

Discovery through effective field theory interpretations at the LHC

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27th June 2025

IC Seminar

Introduction

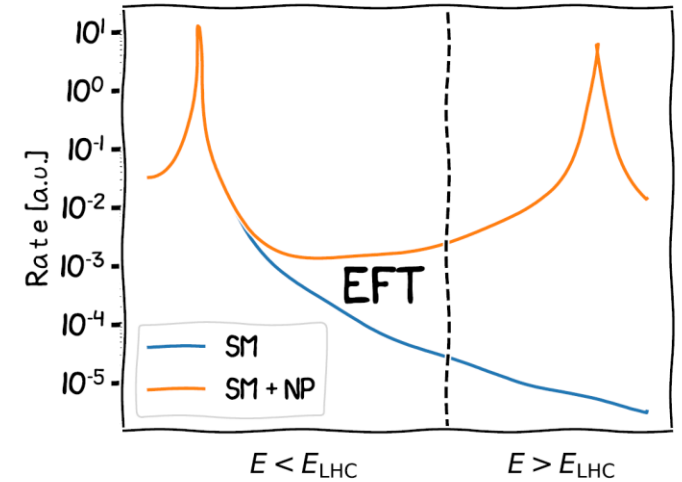
- At the LHC, we probe energies (q^2) up to $O(\text{TeV})$ scale
- If the mass of a new particle (Λ) is $\gg q^2$, we will not be able to discover it directly (mass bump)
- But at lower energies, indirect (off-shell) effects may still be measurable
→ alternative and complementary way (possibly the only way!) to find NP
- Indirect effects can be approximated by the SM effective field theory (SMEFT):

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM}^4 + \sum_{i,d} \frac{C_i^d}{\Lambda^{d-4}} Q_i^d$$

d = dimension
 i iterates over all possible operators

Wilson coefficients (WC) encode the size of new contributions

Operators encode the type of new physics



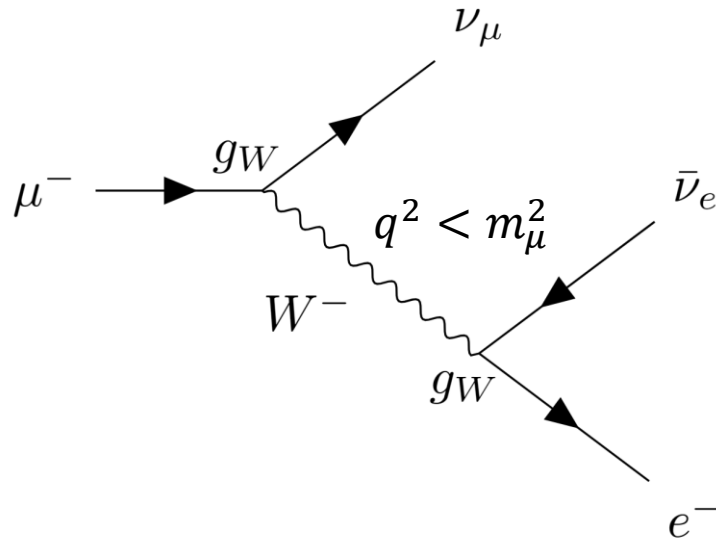
- All possible operators* up to a particular order are considered → model-independent approach
- Expect the new contributions to manifest as small deviations in SM measurements
→ measure Wilson coefficients by reinterpreting SM measurements
- A non-zero measurement of a Wilson coefficient → indication of new physics 🎉
- In this talk, will focus on details relevant to LHC and Higgs physics

Outline

1. The theory behind effective field theories – an experimentalist's perspective
 1. Low energy approximation of the weak interaction – Fermi theory
 2. What are higher-dimensional operators?
 3. What new physics can they lead to?
2. Recent measurements and SMEFT interpretations from CMS
 1. Combination of STXS Higgs boson measurements
 2. First steps towards a global fit: Higgs, EW, top, multi-jet
 3. Differential fiducial measurements
3. What are the challenges/open questions?
 1. Acceptance corrections
 2. EFT expansion cut-off
 3. More...
4. Outlook towards a global EFT fit with the High-Luminosity LHC

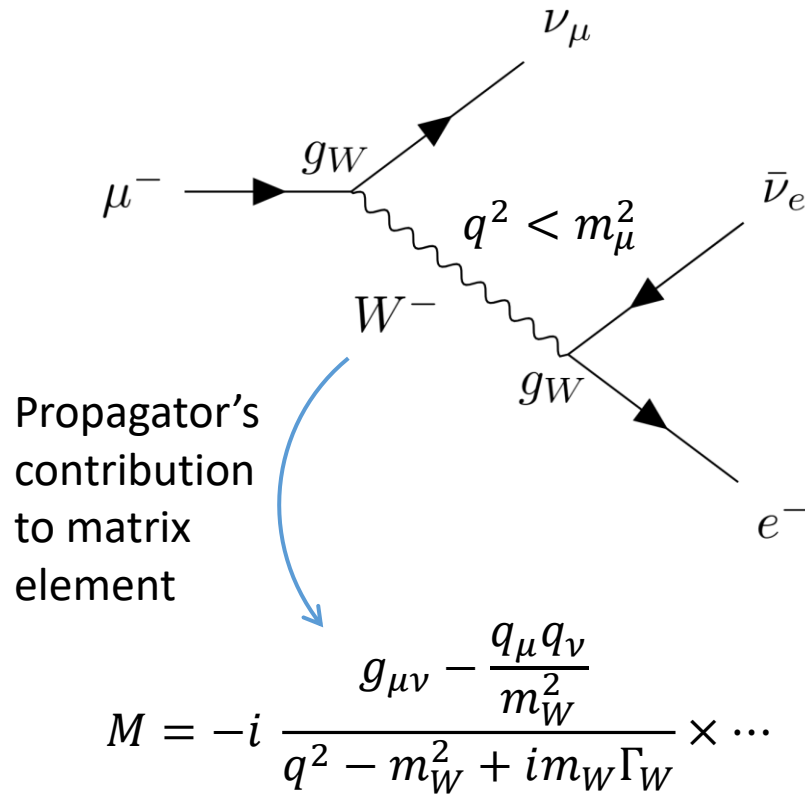
Muon decay & Fermi's interaction

- Muon decay: $\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$, is a weak process involving the exchange of a W boson
- But the decay is described well by Fermi theory which does not contain the W boson, how?



Muon decay & Fermi's interaction

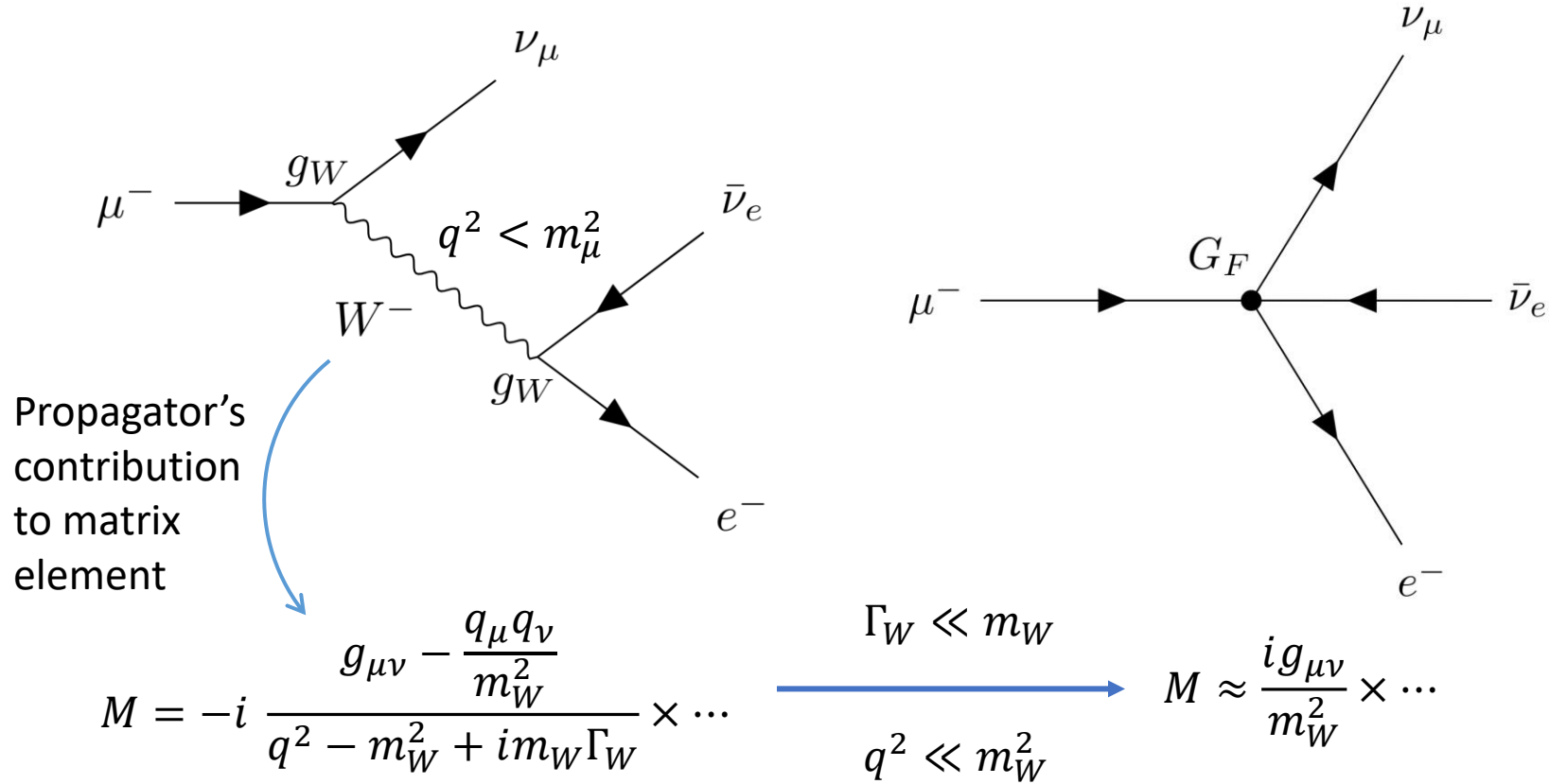
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Now we have a four-point vertex that has a coupling, G_F

