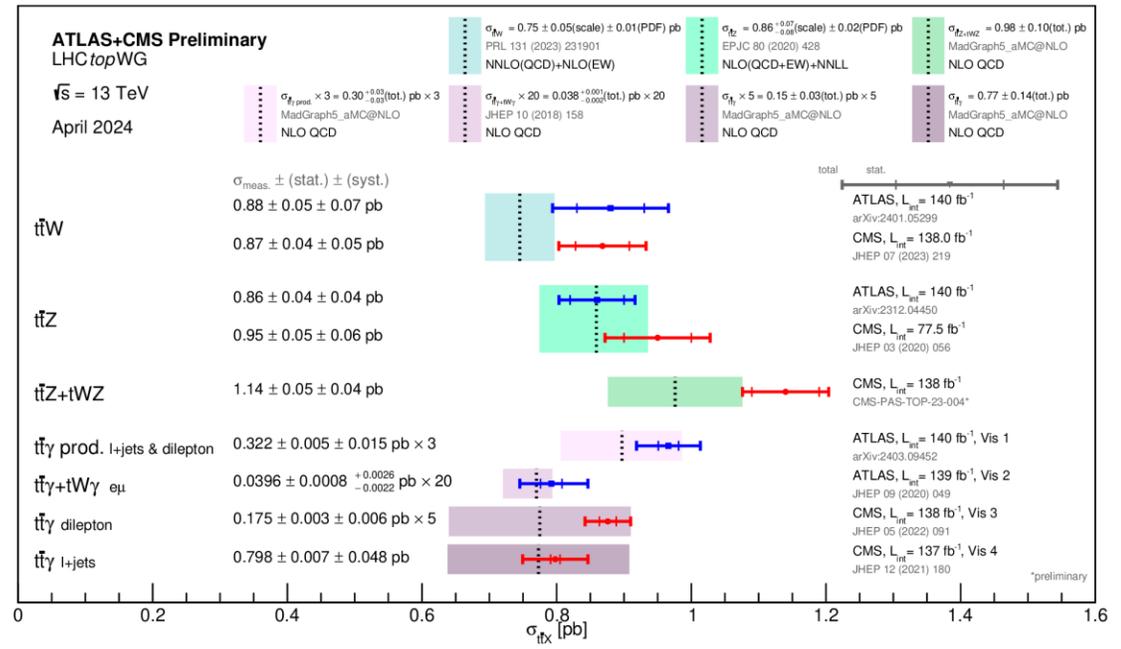
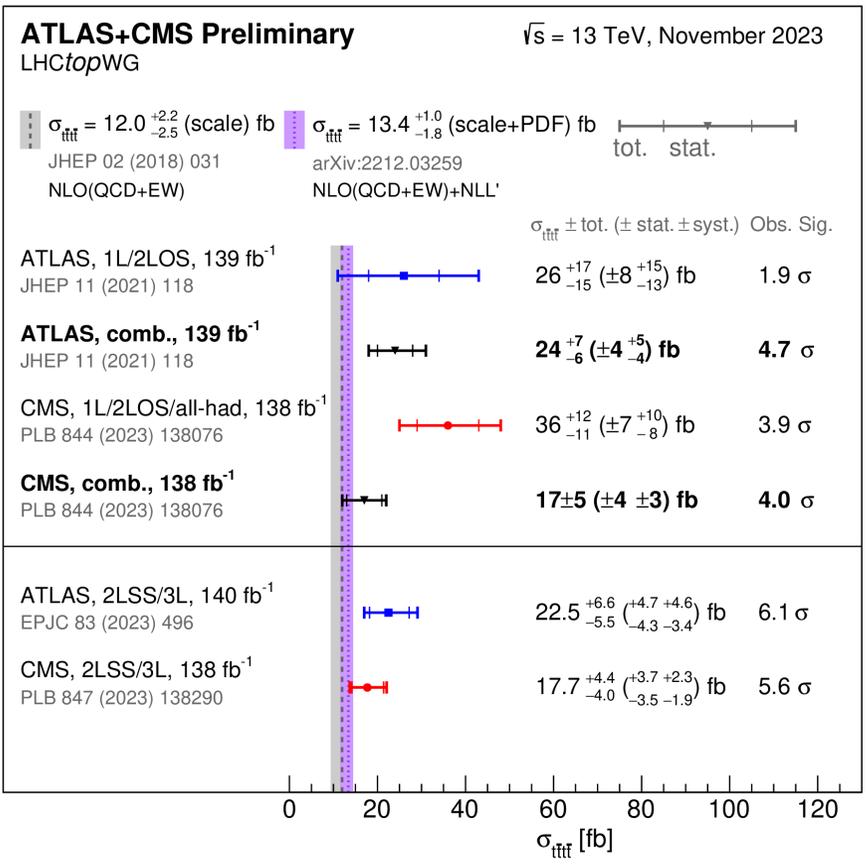


*What's next?*

Future Top+X EFT

# Top+X ATL-PHYS-PUB-2024-005

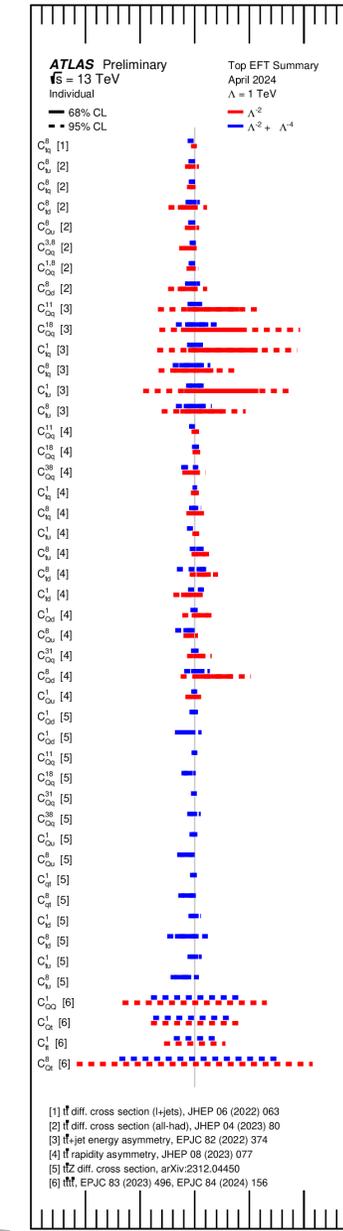
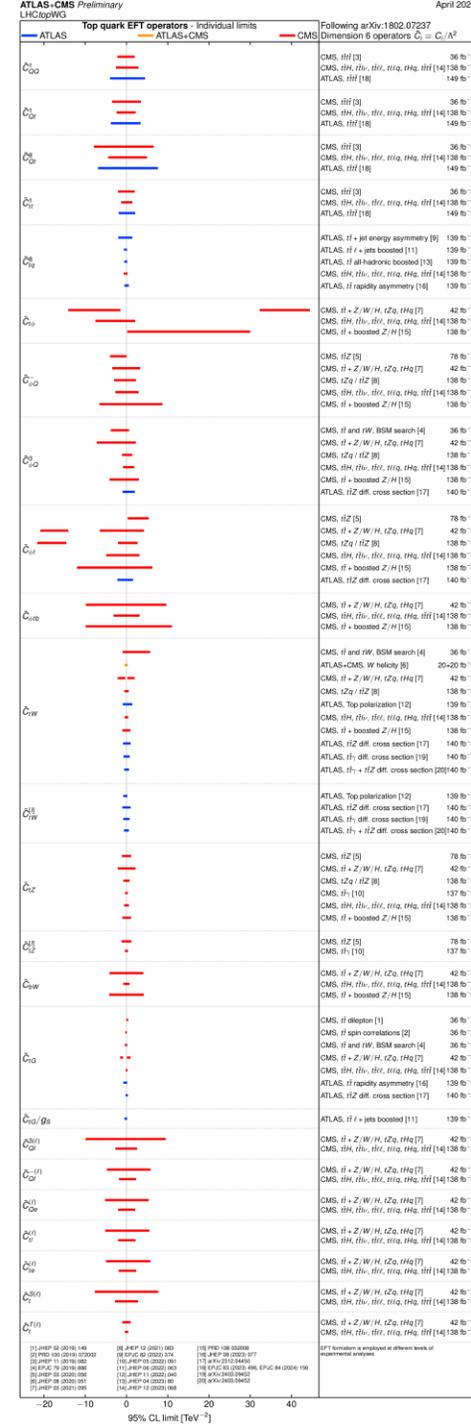
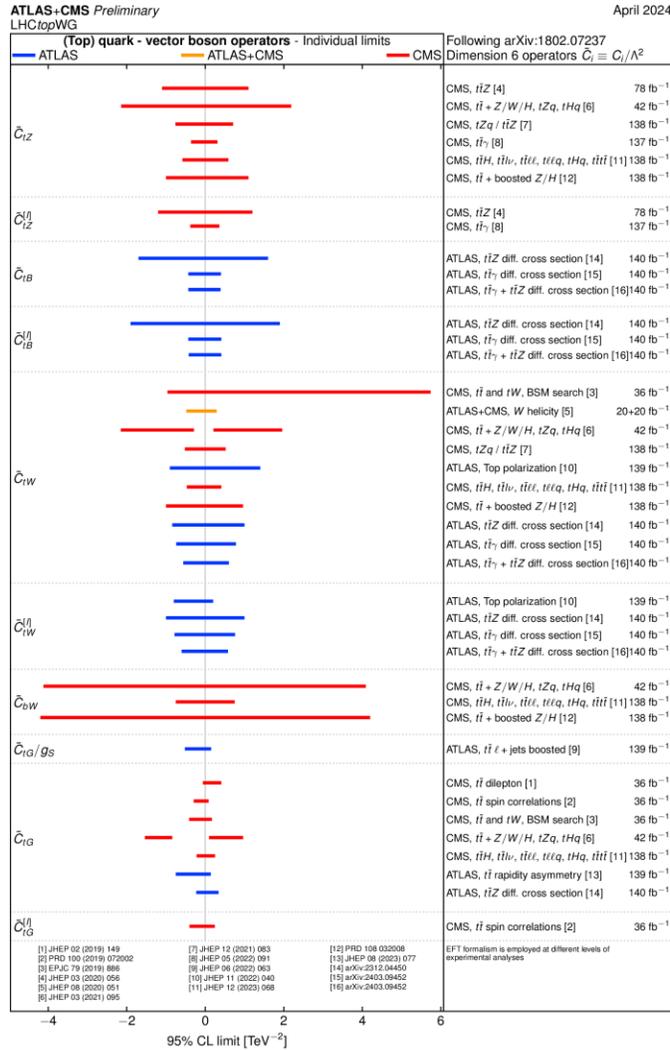
There are a lot of individual high-precision Top+X measurements!



# Top EFT

ATL-PHYS-PUB-2024-004

There are a lot of individual high-precision Top EFT measurements!



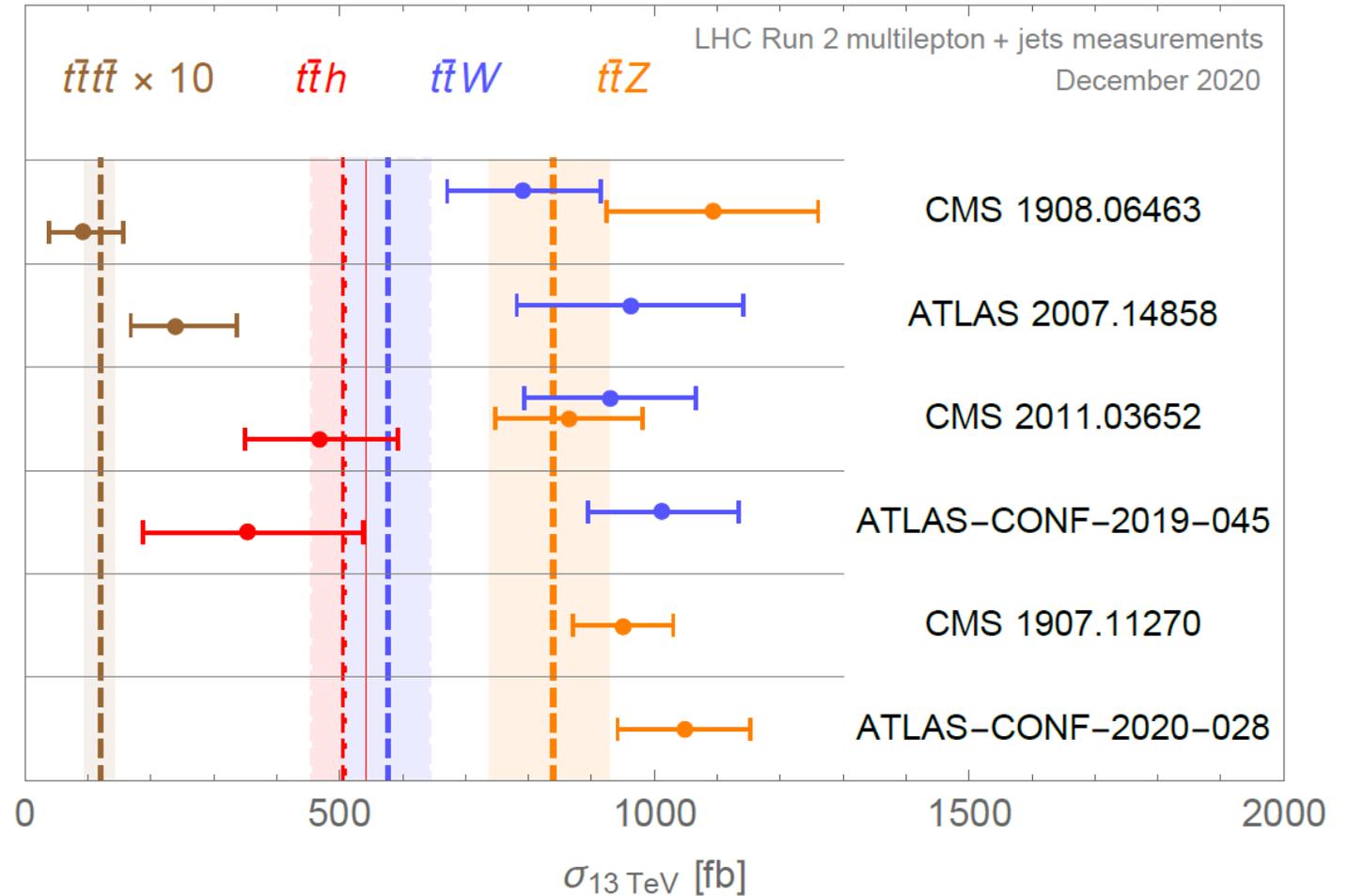
# Top+X (EFT) Combinations

(Plot not up to date, just exemplar)

There are also some hints of disagreement with SM predictions - especially in  $t\bar{t}W$  and potentially  $t\bar{t}t\bar{t}$

How to understand these effects in the most robust way?

- In a Top+X measurement, every other Top+X process is the main background
- Many Top+X processes are sensitive to the same EFT couplings
- Impossible to disentangle them while measuring one at a time



[JHEP 02 \(2021\) 043](#)

Event category	Leptons	$m_{\ell\ell}$	b tags	Lepton charge sum	Jets	Kinematical variable
$2lss\ 2b$	2	No requirement	2	$>0, <0$	$4, 5, 6, \geq 7$	$p_T(\ell_j)_{\max}$
$2lss\ 3b$	2	No requirement	$\geq 3$	$>0, <0$	$4, 5, 6, \geq 7$	$p_T(\ell_j)_{\max}$
$3l\ off-Z\ 1b$	3	$ m_Z - m_{\ell\ell}  > 10\text{ GeV}$	1	$>0, <0$	$2, 3, 4, \geq 5$	$p_T(\ell_j)_{\max}$
$3l\ off-Z\ 2b$	3	$ m_Z - m_{\ell\ell}  > 10\text{ GeV}$	$\geq 2$	$>0, <0$	$2, 3, 4, \geq 5$	$p_T(\ell_j)_{\max}$
$3l\ on-Z\ 1b$	3	$ m_Z - m_{\ell\ell}  < 10\text{ GeV}$	1	No requirement	$2, 3, 4, \geq 5$	$p_T(Z)$
$3l\ on-Z\ 2b$	3	$ m_Z - m_{\ell\ell}  < 10\text{ GeV}$	$\geq 2$	No requirement	$2, 3, 4, \geq 5$	$p_T(Z)$ or $p_T(\ell_j)_{\max}$
$4l$	$\geq 4$	No requirement	$\geq 2$	No requirement	$2, 3, \geq 4$	$p_T(\ell_j)_{\max}$

### Grouping of WCs

### WCs

### Lead categories

$2hq2l$

$c_{Q\ell}^{3(\ell)}, c_{Q\ell}^{-3(\ell)}, c_{Qe}^{(\ell)}, c_{t\ell}^{(\ell)}, c_{te}^{(\ell)}, c_t^{S(\ell)}, c_t^{T(\ell)}$