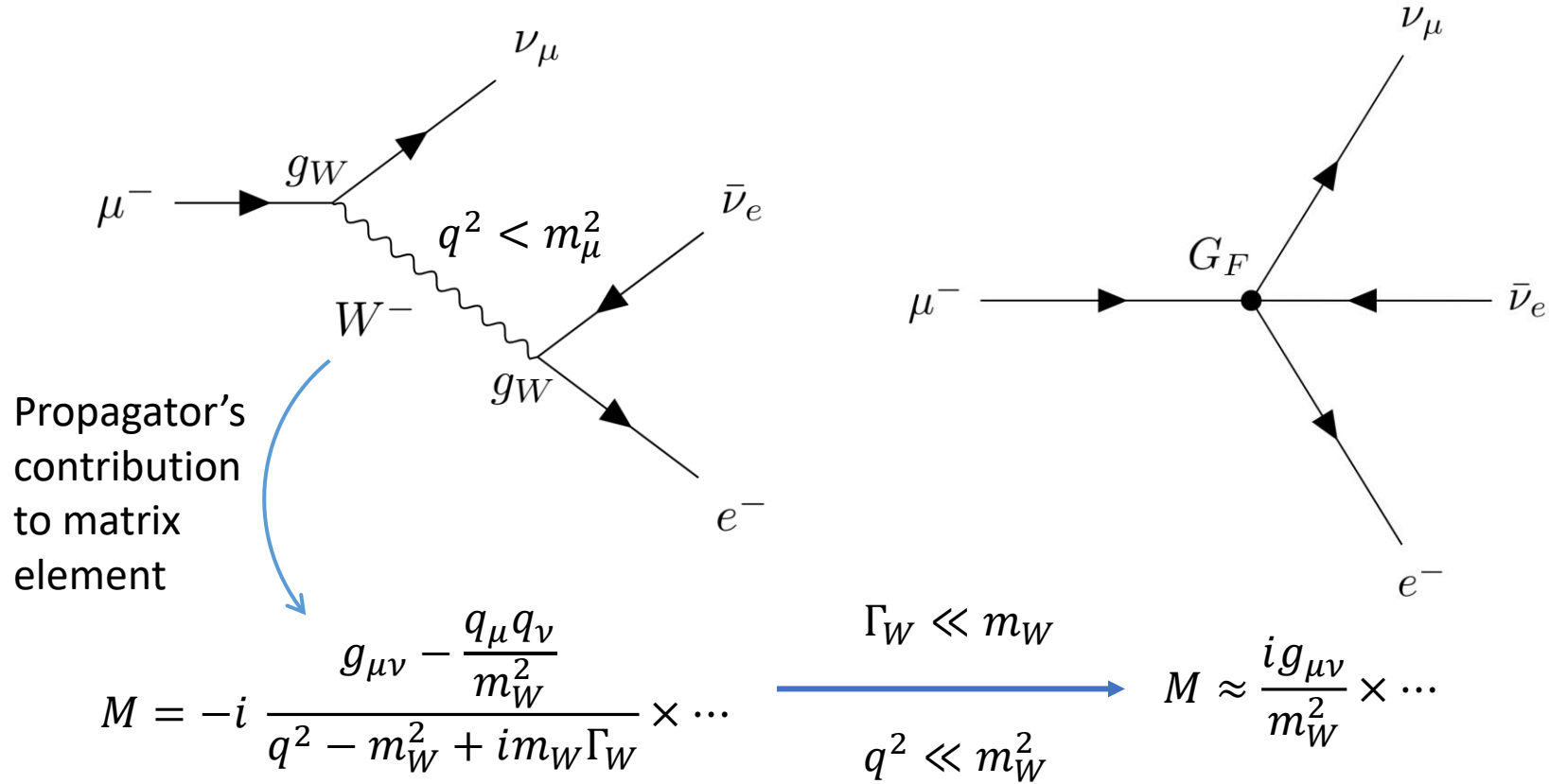
$$G_F = \frac{1}{4\sqrt{2}} \frac{g_W^2}{m_W^2}$$

Combines the g_W from each 3-point vertex and the $1/m_W^2$ from the propagator

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In EFTs, we measure relationships between the NP coupling and mass

The Lagrangian perspective

$$\mathcal{L}_{SM} = \sum_{f \in \{e, \mu\}} \bar{l}_L^f i \gamma^\mu D_\mu l_L^f + \dots$$

$$D_\mu = \partial_\mu + i g_W W_\mu^a T^a + \dots$$

$$l_L^e = \begin{pmatrix} \nu_e \\ e_L \end{pmatrix} \quad l_L^\mu = \begin{pmatrix} \nu_\mu \\ \mu_L \end{pmatrix}$$

The Lagrangian perspective

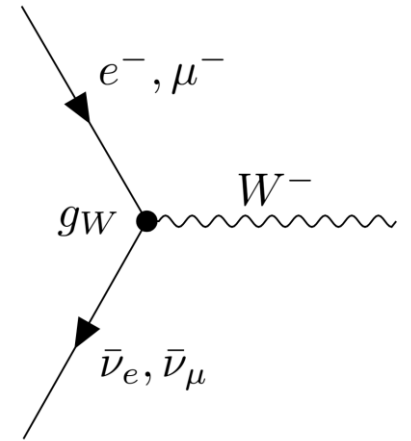
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EWSB
→
In physical
basis

$$\mathcal{L}_{SM} = \frac{i}{\sqrt{2}} \gamma^\alpha \overbrace{g_W}^{\text{Coupling strength}} \underbrace{(\bar{e}_L W_\alpha^- \nu_e + \bar{\mu}_L W_\alpha^- \nu_\mu)}_{\text{3-point interactions}} + \dots$$



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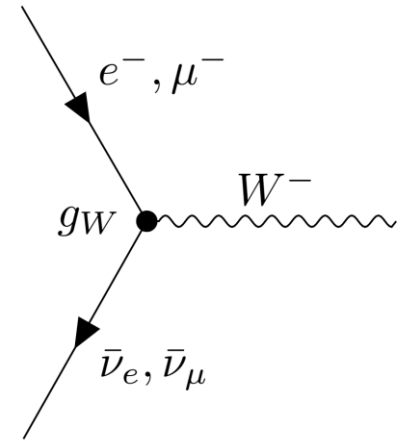
In physical basis

Coupling strength

$$\mathcal{L}_{SM} = \frac{i}{\sqrt{2}} \gamma^\alpha \overbrace{g_W} \left(\underbrace{\bar{e}_L W_\alpha^- \nu_e}_{\text{3-point interactions}} + \underbrace{\bar{\mu}_L W_\alpha^- \nu_\mu}_{\text{3-point interactions}} \right) + \dots$$

$$D(\text{fermion}) = 3/2, \quad D(\text{boson}) = 1$$

$$\rightarrow D(e_L W_\mu^a \bar{\nu}_e) = \frac{3}{2} + \frac{3}{2} + 1 = 4$$



The Lagrangian perspective

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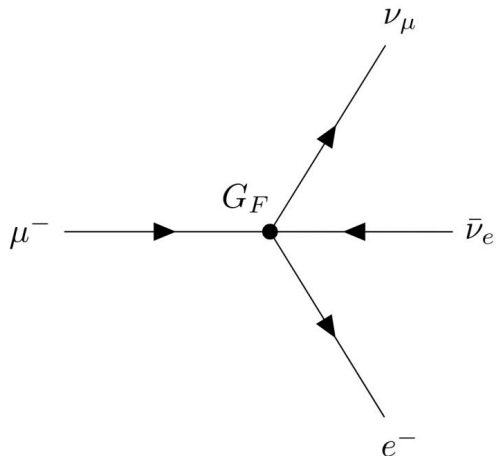
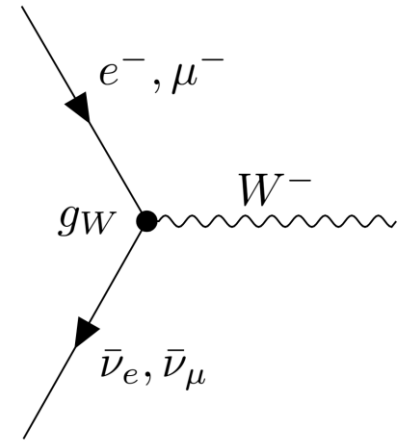
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$$\mathcal{L}_{Fermi} \propto G_F \underbrace{(\bar{e}_L \gamma_\alpha \nu_e)(\bar{\mu}_L \gamma^\alpha \nu_\mu)}_{\text{4-point interaction}}$$

$$G_F = \frac{1}{4\sqrt{2}} \frac{g_W^2}{m_W^2} \quad D(\mathcal{L}_{Fermi}) = 4 \times \frac{3}{2} = 6$$

Two 3-point interactions
→ single 4-point interaction

Effect of W^- boson absorbed into G_F

Results in a dimension-6 operator

Extending not reducing

- In Fermi theory, we **reduced** to the SM to a low-energy approximation

$$\mathcal{L}_{SM} = \frac{i}{\sqrt{2}} \gamma^\alpha g_W (\bar{e}_L W_\alpha^- \nu_e + \bar{\mu}_L W_\alpha^- \nu_\mu) + \dots \longrightarrow \mathcal{L}_{Fermi} \propto G_F (\bar{e}_L \gamma_\alpha \nu_e) (\bar{\mu}_L \gamma^\alpha \nu_\mu)$$

- To discover new physics, we want to **extend** the SM with higher dimension operators

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM}^4 + \sum_{i,d} \frac{C_i^d}{\Lambda^{d-4}} O_i^d \quad \text{for example, } Q_{Hq}^3 = \left(H^\dagger i \overleftrightarrow{D}_\mu H \right) (\bar{q} \sigma_i \gamma^\mu q) \quad q = \begin{pmatrix} u \\ d \end{pmatrix}$$

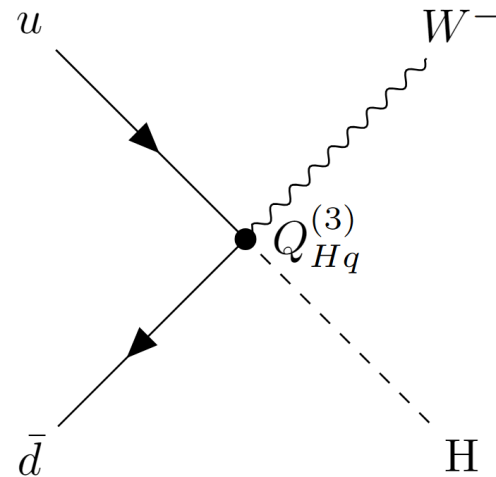
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$$H = \begin{pmatrix} 0 \\ v + h \\ \sqrt{2} \end{pmatrix}$$

EWSB

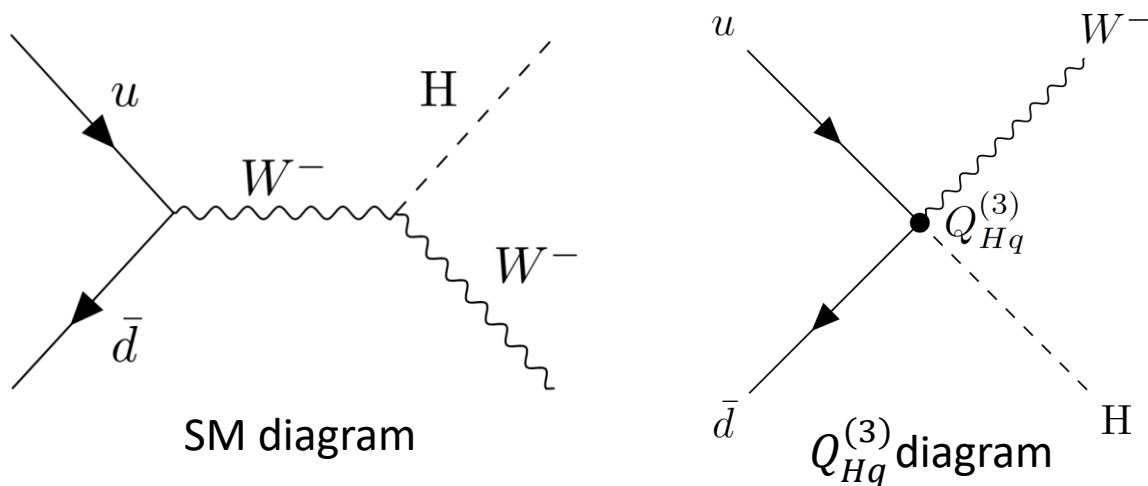
Expanding

$$Q_{Hq}^3 \sim h W_\mu^- \bar{u} \gamma^\mu d + \dots$$

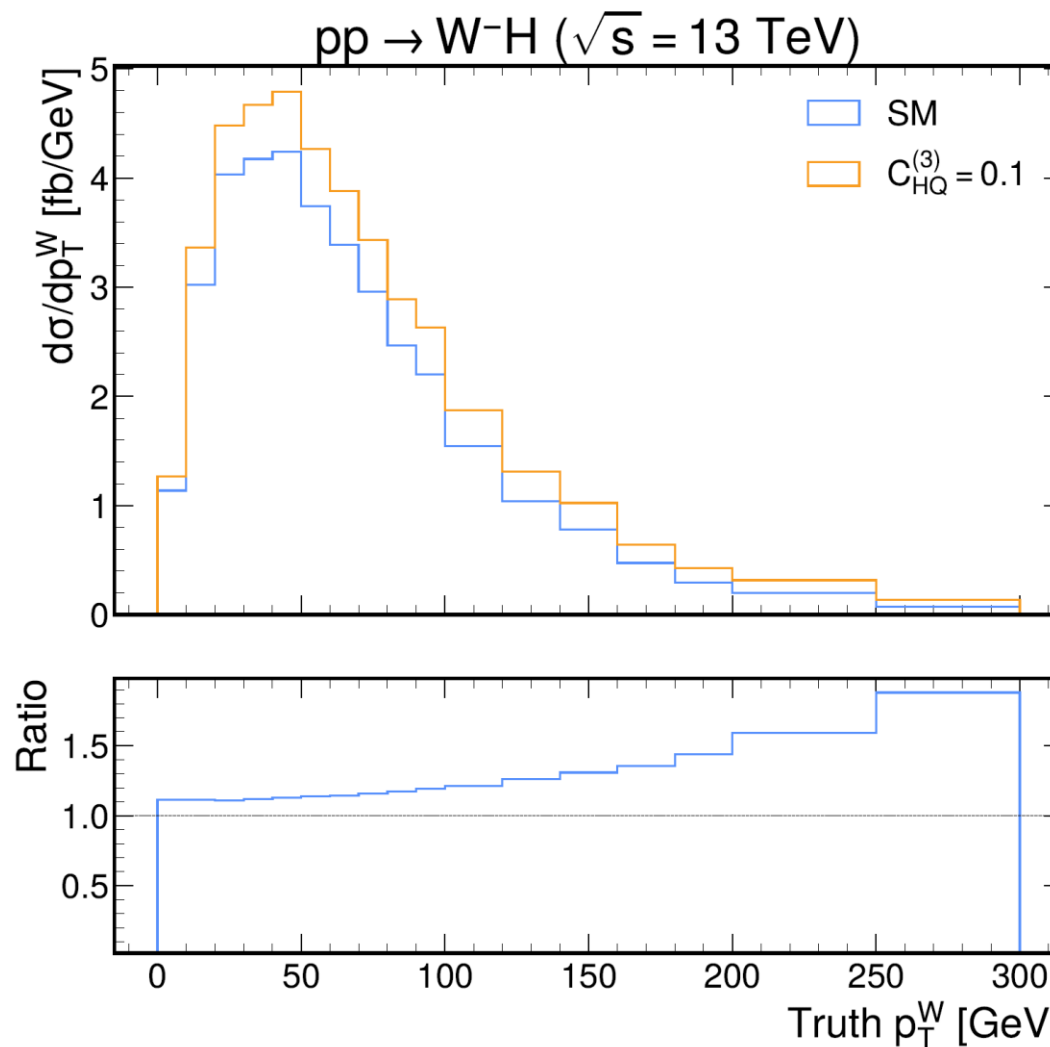
a udW^-h vertex

How might we measure $C_{HQ}^{(3)}$?