$3l\ off-Z$

$4hq$

$c_{QQ}^1, c_{Qt}^1, c_{Qt}^8, c_{tt}^1$

$2lss$

$2hq2lq\ "t\bar{t}l\nu\text{-like}"$

$c_{Qq}^{11}, c_{Qq}^{18}, c_{tq}^1, c_{tq}^8$

$2lss$

$2hq2lq\ "t\bar{t}l\bar{q}\text{-like}"$

$c_{Qq}^{31}, c_{Qq}^{38}$

$3l\ on-Z$

$2hqV\ "t\bar{t}l\bar{l}\text{-like}"$

$c_{tZ}, c_{\phi t}, c_{\phi Q}^-$

$3l\ on-Z$  and  $2lss$

$2hqV\ "tXq\text{-like}"$

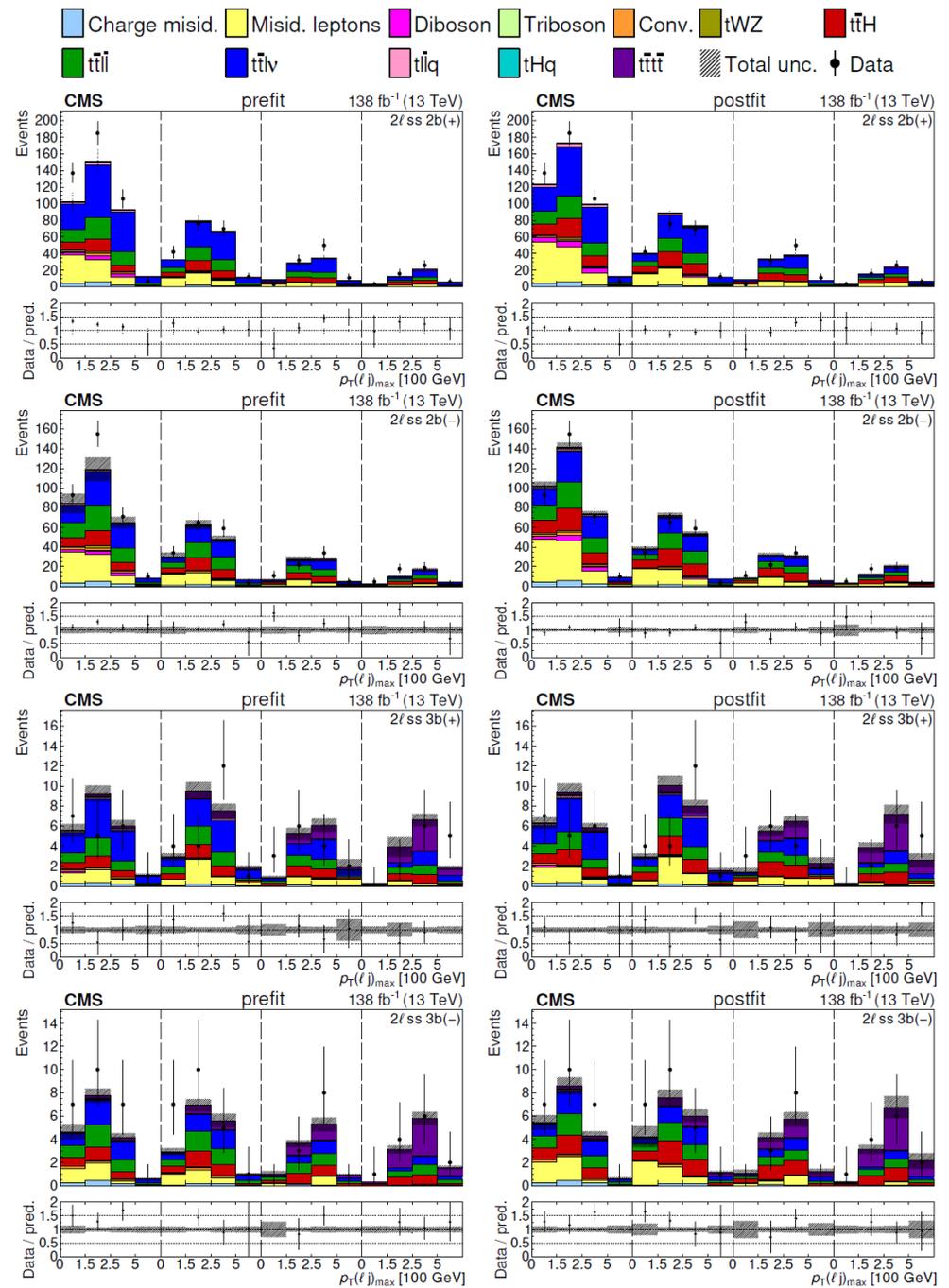
$c_{\phi Q}^3, c_{\phi tb}, c_{bW}$

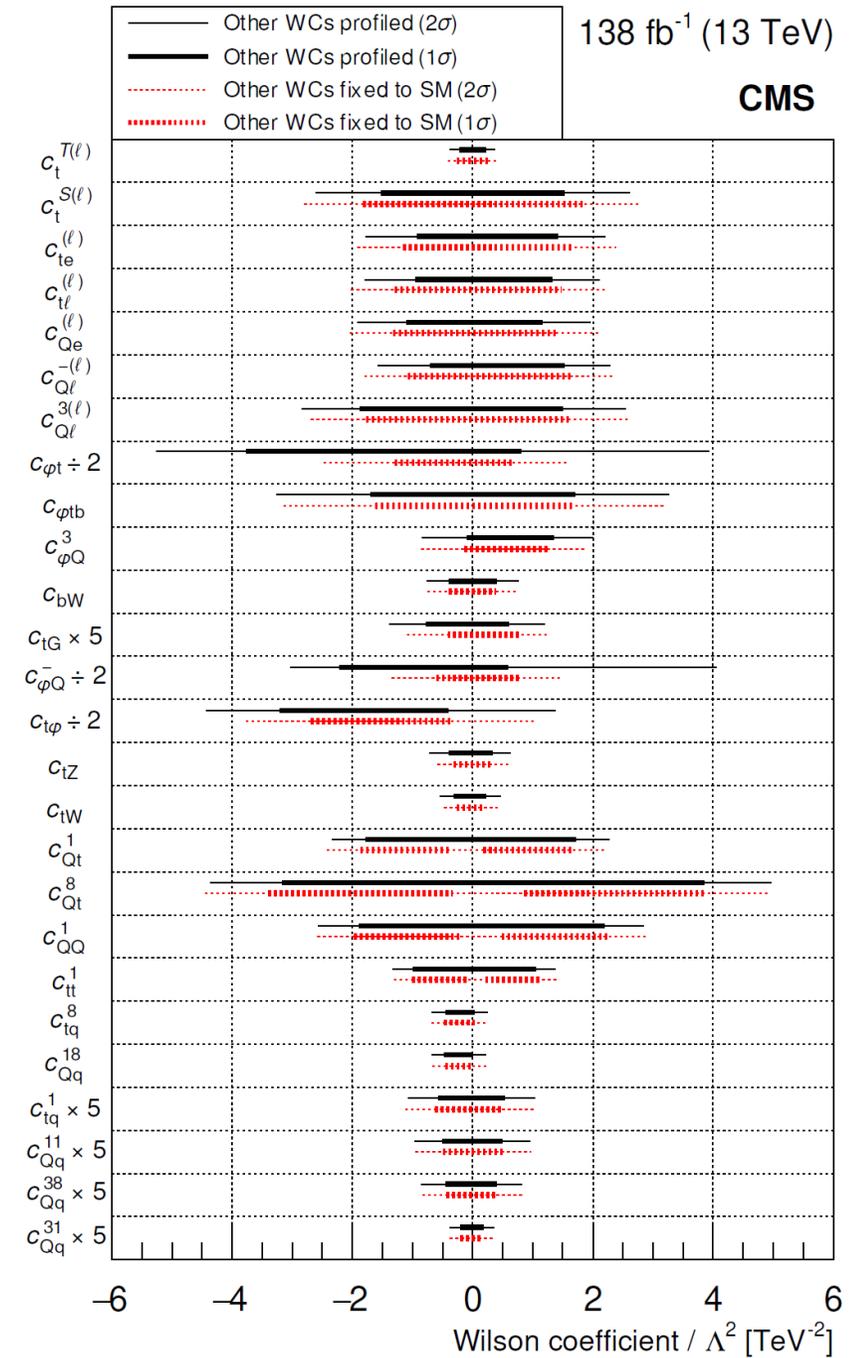
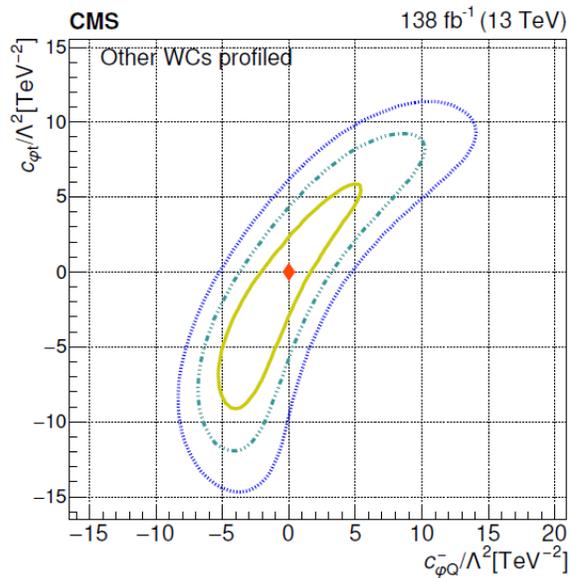
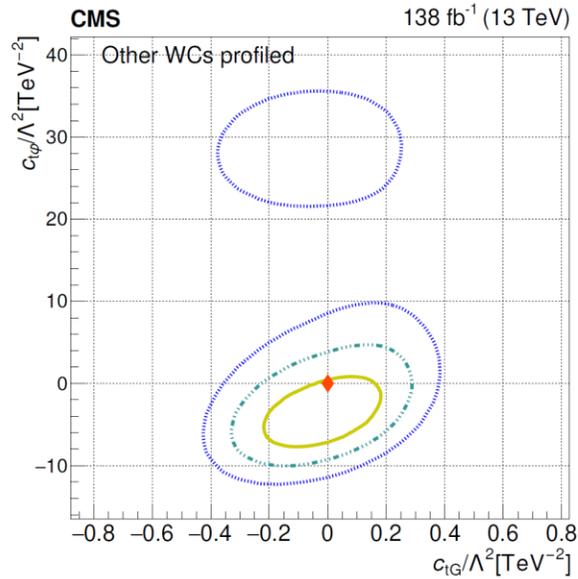
$3l\ on-Z$

$2hqV$  (significant impacts on many processes)

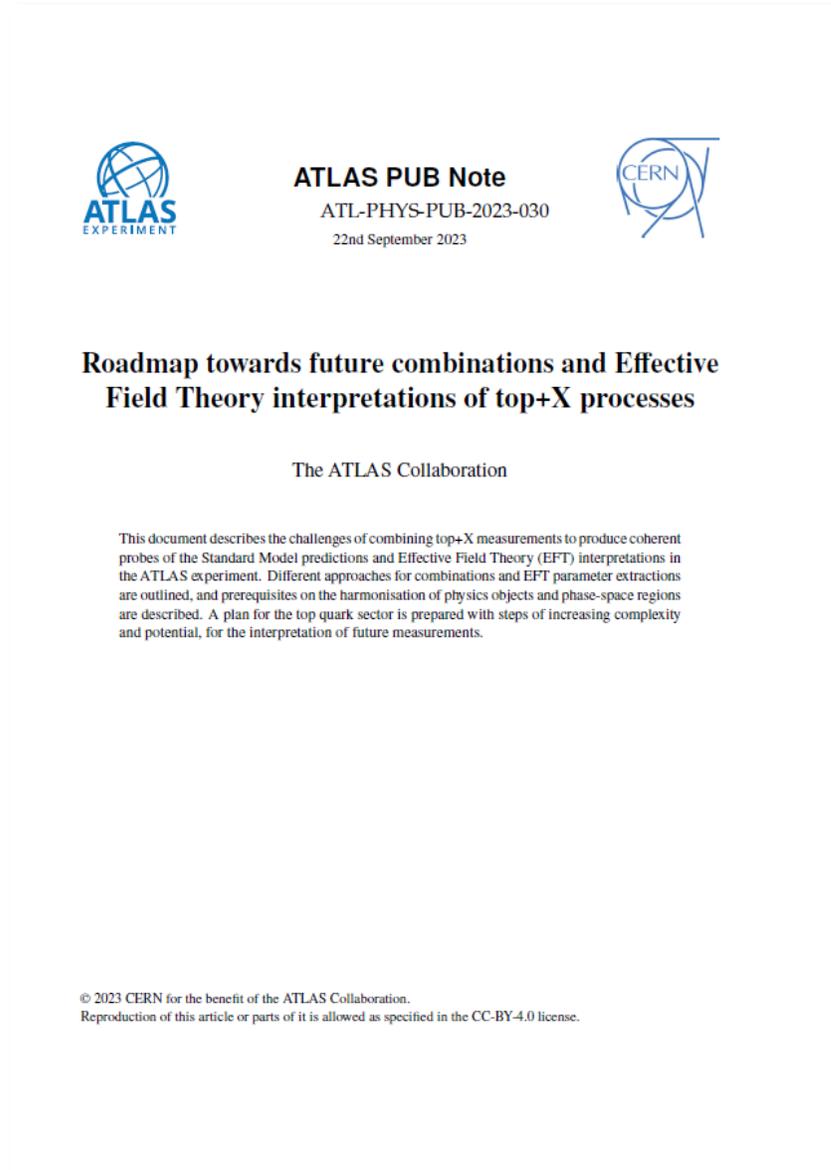
$c_{tG}, c_{t\phi}, c_{tW}$

$3l$  and  $2lss$





# ATLAS Top+X Roadmap



- **Describe:**
  - Challenges of combining Top+X measurements to coherently probe SM + EFTs
  - Available MC generators and UFOs
  
- **Discuss:**
  - Harmonisation of physics objects
  - Harmonisation of phase-space regions
  
- **Deliberate:**
  - Options for optimal observables
  
- **Develop:**
  - Incremental roadmap with increasing complexity, towards maximising the potential of Run-3 ATLAS data

[ATL-PHYS-PUB-2023-030](#)

# ATLAS Top+X Roadmap

[ATL-PHYS-PUB-2023-030](#)

## EFT for Monte Carlo

### Generators:

- Madgraph
  - Predominant recommendation
  - Highly compatible with UFO models
  - 'Simple' user interface
  - Extension enabling computation of NLO in QCD and NLO EW corrections
  - (NLO generators often introduce more negative weights which can be difficult to deal with experimentally)
- Powheg:
  - Better modelling of SM ttbar process at NLO in QCD
  - Still requires reweighting according to MG5 EFT predictions
  - Resulting approximations would require extensive validation studies
- Pythia
  - Does not retain spin correlations
  - Problems associated to EFT operators which generate gluons and interaction with the parton shower

### UFO models:

- SMEFTSim3.0
  - Current recommendation used widely in ATLAS
  - 'top' flavour assumption - treats operators with lepton-flavour indices as individual entities
  - LO accuracy only
  - Distinguishes between EFT insertions in vertices or in corrections to widths of propagators
- SMEFTatNLO
  - Both LO and NLO QCD precision
  - No implementation of CP violating operators, or operators with b-quarks in the initial state, or FCNC interactions
  - Extensive upgrades planned over the next ~5 years

### Other topics discussed:

- Coupling orders
- Decomposition of EFT contributions
- Internal reweighting vs dedicated generation
- Higher-order corrections
- EFT effects in top decay

# ATLAS Top+X Roadmap

[ATL-PHYS-PUB-2023-030](#)

## Harmonisation

Object definitions proposals:

- Harmonise object definitions between all combinable analyses apriori
- Design lepton working points which support (pseudo)-continuous calibrations
- Adopt common-denominator systematic reduction schemes for different processes, according to the sensitivity of each

Phase-space regions proposals:

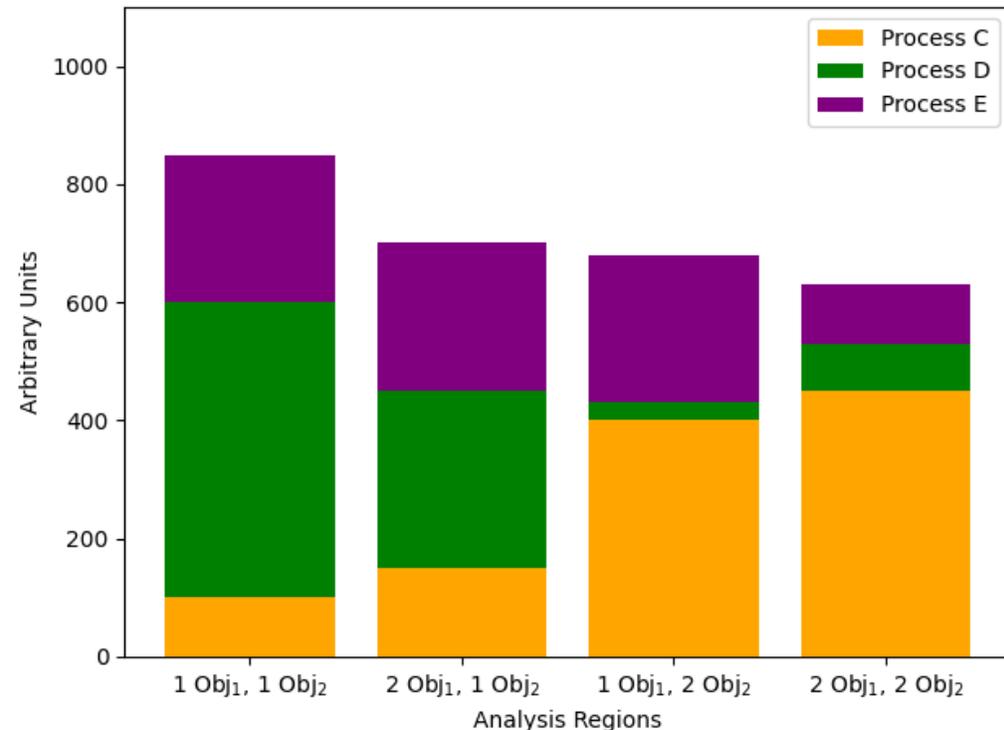
- Harmonise region definitions between all combinable analyses apriori
- Design common ML algorithms to separate heavily overlapping processes ( $t\bar{t}H$ ,  $t\bar{t}H$ ,  $t\bar{t}t\bar{t}$ )
- Adopt common fakes control regions between analyses