- Let's look at $pp \rightarrow W^- H$ production



- Positive value of $C_{Hq}^{(3)}$ leads to an enhancement of $W^- H$ production
- Greater enhancement at higher p_T^W
 - Should measure $pp \rightarrow W^- H$ in bins of p_T^W to extract most information
 - Will see this with real measurements later!



Generalizing

- We don't know what the NP will be → consider as many types as we can
- Consider all operators made up of SM fields & invariant under SM gauge group $SU(3)_C \times SU(2)_L \times U(1)_Y$

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM}^4 + \frac{1}{\Lambda} \sum_i c_i^5 Q_i^5 + \frac{1}{\Lambda^2} \sum_i c_i^6 Q_i^6 + \mathcal{O}\left(\frac{1}{\Lambda^3}\right)$$

Expansion is valid when

$$q^2 \ll \Lambda^2 \text{ and } \frac{c_i^d}{\Lambda^d} < \mathcal{O}(1)$$

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Lepton and baryon
number violating

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Suppressed by
higher orders of $\frac{1}{\Lambda}$

→ Consider only dimension-6 operators

*There are cases where dimension-7 and above are important & where you may be interested in dimension-5... but not the focus of this talk

Generalizing

- We don't know what to do
- Consider all operators $SU(3)_C \times SU(2)_L \times U(1)_Y$

$\mathcal{L}_{SMEFT}$

Expansion is valid when

$$q^2 \ll \Lambda^2 \text{ and } \frac{C_i^d}{\Lambda^d} < O(1)$$

$\mathcal{L}_6^{(1)} - X^3$		$\mathcal{L}_6^{(6)} - \psi^2 XH$		$\mathcal{L}_6^{(8b)} - (\bar{R}R)(\bar{R}R)$	
Q_G	$f^{abc} G_{\mu\nu}^a G_{\nu\rho}^b G_{\rho\mu}^c$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \sigma^i H W_{\mu\nu}^i$	Q_{ee}	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{\tilde{G}}$	$f^{abc} \tilde{G}_{\mu\nu}^a G_{\nu\rho}^b G_{\rho\mu}^c$	Q_{eB}	$(\bar{l}_p \sigma^{\mu\nu} e_r) H B_{\mu\nu}$	Q_{uu}	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$
Q_W	$\varepsilon^{ijk} W_{\mu\nu}^i W_{\nu\rho}^j W_{\rho\mu}^k$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^a u_r) \tilde{H} G_{\mu\nu}^a$	Q_{dd}	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{\tilde{W}}$	$\varepsilon^{ijk} \tilde{W}_{\mu\nu}^i W_{\nu\rho}^j W_{\rho\mu}^k$	Q_{uW}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \sigma^i \tilde{H} W_{\mu\nu}^i$	Q_{eu}	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$
$\mathcal{L}_6^{(2)} - H^6$		Q_{uB}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{H} B_{\mu\nu}$	Q_{ed}	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$
Q_H	$(H^\dagger H)^3$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^a d_r) H G_{\mu\nu}^a$	$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$
$\mathcal{L}_6^{(3)} - H^4 D^2$		Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \sigma^i H W_{\mu\nu}^i$	$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^a u_r)(\bar{d}_s \gamma^\mu T^a d_t)$
$Q_{H\Box}$	$(H^\dagger H) \Box (H^\dagger H)$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) H B_{\mu\nu}$		
Q_{HD}	$(D^\mu H^\dagger H) (H^\dagger D_\mu H)$				
$\mathcal{L}_6^{(4)} - X^2 H^2$		$\mathcal{L}_6^{(7)} - \psi^2 H^2 D$		$\mathcal{L}_6^{(8c)} - (\bar{L}L)(\bar{R}R)$	
Q_{HG}	$H^\dagger H G_{\mu\nu}^a G^{a\mu\nu}$	$Q_{Hl}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H) (\bar{l}_p \gamma^\mu l_r)$	Q_{le}	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{H\tilde{G}}$	$H^\dagger H \tilde{G}_{\mu\nu}^a G^{a\mu\nu}$	$Q_{Hl}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^i H) (\bar{l}_p \sigma^i \gamma^\mu l_r)$	Q_{lu}	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
Q_{HW}	$H^\dagger H W_{\mu\nu}^i W^{i\mu\nu}$	Q_{He}	$(H^\dagger i \overleftrightarrow{D}_\mu H) (\bar{e}_p \gamma^\mu e_r)$	Q_{ld}	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{H\tilde{W}}$	$H^\dagger H \tilde{W}_{\mu\nu}^i W^{i\mu\nu}$	$Q_{Hq}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H) (\bar{q}_p \gamma^\mu q_r)$	Q_{qe}	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
Q_{HB}	$H^\dagger H B_{\mu\nu} B^{\mu\nu}$	$Q_{Hq}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^i H) (\bar{q}_p \sigma^i \gamma^\mu q_r)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{H\tilde{B}}$	$H^\dagger H \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{Hu}	$(H^\dagger i \overleftrightarrow{D}_\mu H) (\bar{u}_p \gamma^\mu u_r)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^a q_r)(\bar{u}_s \gamma^\mu T^a u_t)$
Q_{HWB}	$H^\dagger \sigma^i H W_{\mu\nu}^i B^{\mu\nu}$	Q_{Hd}	$(H^\dagger i \overleftrightarrow{D}_\mu H) (\bar{d}_p \gamma^\mu d_r)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{H\tilde{W}B}$	$H^\dagger \sigma^i H \tilde{W}_{\mu\nu}^i B^{\mu\nu}$	$Q_{Hud} + \text{h.c.}$	$i(\tilde{H}^\dagger D_\mu H) (\bar{u}_p \gamma^\mu d_r)$	$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^a q_r)(\bar{d}_s \gamma^\mu T^a d_t)$
$\mathcal{L}_6^{(5)} - \psi^2 H^3$		$\mathcal{L}_6^{(8a)} - (\bar{L}L)(\bar{L}L)$		$\mathcal{L}_6^{(8d)} - (\bar{L}R)(\bar{R}L), (\bar{L}R)(\bar{L}R)$	
Q_{eH}	$(H^\dagger H)(\bar{l}_p e_r H)$	Q_{ll}	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	Q_{ledq}	$(\bar{l}_p^j e_r)(\bar{d}_s q_{tj})$
Q_{uH}	$(H^\dagger H)(\bar{q}_p u_r \tilde{H})$	$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$
Q_{dH}	$(H^\dagger H)(\bar{q}_p d_r H)$	$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \sigma^i q_r)(\bar{q}_s \gamma^\mu \sigma^i q_t)$	$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^a u_r) \varepsilon_{jk} (\bar{q}_s^k T^a d_t)$
		$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$
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oup

only dimension-6 operators

are cases where dimension-
above are important & where
be interested in
on-5... but not the focus of

Generalizing

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- Consider all operators made up of SM fields & invariant under SM gauge group $SU(3)_C \times SU(2)_L \times U(1)_Y$

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→ Consider only dimension-6 operators

Expansion is valid when

$$q^2 \ll \Lambda \text{ and } \frac{c_i^d}{\Lambda^d} < O(1)$$

Lepton and baryon
number violating

Suppressed by
higher orders of $\frac{1}{\Lambda}$

*There are cases where dimension-7 and above are important & where you may be interested in dimension-5... but not the focus of this talk

- There are 59 dimension-6 independent operators
 - Some operators carry flavour indices → more than 59 Wilson coefficients
 - Counting real and imaginary parts separately → 2599 free parameters in $\mathcal{L}_6$
 - We cannot measure 2599 parameters... we must make some choices/assumptions

$$\mathcal{L}_6 = \frac{1}{\Lambda^2} \sum_i \sum_{p,r} c_{i,pr} Q_{i,pr} + \dots$$

Flavour assumptions

- The most restrictive flavour assumption is $U(3)^5$
 - Assumes NP scales couplings to each flavour of lepton or quark equally

$$\mathcal{L}_6 = \frac{1}{\Lambda^2} \sum_i \sum_{p,r} C_{i,pr} Q_{i,pr} + \dots$$

→ 85 free parameters

flavour
assumption

$$\mathcal{L}_6 = \frac{1}{\Lambda^2} \sum_i \sum_{p,r} C_i X_{i,pr} Q_{i,pr} + \dots$$

Single WC per
operator

Depends on type of
operator to ensure equal
scaling

$$\begin{array}{cc} C_{Hl}^{(3)} & C_{Hq}^{(3)} \\ C_{Hu} & C_{Hd} \end{array} \quad \cancel{C_{H\tau}^{(3)}}$$

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$C_{Hl}^{(3)}$ $C_{Hq}^{(3)}$ ~~$C_{H\tau}^{(3)}$~~
 C_{Hu} C_{Hd}