# ATLAS Top+X Roadmap

ATL-PHYS-PUB-2023-030

## Region definitions and fitting tactics:

- 1. Object-based (OB) fit:** The regions are broken down by lepton/jet/b-tag multiplicity, lepton charge etc. (à la CMS!)
    - Clean and simple to implement
    - Object + region harmonisation are automatic
    - Fakes treatment is coherent
- Some processes easy to distinguish - e.g.  $t\bar{t}\gamma$
  - Other processes difficult to separate this way - e.g.  $t\bar{t}W$ ,  $t\bar{t}H$ ,  $t\bar{t}t\bar{t}$
  - Principal Component Analysis will identify sensitive directions
  - **Need to be clear what you are optimising for!**

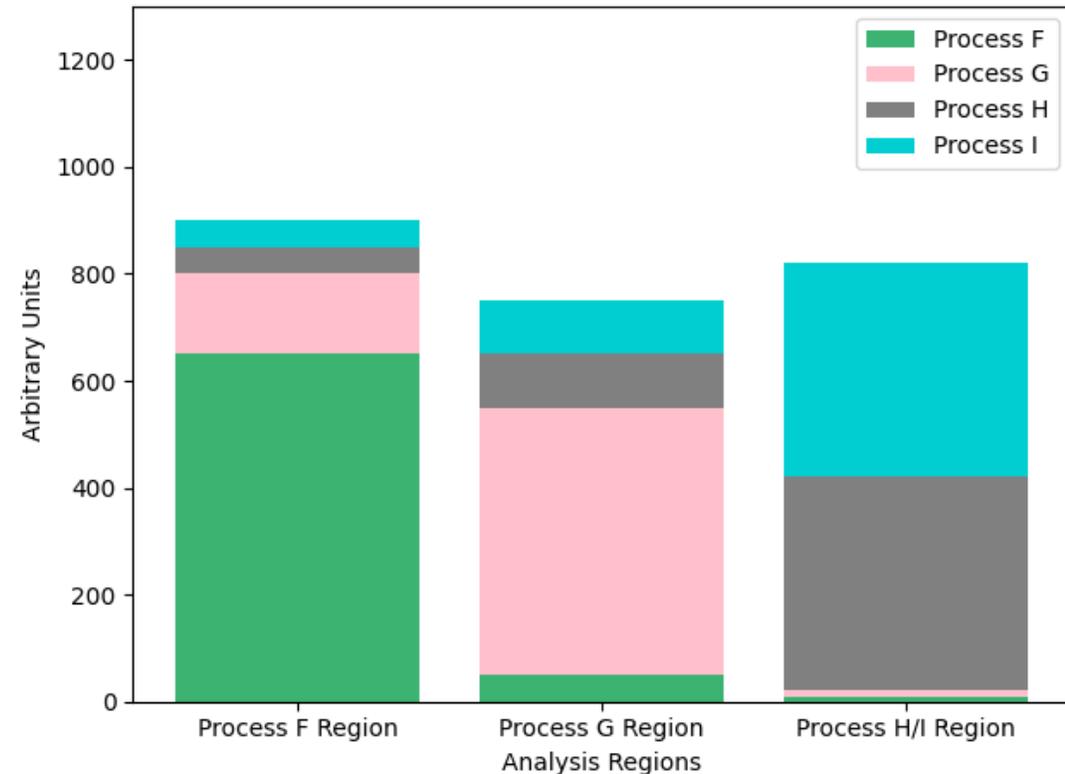


# ATLAS Top+X Roadmap

ATL-PHYS-PUB-2023-030

**2. Process-based (PB) fit:** The regions are defined with specific Top+X processes individually targeted in each.

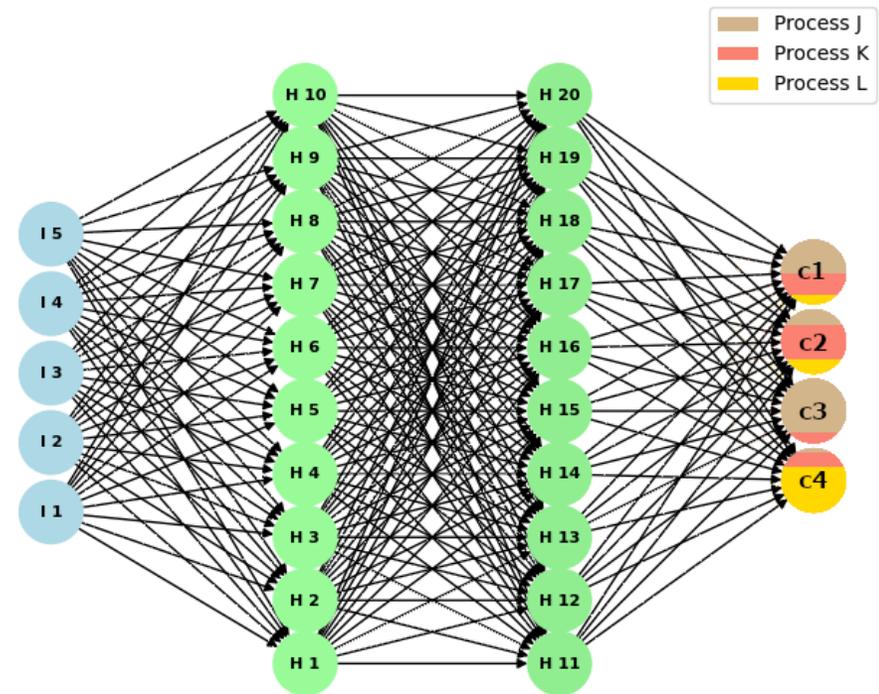
- Potential to re-use dedicated cross section analyses to minimise work duplication
- Object + Region harmonisation and fakes treatment all require careful consideration if starting from separate analyses
- Some processes 'easy' to distinguish - e.g.  $t\bar{t}Z, tZq, t\bar{t}\gamma, t\bar{t}H$
- Other processes remain difficult to separate this way - e.g.  $t\bar{t}W, t\bar{t}H, t\bar{t}t\bar{t}$
- Principal Component Analysis will identify sensitive directions
- **Need to be clear what you are optimising for!**



# ATLAS Top+X Roadmap

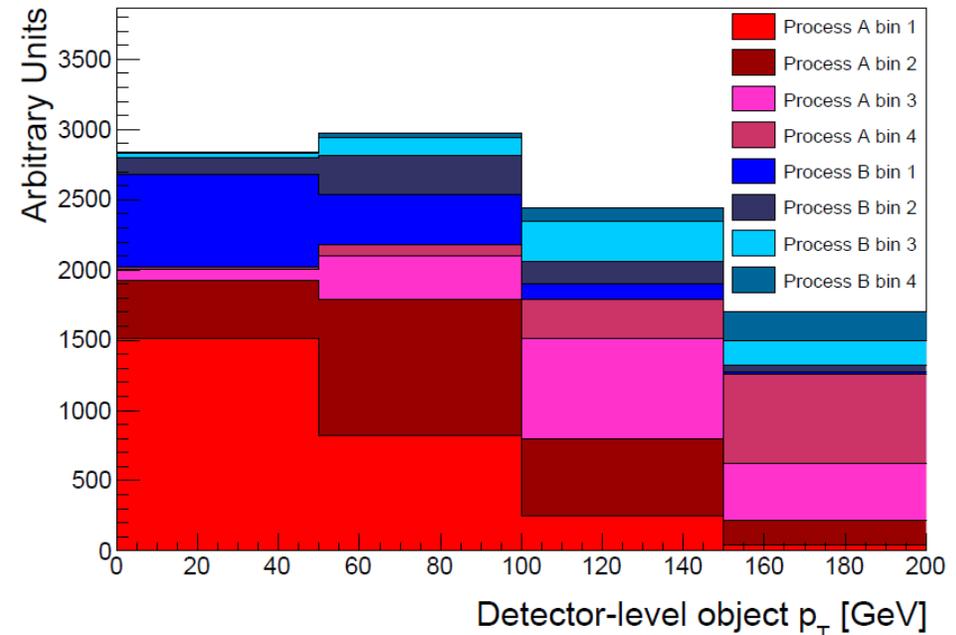
ATL-PHYS-PUB-2023-030

- 3. EFT-optimised (EO) fit:** The regions are defined by ML algorithms to select events with the highest sensitivity to particular EFT operators. [Lots of freedom here to define your targets, but lots of complications too].
- Object + region harmonisation are automatic
  - Fakes treatment is coherent
  - Higher dependency on EFT model
  - Is it easily reinterpretable? How about surrogate networks?
- This methodology may provide the opportunities to separate  $t\bar{t}W$ ,  $t\bar{t}H$ ,  $t\bar{t}t\bar{t}$ 
    - (Or can determine whether it is even necessary)
  - Principal Component Analysis will identify sensitive directions
  - **Need to be clear what you are optimising for!**



## 4. Fully differential multi-process unfolding:

- An extension of **(1)** or **(2)** (or technically **(3)**, with adjustments)
  - Best reinterpretations and reusability
    - Unbiased unfolding retains reliable results even under updated SM or EFT predictions
    - Simple to compare to new theories
  - Profile-likelihood unfolding with multiple signals reduces assumptions about EFT contributions compared to methods subtracting fixed SM backgrounds
- 
- Truth-level binning optimisation required for EFT sensitivity
  - Multi-signal unfolding is useful when dealing with largely inseparable processes



# Summary

- Lepton and Flavour physics anomalies are persistent
- Top quarks are a fantastic tool to search for new physics
- Effective Field Theory is a highly active area of research for model-independent BSM searches
- Put it all together - maybe we'll get magic

# Backup

# LHC EFT WG <https://lpsc.web.cern.ch/lhc-eft-wg>

The [LHC EFT WG](https://lpsc.web.cern.ch/lhc-eft-wg)'s mandate is to provide a framework for the interpretation of LHC data in the context of effective field theories

- Study physics requirements needed to facilitate an interpretation commensurate with the available measurements, including Higgs bosons, top quarks, and electroweak bosons.
- Provide recommendations for the use of EFTs by the experiments to interpret their data
- Provide recommendations on theory setups and Monte Carlo simulations, as well as other tools.
- Provide a forum for theoretical discussions of EFT issues, such as constraints, higher-order corrections, and BSM interpretations.
- Discuss common uncertainties and combination procedures.
- Coordinate between the existing experimental WGs, to allow global EFT analyses inside and outside of experimental collaborations.