Single WC per operator Depends on type of operator to ensure equal scaling

→ 85 free parameters

- Such an assumption makes sense for measurements where you can not separate flavour
 - e.g. light quark production at the LHC
 - e.g. the statistics are too low to individually measure $W^-(\rightarrow e\nu_e)H$ from $W^-(\rightarrow \mu\nu_\tau)H$

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$C_{Hl}^{(3)}$
 C_{Hu}

$C_{Hq}^{(3)}$
 C_{Hd}

~~$C_{H\tau}^{(3)}$~~

Single WC per operator

Depends on type of operator to ensure equal scaling

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- Such an assumption makes sense for measurements where you can not separate flavour
 - e.g. light quark production at the LHC
 - e.g. the statistics are too low to individually measure $W^-(\rightarrow e\nu_e)H$ from $W^-(\rightarrow \mu\nu_\tau)H$
- You should adjust flavour assumption to match measurement capabilities
- The topU3l assumption is like $U(3)^5$ but treats first two generations of quark differently to third

First two generations Third generation

(q, u, d)

(Q, t, b)

e.g. $C_{Hq}^{(3)}$

e.g. $C_{HQ}^{(3)}$

At the LHC, we make dedicated b and t measurements
 → we should disentangle them

→ 120 free parameters

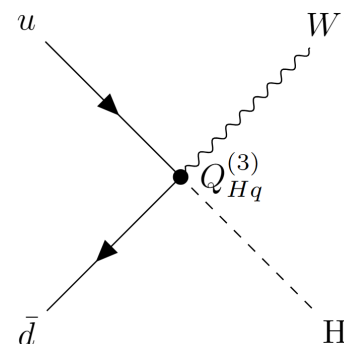
Recap

- At the LHC, we probe energies up to $O(\text{TeV})$ scale
- If the mass of a new particle (Λ) is $\gg q^2$ we will not detect it directly (mass bump)
- In the $q^2 \ll \Lambda$ regime, approximations of NP contributions introduces higher-dimension operators
- We choose to consider all possible under certain reasonable assumptions (e.g. flavour assumption)

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM}^4 + \frac{1}{\Lambda^2} \sum_i C_i^6 Q_i^6$$

$$Q_{Hq}^3 = \left(H^\dagger i \overleftrightarrow{D}_\mu^i H \right) (\bar{q}_p \sigma_i \gamma^\mu q_r)$$

$$Q_{Hq}^3 \sim h W_\mu^- \bar{u} \gamma^\mu d + \dots$$

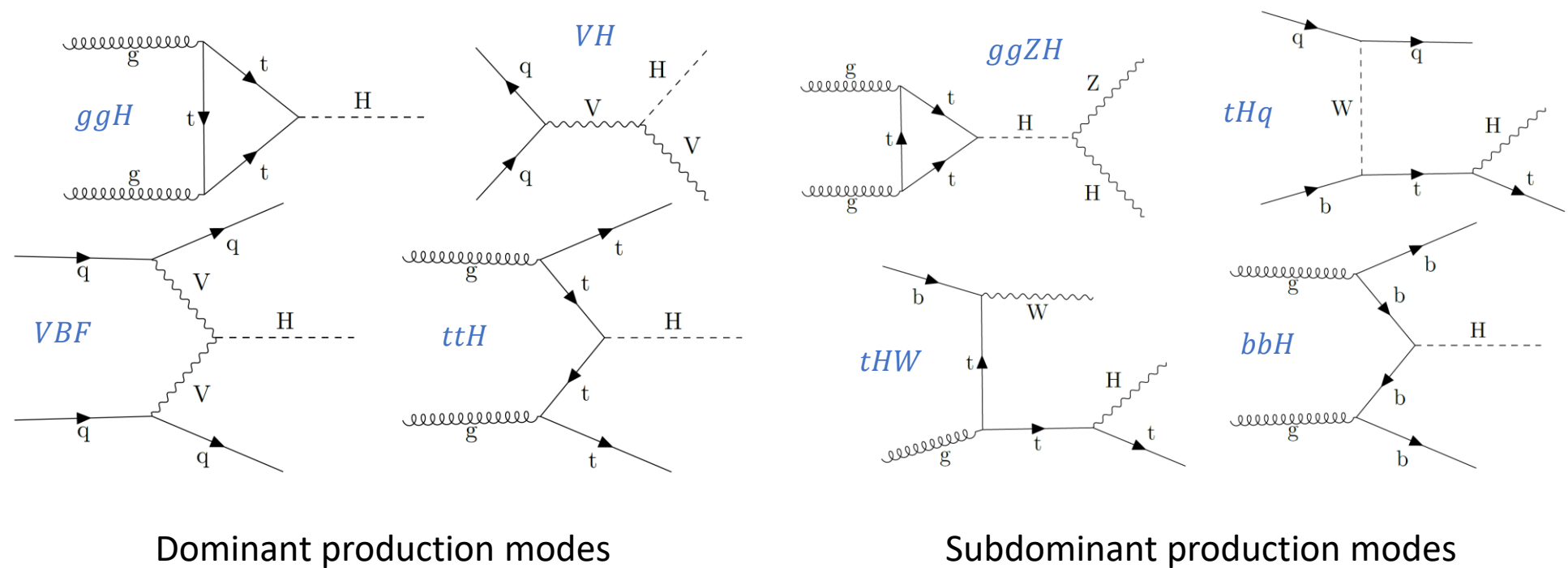


Flavour assumption	Free parameters
$U(3)^5$	85
topU3l	120

- As model-independent as we can be provided the measurements we use/have
- To constrain C_i , we should measure related processes, preferably differentially
- Non-zero value of $C_i \rightarrow$ new physics!

Combination of Higgs measurements at CMS

- Is there any NP lurking in the Run2 (138⁻¹) Higgs dataset at CMS?
- There is a lot to measure...



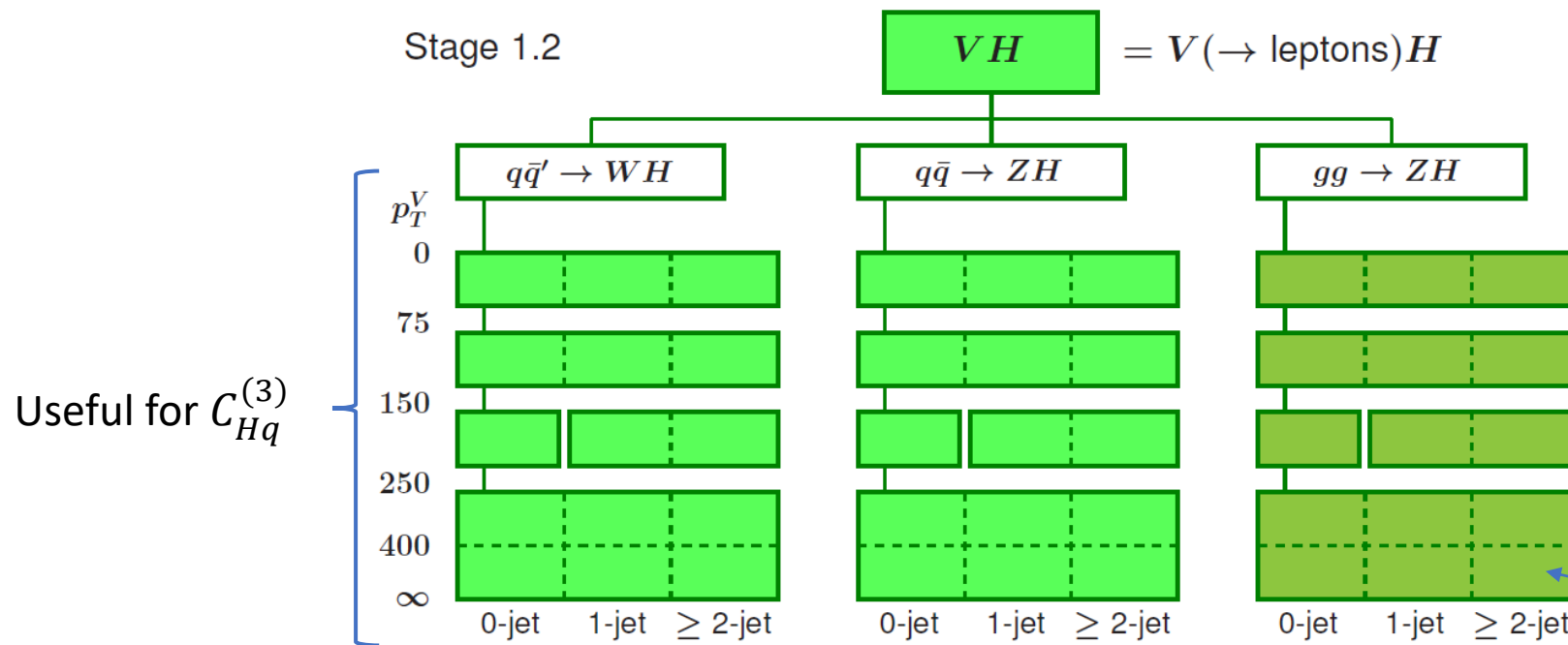
Prod mode	σ [pb]
ggH	48.6
VBF	3.78
WH	1.37
ZH	0.761
ttH	0.507
bbH	0.528
$ggZH$	0.123
tHq	0.07
tHW	0.503

Decay mode	BR (%)
bb	58.2
WW	21.4
gg	8.19
$\tau\tau$	6.27
cc	2.89
ZZ	2.62
$\gamma\gamma$	0.227
Other	0.194

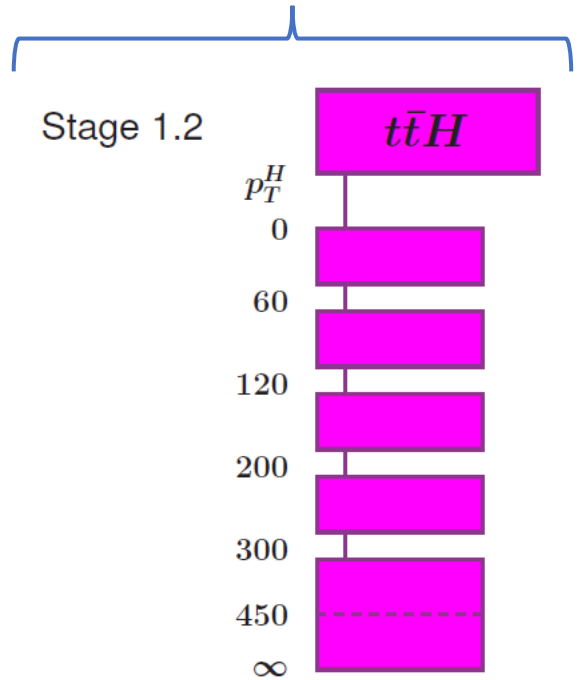
- Recent CMS result ([CMS-PAS-HIG-21-018](#)) combines 11 analyses... an exhaustive list of production and decay mode pairings

The Simplified Template Cross Sections (STXS)

- How do we combine these analyses sensibly? We coordinate...
- Most of the input analyses measure the STXS
 - Suggested binning scheme for each production mode
 - Split by variables like p_T^H, p_T^V, N_{jet} to increase sensitivity to NP



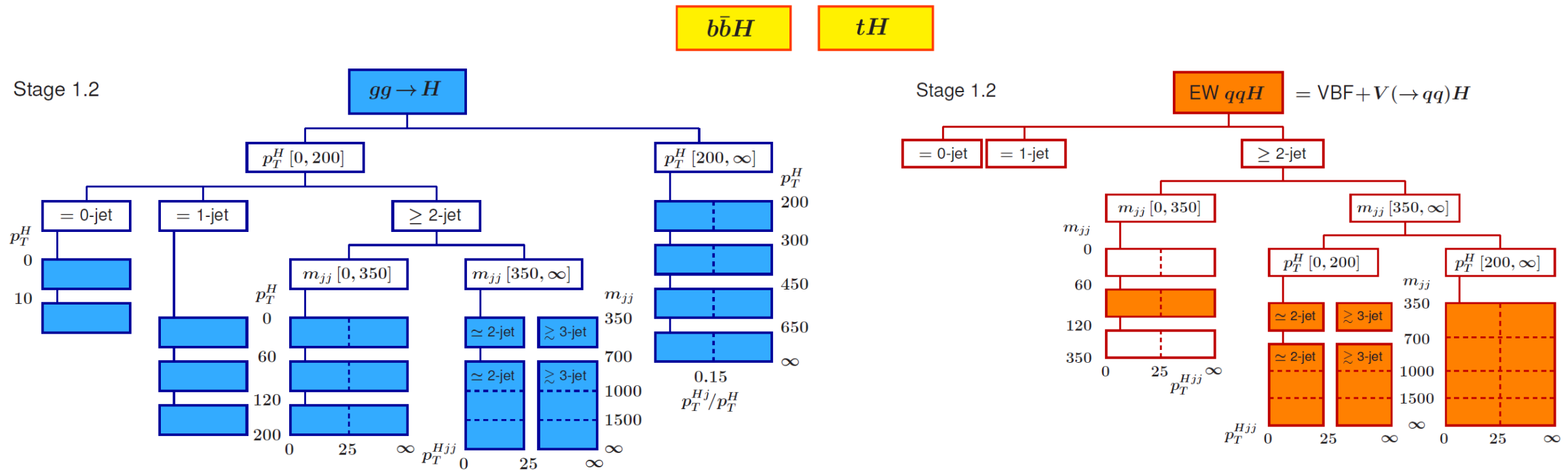
Useful for differentiating NP couplings to top quarks vs other generations (topU3l)



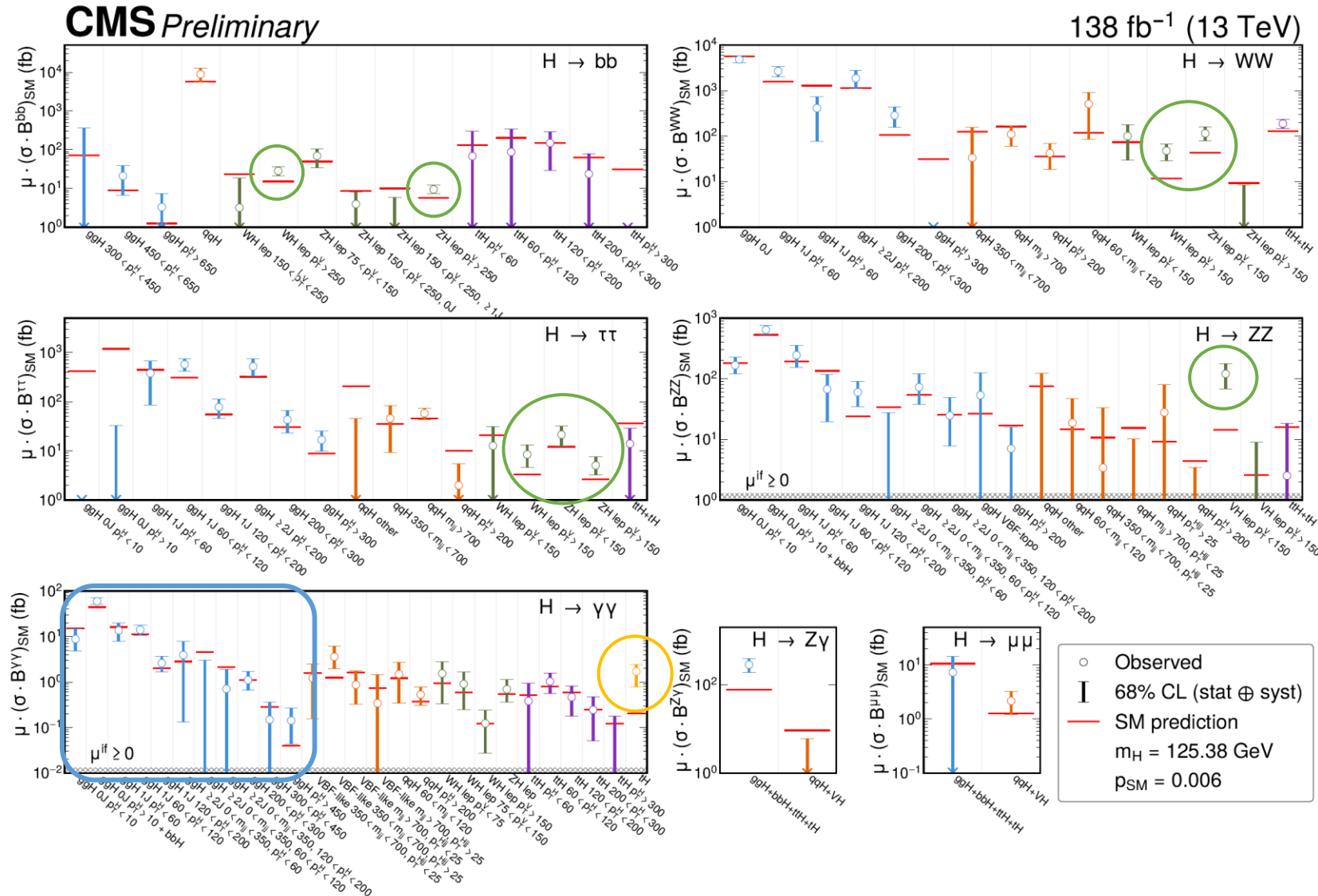
Every bin corresponds to a cross section measurement

The Simplified Template Cross Sections (STXS)

- Every input decay channel will measure as many bins as they can
- Merge bins where necessary – dashed lines suggest merging points



STXS results



Consistent deviations in the VH leptonic bins

Great sensitivity to ggH in $H \rightarrow \gamma\gamma$ (important later)

tH excess in $H \rightarrow \gamma\gamma$ decay channel

Overall poor agreement with the SM with a p-value = 0.006

How does this look from an EFT perspective...?