## Conveners:

### ATLAS:

- Sarah Heim (Higgs WG contact)
- Jacob Kempster (Top WG contact)
- Karolos Potamianos (EW WG contact)
- Sandra Kortner

### CMS:

- Matteo Presilla (EW WG contact)
- Nadjieh Jafari
- Robert Schoefbeck (Top WG contact)
- Nicholas Wardle (Higgs WG contact)

### LHCb:

- Greg Ciezarek and Christoph Langenbruch

### Theory:

- Ilaria Brivio
- Anke Biekötter (Higgs WG contact)
- Shankha Banerjee (EW WG contact)
- Gauthier Durieux
- Ken Mimasu (Top WG contact)
- Peter Stangl

# LHC EFT WG

TWiki:

<https://twiki.cern.ch/twiki/bin/view/LHCPhysics/LHCEFT>

Indico: <https://indico.cern.ch/category/12374/>

Documents: [CDS](#)

7<sup>th</sup> General Meeting of the LHC EFT  
Working Group

<https://indico.cern.ch/event/1384135>

(Recordings available)

Area 1: [EFT Formalism](#) - Higher-order corrections in SMEFT, Positivity Constraints, SMEFT vs HEFT

Area 2: [Predictions and Tools](#) - Common toolchain for SMEFT parameterisations, Common methodology for EFT MC production (reweighting vs direct) - PUB note under [development](#)

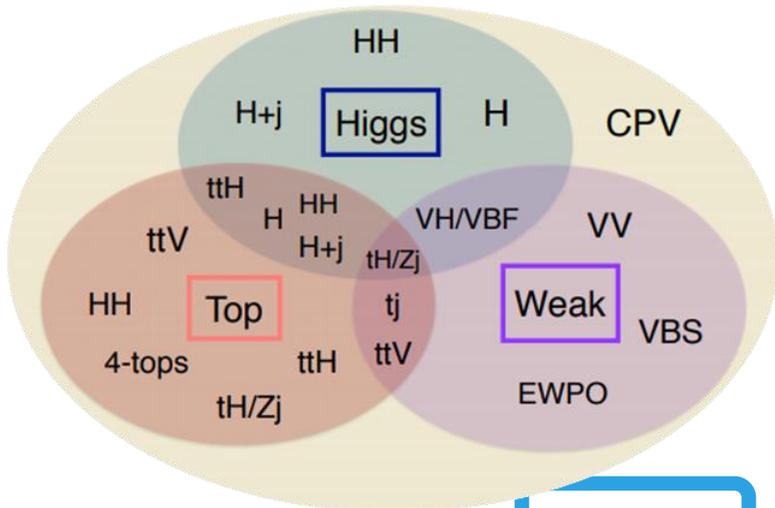
Area 3: [Measurements and Observables](#) - Optimal observables, complementarities, Machine learning opportunities (and challenges) for EFTs - [dedicated discussion](#), Reinterpretability

Area 4: [Fits and Related Systematics](#) - "Fitting exercise" (for study only!)

Area 5: [Benchmark Scenarios from UV Models](#) - Mapping BSM to SMEFT (database, framework)

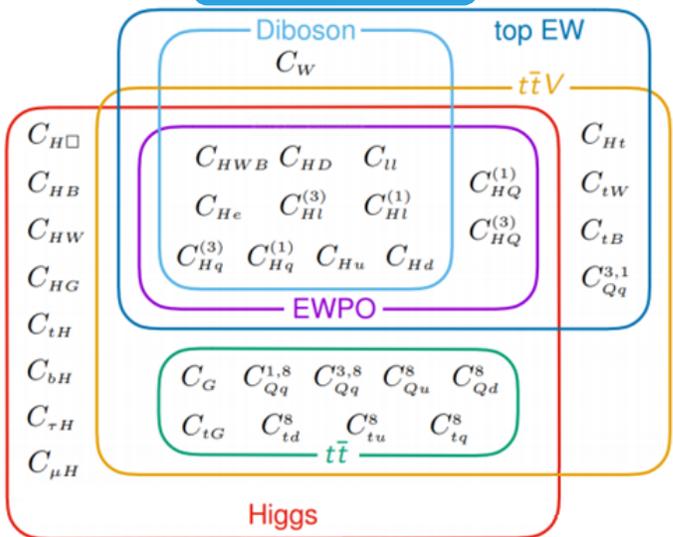
Area 6: [Flavour](#) - Connecting flavour physics (and anomalies) to global EFT fits

# Top EFT



Adapted from K. Mimasu

## Warsaw basis



J. Ellis et al, JHEP 04 (2021) 279

Grzadkowski, Iskrzynski, Misiak, Rosiek 1008.4884

	$X^3$	$\varphi^6$ and $\varphi^4 D^2$	$\psi^2 \varphi^3$		
$Q_G$	$f^{ABC} G_{\mu\nu}^A G_{\nu\rho}^B G_{\rho\mu}^C$	$Q_\varphi$	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_{\mu\nu}^A G_{\nu\rho}^B G_{\rho\mu}^C$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p u_r \varphi)$
$Q_W$	$\varepsilon^{IJK} W_{\mu\nu}^I W_{\nu\rho}^J W_{\rho\mu}^K$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$
$Q_{\tilde{W}}$	$\varepsilon^{IJK} \tilde{W}_{\mu\nu}^I W_{\nu\rho}^J W_{\rho\mu}^K$				

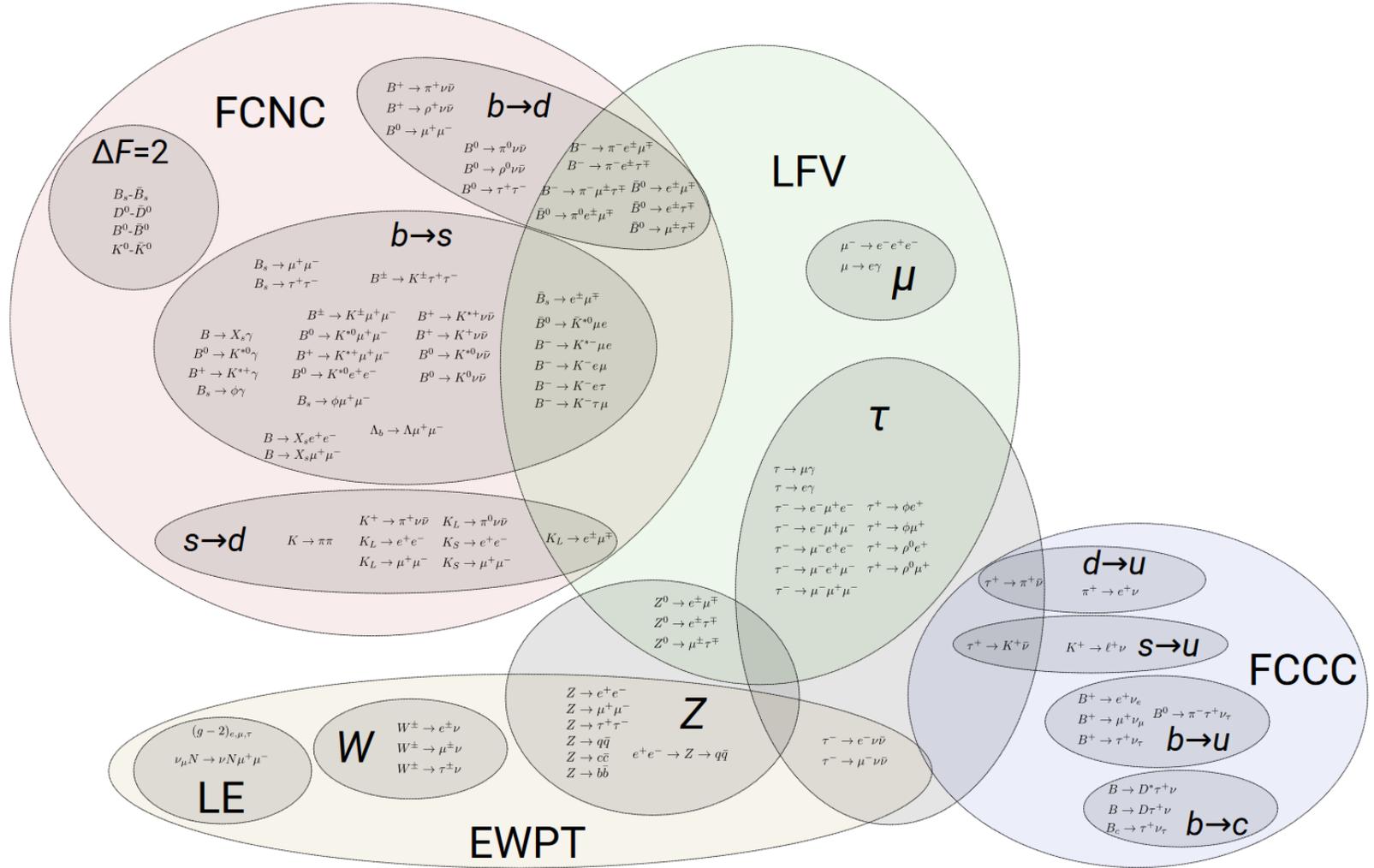
	$X^2 \varphi^2$	$\psi^2 X \varphi$	$\psi^2 \varphi^2 D$		
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eW}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$
$Q_{\varphi \tilde{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eB}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	$Q_{uG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$
$Q_{\varphi \tilde{W}}$	$\varphi^\dagger \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	$Q_{uW}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \gamma^\mu q_r)$
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	$Q_{uB}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \varphi B_{\mu\nu}$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	$Q_{dG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	$Q_{dW}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$
$Q_{\varphi \tilde{WB}}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	$Q_{dB}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\varphi^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$

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	$(\bar{L}L)(\bar{L}L)$	$(\bar{R}R)(\bar{R}R)$	$(\bar{L}L)(\bar{R}R)$		
$Q_{ll}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	$Q_{ee}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	$Q_{le}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{uu}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{lu}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{dd}$	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{ld}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{eu}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{qe}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{ed}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
		$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
				$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$

	$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$	B-violating	
$Q_{ledq}$	$(\bar{l}_p e_r)(\bar{d}_s q_t^c)$	$Q_{duq}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^\gamma)^T C l_t^k]$
$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$	$Q_{quu}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_p^\alpha)^T C q_r^{\beta k}] [(u_s^\gamma)^T C e_t]$
$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	$Q_{quq}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} \varepsilon_{mnn} [(q_p^\alpha)^T C q_r^{\beta k}] [(q_s^\gamma)^T C l_t^m]$
$Q_{lequ}^{(1)}$	$(\bar{l}_p e_r) \varepsilon_{jk} (q_s^k u_t)$	$Q_{duu}$	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$
$Q_{lequ}^{(3)}$	$(\bar{l}_p \sigma_{\mu\nu} e_r) \varepsilon_{jk} (q_s^k \sigma^{\mu\nu} u_t)$		