Interpreting the STXS in the SMEFT

- Let's parameterize our measurements in terms of the Wilson coefficients, C_i
- In an analysis which targets decay mode, j , the expected signal events in category, c , is:

$$N_{jc}(C_i) = \sum_i \sigma_i(C_i) \times BR_j(C_i) \times A_{ijc}(C_i)$$

STXS bin i BR for decay mode j Acceptance = fraction of events from bin i and decay channel j that land in category c

- For now, let's assume that A_{ijc} is independent of the WC's, we will return to this later...
- If we consider only a single insertion of an EFT vertex in our Feynman diagrams:

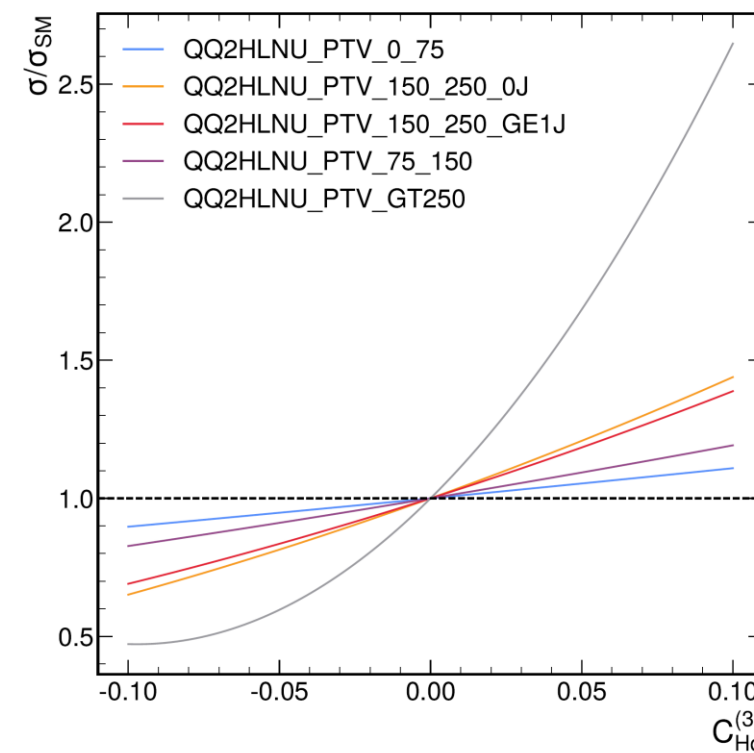
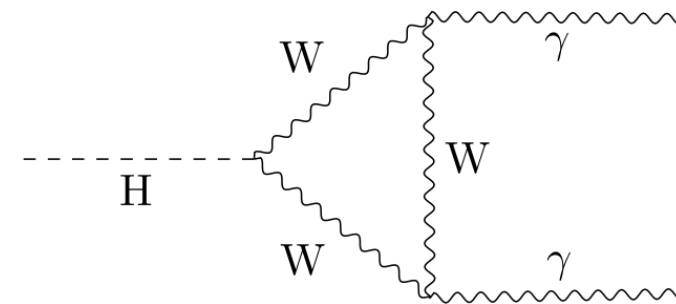
$$\frac{\sigma_i}{\sigma^{SM}} = 1 + \frac{1}{\Lambda^2} \sum_i A_i C_i + \frac{1}{\Lambda^4} \sum_{ij} B_{ij} C_i C_j \quad \text{and} \quad \frac{\Gamma}{\Gamma^{SM}} = 1 + \frac{1}{\Lambda^2} \sum_i A_i C_i + \frac{1}{\Lambda^4} \sum_{ij} B_{ij} C_i C_j$$

Scaling equations

- Derive a scaling equation per STXS bin + per decay mode $\rightarrow$ complete parameterization

How to determine A_i and B_{ij} ?

- Option 1: analytical derivations
 - Possible for some decay modes – is what we do for $H \rightarrow \gamma\gamma$ and $H \rightarrow Z\gamma$
 - Includes NLO EW + EFT effects (not possible with MC tools)
- Option 2: Monte-Carlo methods
 - Use event generators (MadGraph) to sample σ or Γ at different values of C_i and infer A_i and B_j terms
 - For N WC's, need $2N + N(N - 1)/2$ samples
 - To reduce computation time, we reweight SM events instead of regenerating events for every sample
 - Except for special cases
 - Mixture of models used in the generators
 - Mostly LO calculations
 - Loop-level in QCD calculations for ggH and $ggZH$



Linear-only or up to quadratic?

- Linear terms are suppressed by $\frac{1}{\Lambda^2}$, and quadratic terms by $\frac{1}{\Lambda^4}$

$$\frac{\sigma_i}{\sigma^{SM}} = 1 + \frac{1}{\Lambda^2} \sum_i A_i C_i + \frac{1}{\Lambda^4} \sum_{ij} B_{ij} C_i C_j$$

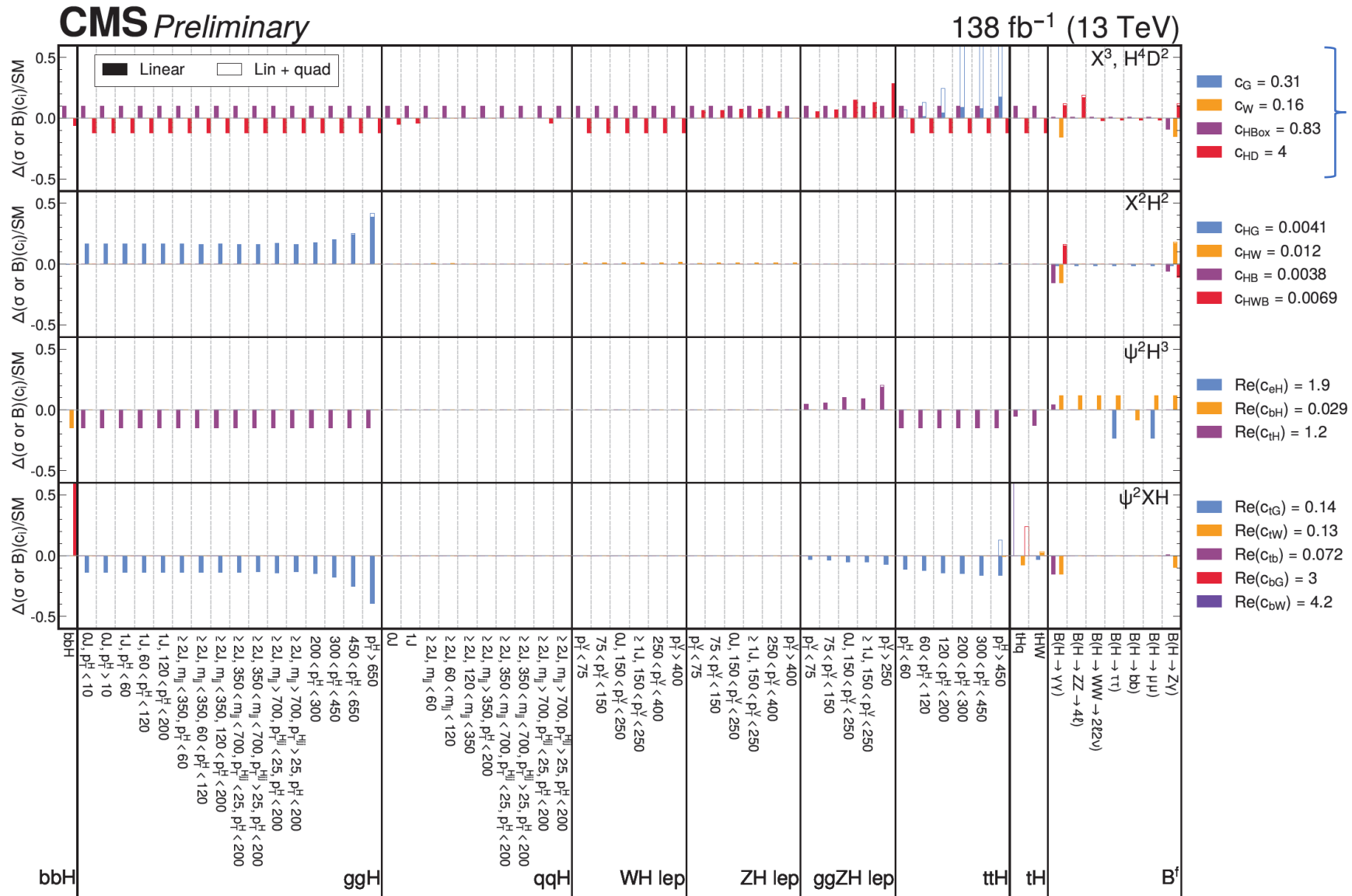
- If we considered dimension-8 operators as well for a moment, we would get

$$\frac{\sigma_i}{\sigma^{SM}} = 1 + \frac{1}{\Lambda^2} \sum_i A_i^6 C_i^6 + \underbrace{\frac{1}{\Lambda^4} \sum_{ij} B_{ij}^6 C_i^6 C_j^6 + \frac{1}{\Lambda^4} \sum_i A_i^8 C_i^8}_{\text{Quadratic terms from dimension-6 are the same order (1/\Lambda^4) as the linear from dimension-8!}} + \frac{1}{\Lambda^8} \sum_{ij} B_{ij}^8 C_i^8 C_j^8$$

Quadratic terms from dimension-6 are the same order ($1/\Lambda^4$) as the linear from dimension-8!