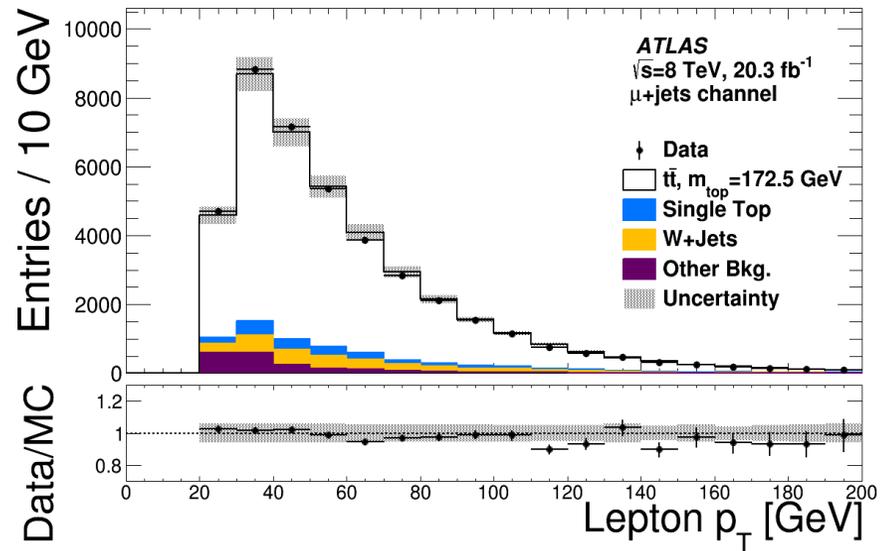


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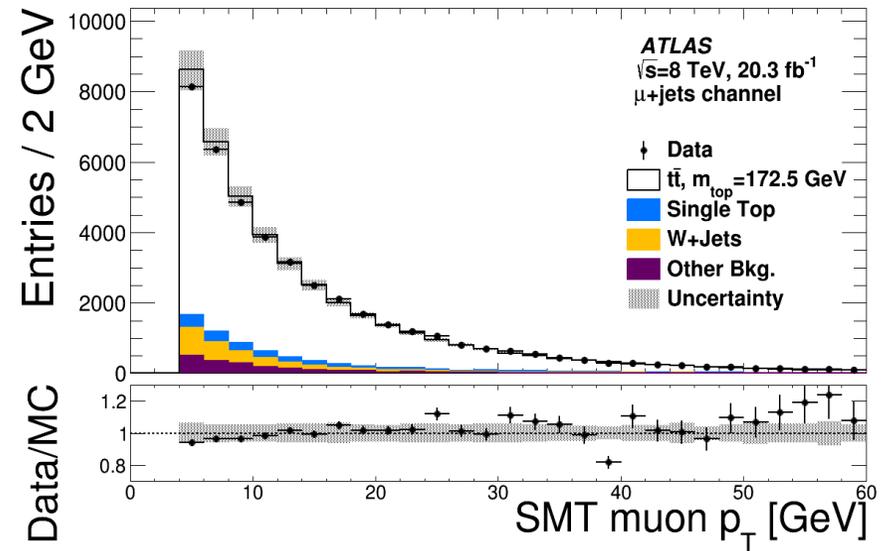
EPJC 79 (2019) 505



# “Soft” muon



↓  
“Hard-lepton”  
“W-lepton”



↓  
“Soft Muon”

- **Opposite Sign (OS)**
  - $t \rightarrow l^+ \nu b \rightarrow l^+ l^- X \sim 55\%$
  - $t \rightarrow l^+ \nu (b \rightarrow \bar{b} \rightarrow \bar{c}) \rightarrow l^+ l^- X \sim 4\%$
  - $t \rightarrow l^+ \nu (b \rightarrow c \bar{c}) \rightarrow l^+ l^- X \sim 3\%$
- **Same Sign (SS)**
  - $t \rightarrow l^+ \nu (b \rightarrow \bar{b}) \rightarrow l^+ l^+ X \sim 7\%$
  - $t \rightarrow l^+ \nu (b \rightarrow c) \rightarrow l^+ l^+ X \sim 28\%$
  - $t \rightarrow l^+ \nu (b \rightarrow \bar{b} \rightarrow c \bar{c}) \rightarrow l^+ l^+ X \sim 3\%$

Comparing these processes with their charge conjugates allows for building of inclusive asymmetries sensitive to CP violation:

- Consider number of SMT muons,  $N^{\alpha\beta}$ , where:

$$P(b \rightarrow l^-) = \frac{N(b \rightarrow l^-)}{N(b \rightarrow l^-) + N(b \rightarrow l^+)} = \frac{N^{+-}}{N^{+-} + N^{++}} = \frac{N^{+-}}{N^+}$$

$$P(\bar{b} \rightarrow l^+) = \frac{N(\bar{b} \rightarrow l^+)}{N(\bar{b} \rightarrow l^-) + N(\bar{b} \rightarrow l^+)} = \frac{N^{-+}}{N^{--} + N^{-+}} = \frac{N^{-+}}{N^-}$$

$$P(b \rightarrow l^+) = \frac{N(b \rightarrow l^+)}{N(b \rightarrow l^-) + N(b \rightarrow l^+)} = \frac{N^{++}}{N^{+-} + N^{++}} = \frac{N^{++}}{N^+}$$

$$P(\bar{b} \rightarrow l^-) = \frac{N(\bar{b} \rightarrow l^-)}{N(\bar{b} \rightarrow l^-) + N(\bar{b} \rightarrow l^+)} = \frac{N^{--}}{N^{--} + N^{-+}} = \frac{N^{--}}{N^-}$$

# Decay chain fractions (Obtained from simulation)

## Same Sign

$$N_{r_b} = N [t \rightarrow \ell^+ \nu (b \rightarrow \bar{b}) \rightarrow \ell^+ \ell^+ X],$$

$$N_{r_c} = N [t \rightarrow \ell^+ \nu (b \rightarrow c) \rightarrow \ell^+ \ell^+ X],$$

$$N_{r_{c\bar{c}}} = N [t \rightarrow \ell^+ \nu (b \rightarrow \bar{b} \rightarrow c\bar{c}) \rightarrow \ell^+ \ell^+ X],$$

$$r_b = \frac{N_{r_b}}{N_{r_b} + N_{r_c} + N_{r_{c\bar{c}}}},$$

$$r_c = \frac{N_{r_c}}{N_{r_b} + N_{r_c} + N_{r_{c\bar{c}}}},$$

$$r_{c\bar{c}} = \frac{N_{r_{c\bar{c}}}}{N_{r_b} + N_{r_c} + N_{r_{c\bar{c}}}},$$

## Opposite Sign

$$N_{\tilde{r}_b} = N [t \rightarrow \ell^+ \nu b \rightarrow \ell^+ \ell^- X],$$

$$N_{\tilde{r}_c} = N [t \rightarrow \ell^+ \nu (b \rightarrow \bar{b} \rightarrow \bar{c}) \rightarrow \ell^+ \ell^- X],$$

$$N_{\tilde{r}_{c\bar{c}}} = N [t \rightarrow \ell^+ \nu (b \rightarrow c\bar{c}) \rightarrow \ell^+ \ell^- X].$$

$$\tilde{r}_b = \frac{\tilde{N}_{r_b}}{\tilde{N}_{r_b} + \tilde{N}_{r_c} + \tilde{N}_{r_{c\bar{c}}}},$$

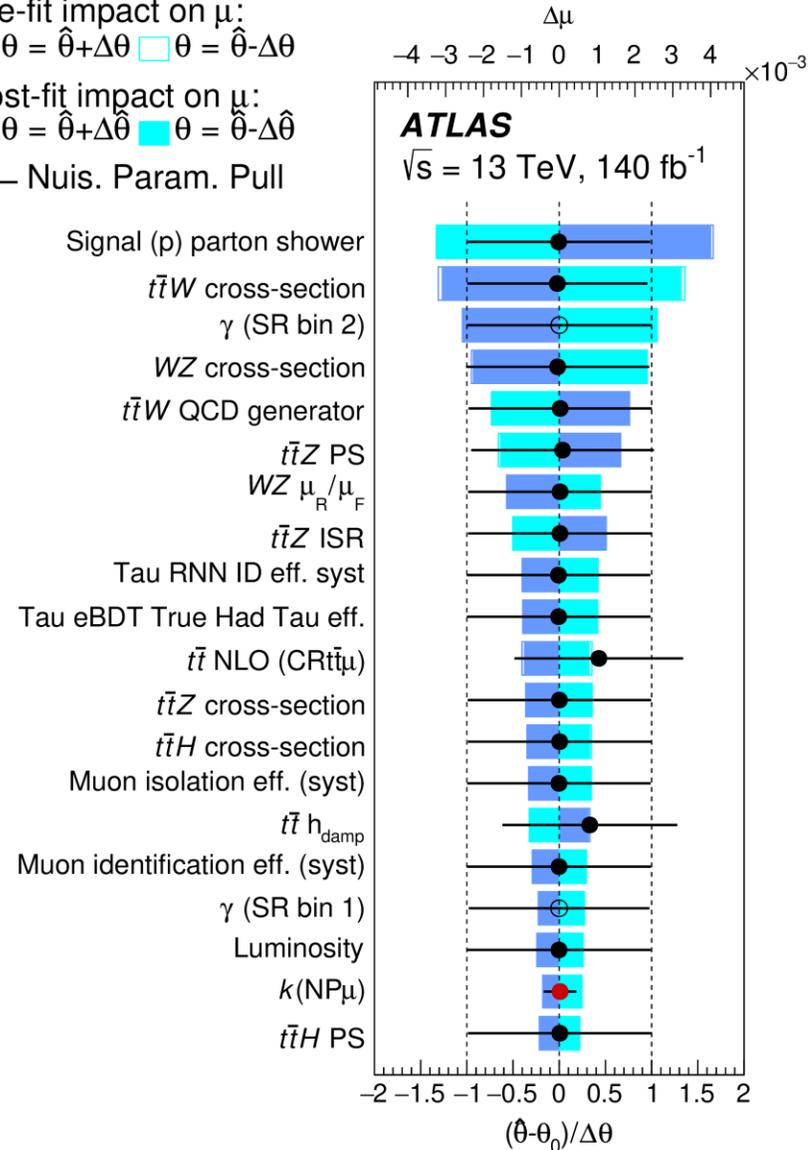
$$\tilde{r}_c = \frac{\tilde{N}_{r_c}}{\tilde{N}_{r_b} + \tilde{N}_{r_c} + \tilde{N}_{r_{c\bar{c}}}},$$

$$\tilde{r}_{c\bar{c}} = \frac{\tilde{N}_{r_{c\bar{c}}}}{\tilde{N}_{r_b} + \tilde{N}_{r_c} + \tilde{N}_{r_{c\bar{c}}}}.$$

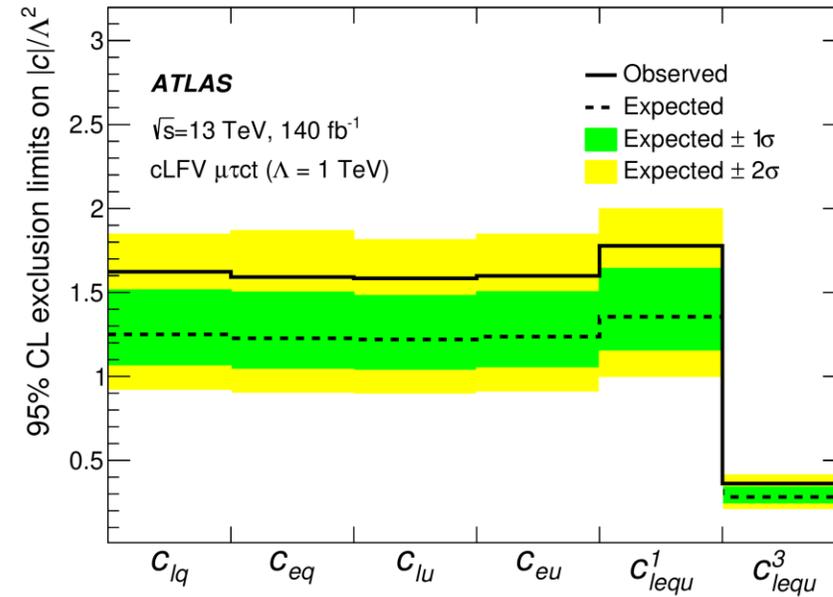
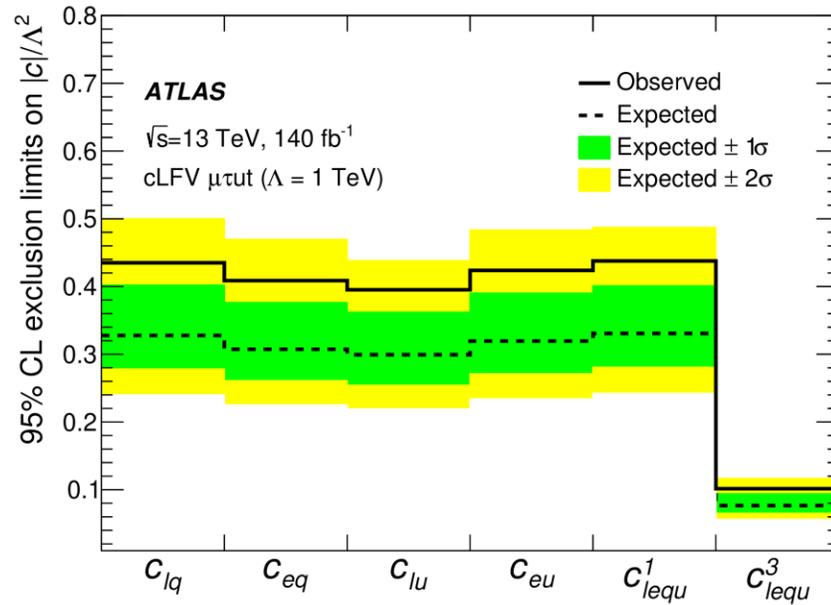
(Best measured in a well-defined fiducial volume)

# CLFV EFT Result breakdown

Pre-fit impact on  $\mu$ :  
 $\square \theta = \hat{\theta} + \Delta\theta$   $\square \theta = \hat{\theta} - \Delta\theta$   
 Post-fit impact on  $\mu$ :  
 $\blacksquare \theta = \hat{\theta} + \Delta\hat{\theta}$   $\blacksquare \theta = \hat{\theta} - \Delta\hat{\theta}$   
 — Nuis. Param. Pull

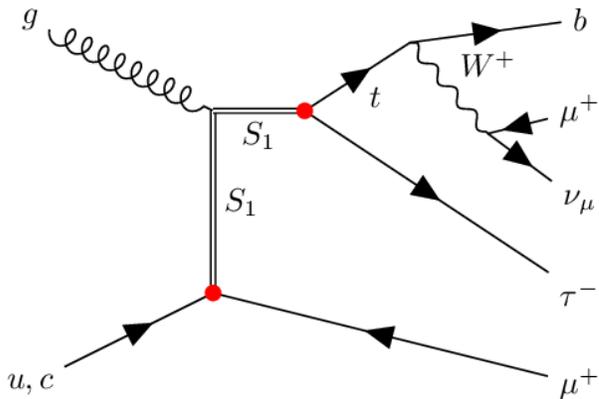
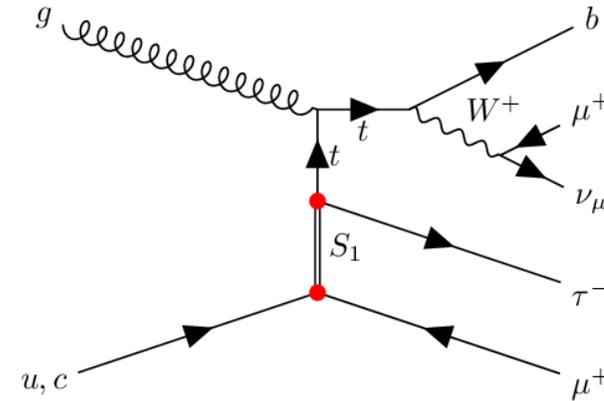
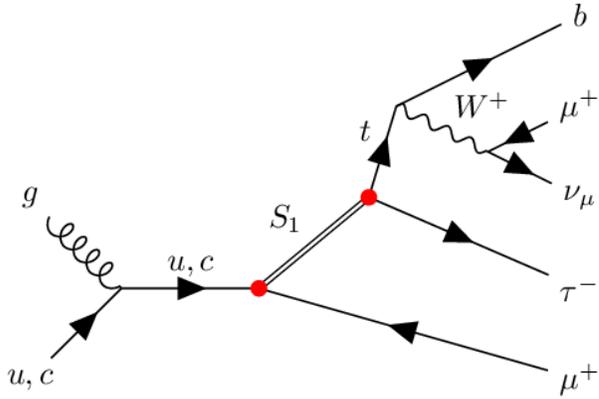


# CLFV EFT Result breakdown



# CLFV - Leptoquark interpretation

Scalar leptoquark with cross-generational couplings could produce CLFV processes.



$$\lambda_{ki} \in \begin{pmatrix} \lambda_{t\tau} & \lambda_{c\tau} & \lambda_{u\tau} \\ \lambda_{t\mu} & \lambda_{c\mu} & \lambda_{u\mu} \\ \lambda_{te} & \lambda_{ce} & \lambda_{ue} \end{pmatrix} \equiv \lambda^{\text{LQ}} \begin{pmatrix} 10 & 1 & 0.1 \\ 1 & 0.1 & 0.01 \\ 0.1 & 0.01 & 0.001 \end{pmatrix}$$



# CLFV - Leptoquark interpretation

Cross-generational couplings introduce many degrees of freedom, which may be simplified with a hierarchical model:

$$\lambda_{ki} \in \begin{pmatrix} \lambda_{t\tau} & \lambda_{c\tau} & \lambda_{u\tau} \\ \lambda_{t\mu} & \lambda_{c\mu} & \lambda_{u\mu} \\ \lambda_{te} & \lambda_{ce} & \lambda_{ue} \end{pmatrix} \equiv \lambda^{\text{LQ}} \begin{pmatrix} 10 & 1 & 0.1 \\ 1 & 0.1 & 0.01 \\ 0.1 & 0.01 & 0.001 \end{pmatrix}$$

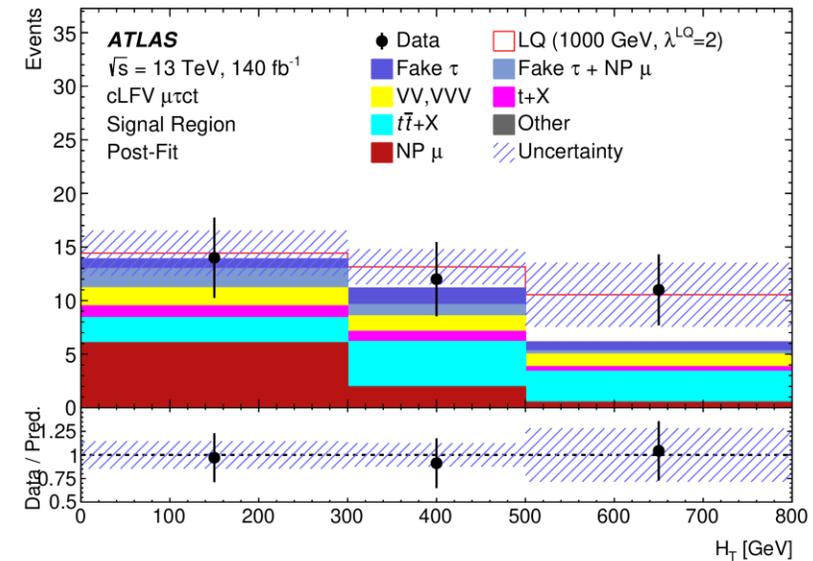
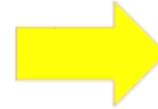
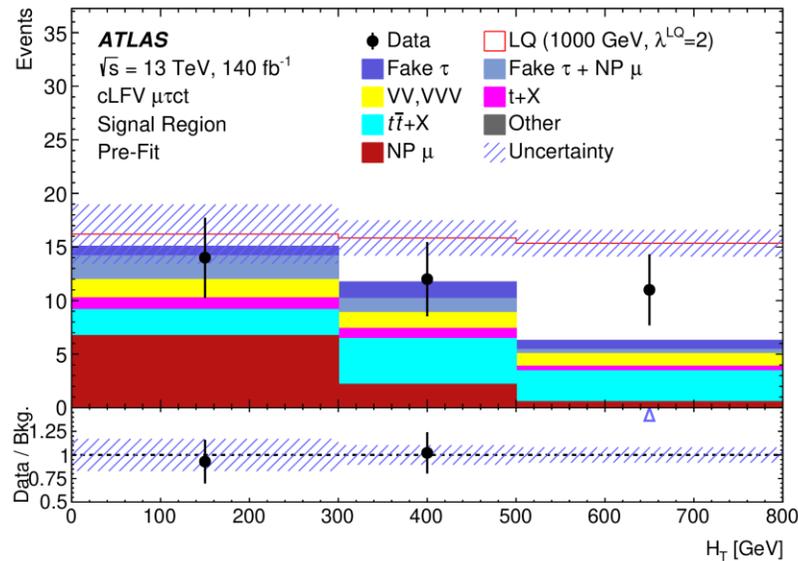
This reduces 10 degrees of freedom (9 coupling, 1 mass) into 2 (1 coupling, 1 mass).

Various theory papers apply hierarchical coupling models, with different magnitudes spanning steps of  $\sqrt{2}$  to  $\frac{1}{16}$  [1,2,3,4,5]

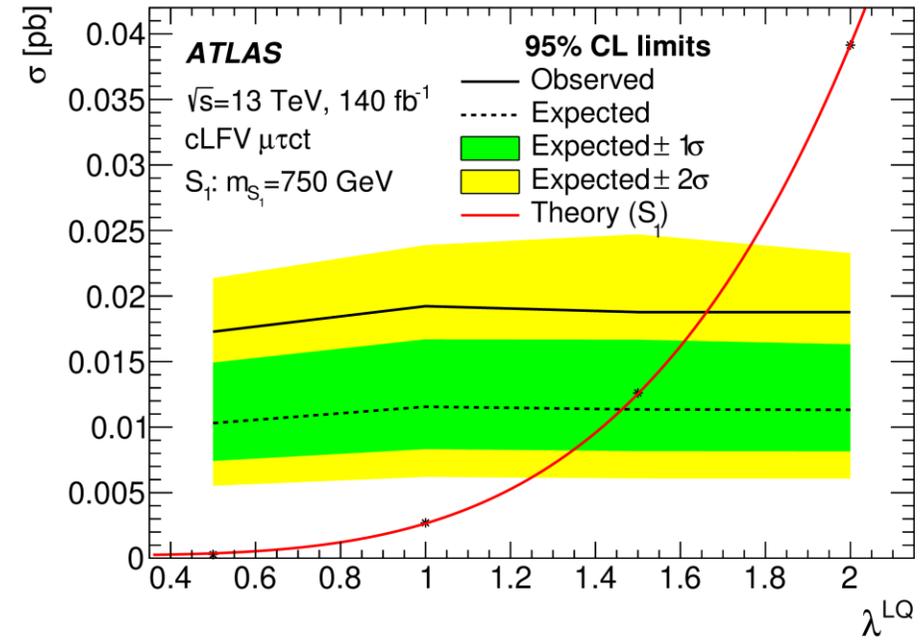
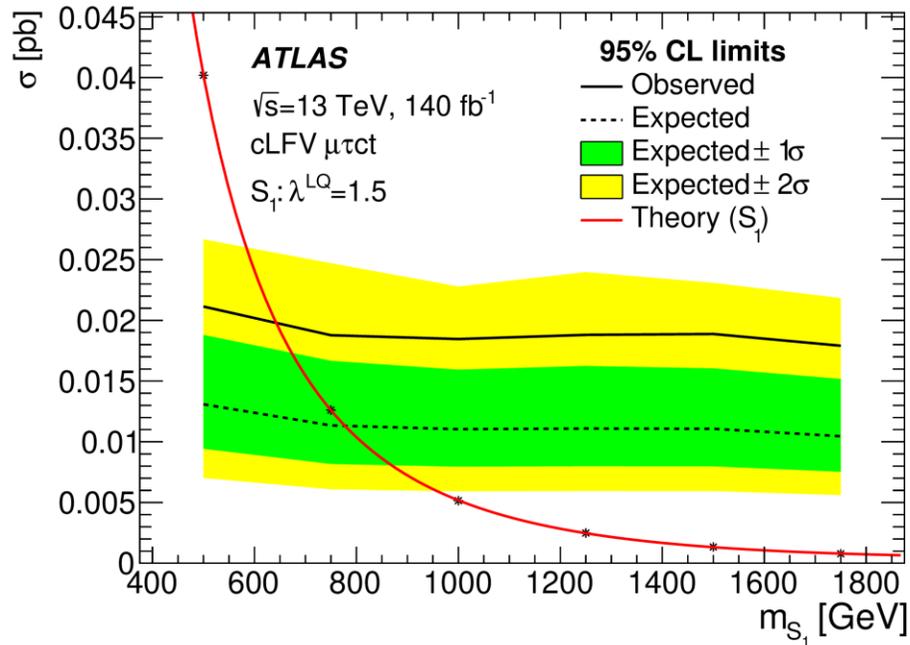


# CLFV - Leptoquark interpretation

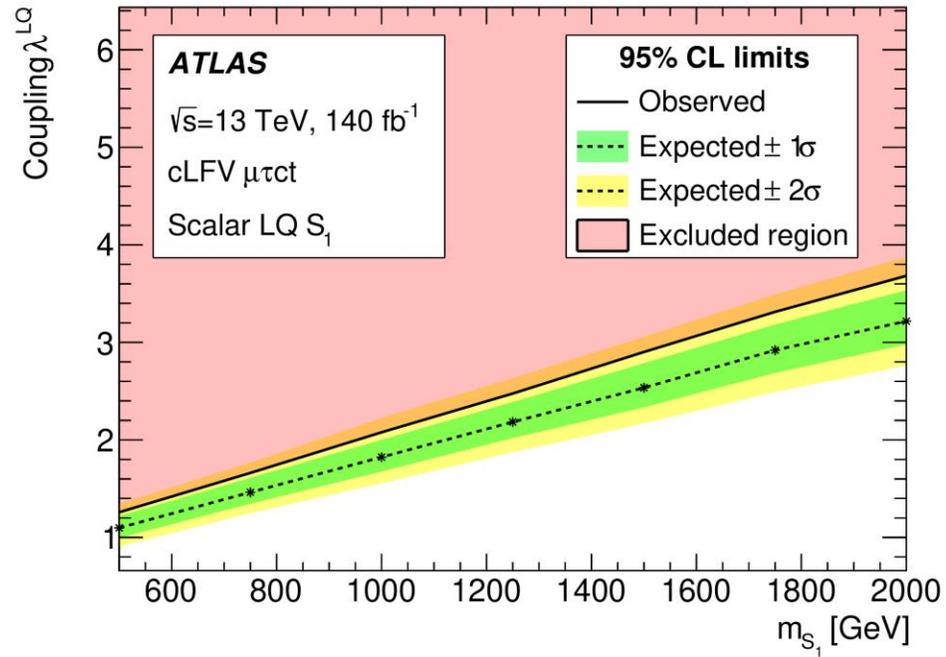
Analysis is not re-optimised for LQ signal, but  $H_T$  is already a very good discriminating variable. Signals  $0.5 < m_{LQ} < 2.5$  TeV, and  $0.5 < \lambda^{LQ} < 3.5$  are fit independently:



# CLFV - Leptoquark interpretation



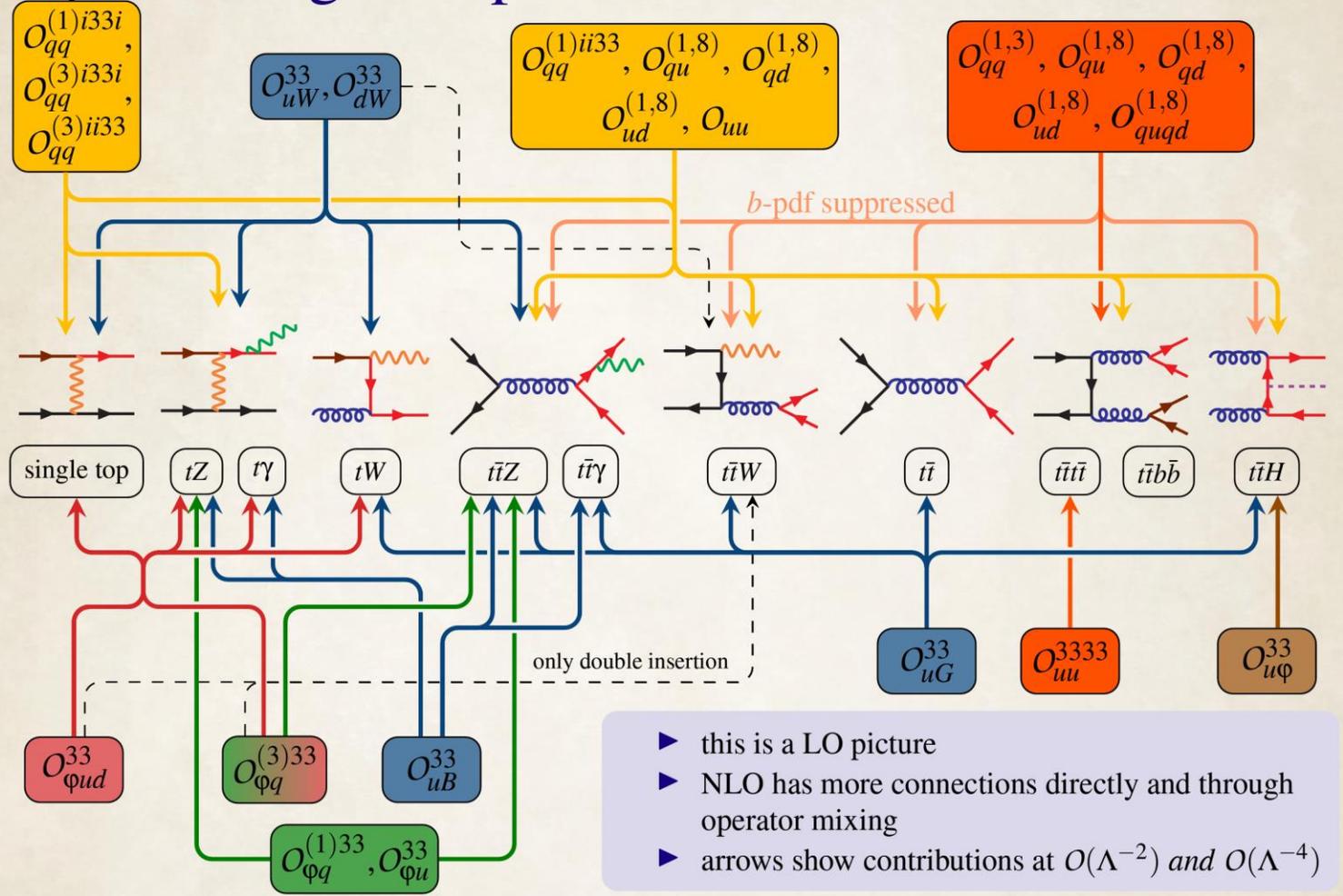
# CLFV - Leptoquark interpretation



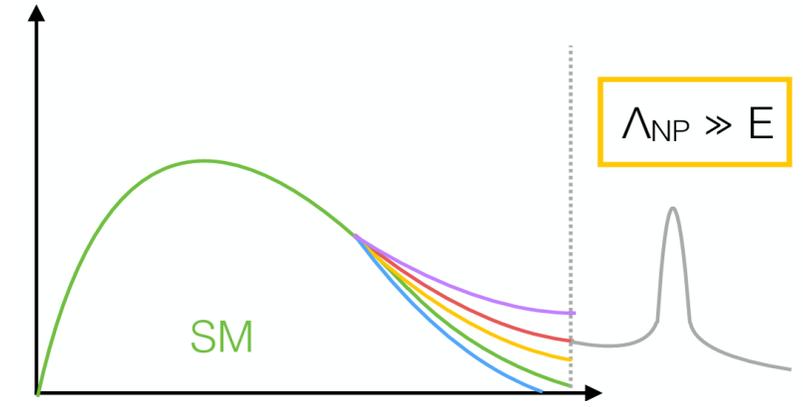
$m_{S_1}$ [ GeV ]	Limit on $\lambda^{LQ}$ (95% CL)	
	Observed	Expected
500	1.3	1.1
750	1.7	1.5
1000	2.1	1.8
1250	2.5	2.2
1500	2.9	2.5
1750	3.3	2.9
2000	3.7	3.2



# Top EFT: a global picture



- ▶ this is a LO picture
- ▶ NLO has more connections directly and through operator mixing
- ▶ arrows show contributions at  $O(\Lambda^{-2})$  and  $O(\Lambda^{-4})$



parameter	$t\bar{t}$	single $t$	$tW$	$tZ$	$t$ decay	$t\bar{t}Z$	$t\bar{t}W$
$C_{Qq}^{1,8}$	$\Lambda^{-2}$	-	-	-	-	$\Lambda^{-2}$	$\Lambda^{-2}$
$C_{Qq}^{3,8}$	$\Lambda^{-2}$	$\Lambda^{-4} [\Lambda^{-2}]$	-	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-2}$	$\Lambda^{-2}$
$C_{tu}^8, C_{td}^8$	$\Lambda^{-2}$	-	-	-	-	$\Lambda^{-2}$	-
$C_{Qq}^{1,1}$	$\Lambda^{-4} [\Lambda^{-2}]$	-	-	-	-	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-4} [\Lambda^{-2}]$
$C_{Qq}^{3,1}$	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-2}$	-	$\Lambda^{-2}$	$\Lambda^{-2}$	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-4} [\Lambda^{-2}]$
$C_{tu}^1, C_{td}^1$	$\Lambda^{-4} [\Lambda^{-2}]$	-	-	-	-	$\Lambda^{-4} [\Lambda^{-2}]$	-
$C_{Qu}^8, C_{Qd}^8$	$\Lambda^{-2}$	-	-	-	-	$\Lambda^{-2}$	-
$C_{tq}^8$	$\Lambda^{-2}$	-	-	-	-	$\Lambda^{-2}$	$\Lambda^{-2}$
$C_{Qu}^1, C_{Qd}^1$	$\Lambda^{-4} [\Lambda^{-2}]$	-	-	-	-	$\Lambda^{-4} [\Lambda^{-2}]$	-
$C_{tq}^1$	$\Lambda^{-4} [\Lambda^{-2}]$	-	-	-	-	$\Lambda^{-4} [\Lambda^{-2}]$	$\Lambda^{-4} [\Lambda^{-2}]$
$C_{\phi Q}^-$	-	-	-	$\Lambda^{-2}$	-	$\Lambda^{-2}$	-
$C_{\phi Q}^3$	-	$\Lambda^{-2}$	$\Lambda^{-2}$	$\Lambda^{-2}$	$\Lambda^{-2}$	-	-
$C_{\phi t}$	-	-	-	$\Lambda^{-2}$	-	$\Lambda^{-2}$	-
$C_{\phi tb}$	-	$\Lambda^{-4}$	$\Lambda^{-4}$	$\Lambda^{-4}$	$\Lambda^{-4}$	-	-
$C_{tZ}$	-	-	-	$\Lambda^{-2}$	-	$\Lambda^{-2}$	-
$C_{tW}$	-	$\Lambda^{-2}$	$\Lambda^{-2}$	$\Lambda^{-2}$	$\Lambda^{-2}$	-	-
$C_{bW}$	-	$\Lambda^{-4}$	$\Lambda^{-4}$	$\Lambda^{-4}$	$\Lambda^{-4}$	-	-
$C_{tG}$	$\Lambda^{-2}$	$[\Lambda^{-2}]$	$\Lambda^{-2}$	-	$[\Lambda^{-2}]$	$\Lambda^{-2}$	$\Lambda^{-2}$