- This is an inconsistent cut-off in the expansion of $\frac{1}{\Lambda}$
- Using only the linear terms (up to $1/\Lambda^2$) may lead to more conservative but more valid results
- We tend to look at both results for comparison, keeping this all this in mind

STXS parameterization (1)

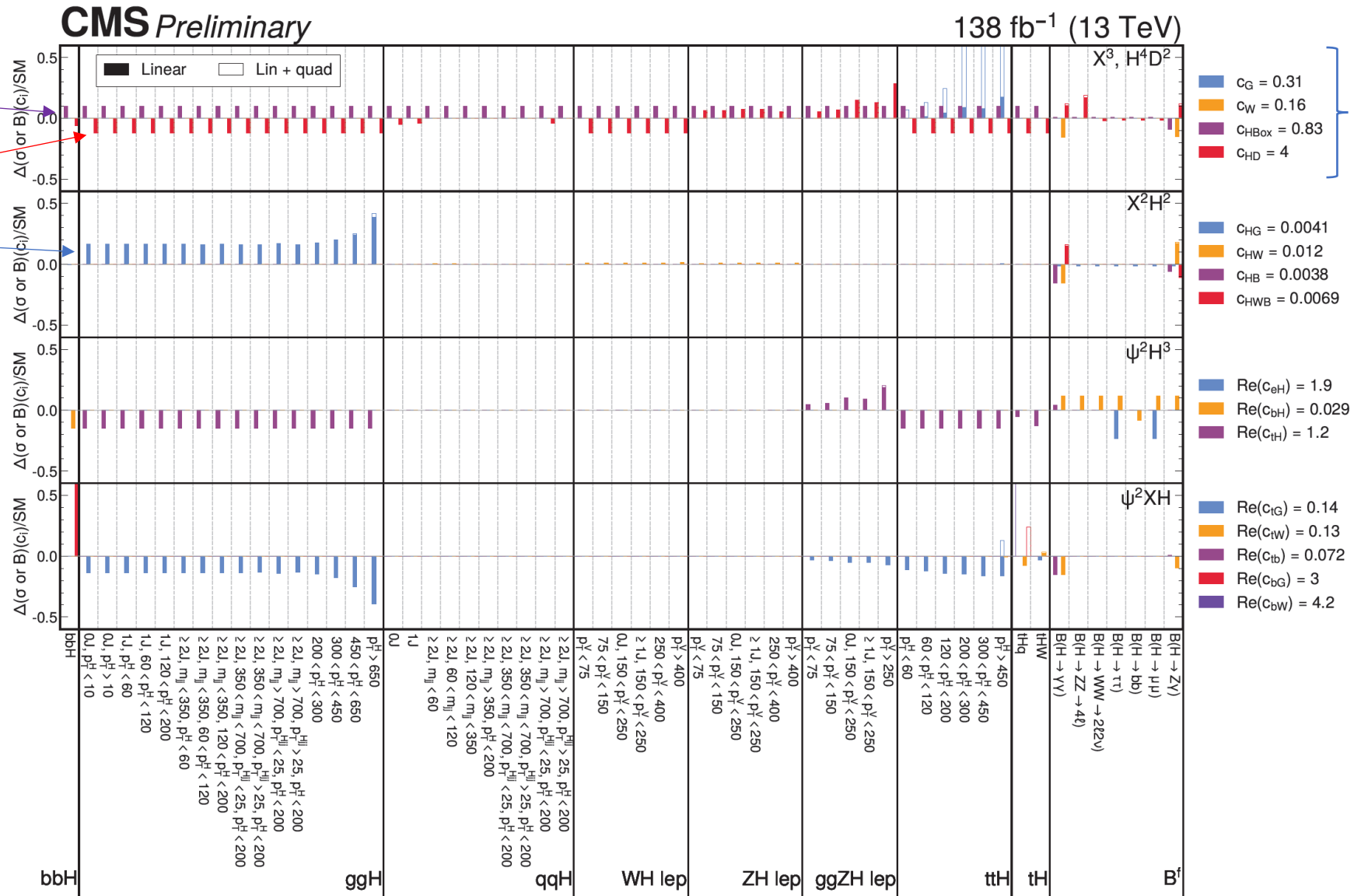


WC set to their
expected 95% CL
interval value

STXS parameterization (1)

Some WC lead to global flat scalings

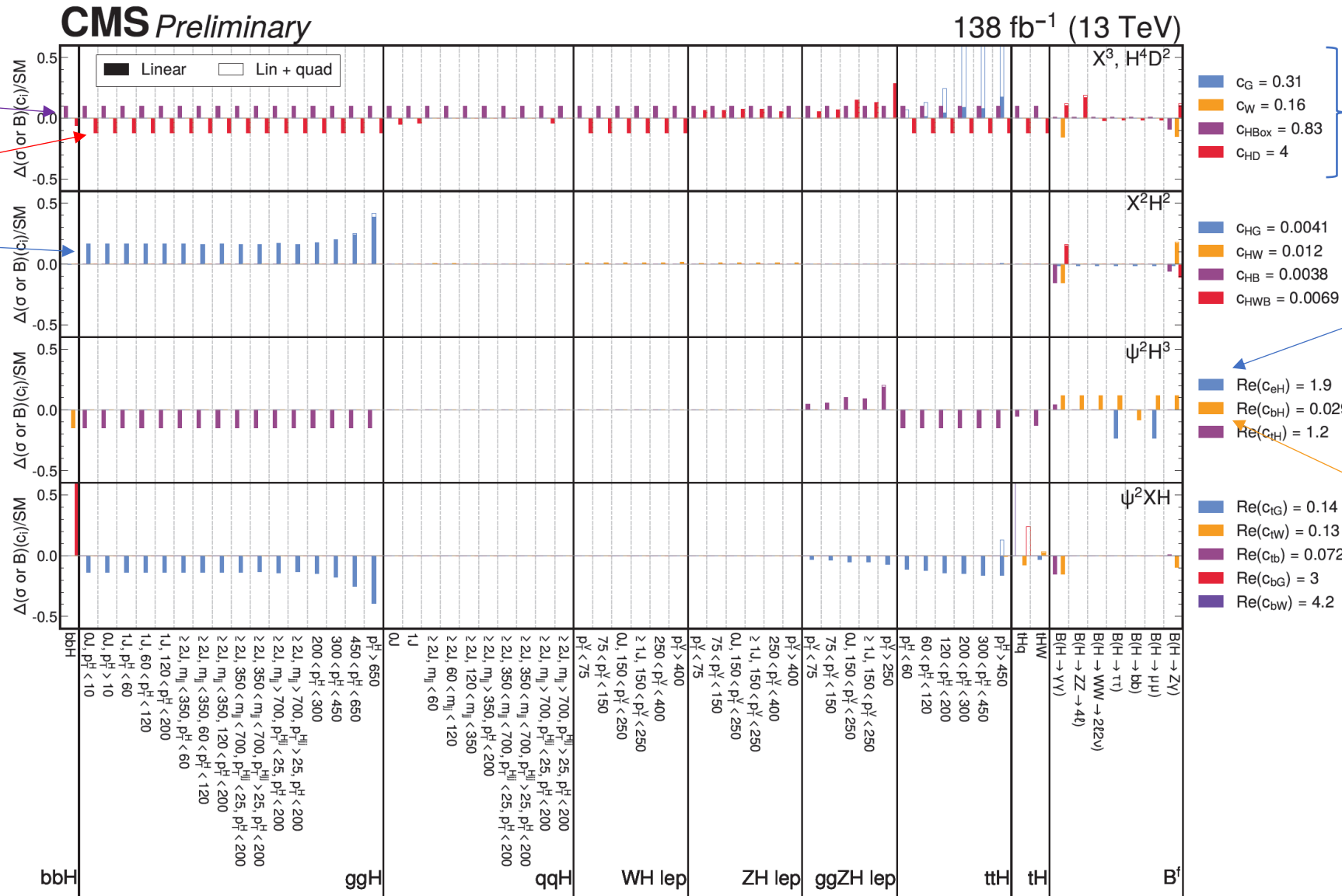
Others affect particular production modes



STXS parameterization (1)

Some WC lead to global flat scalings

Others affect particular production modes



WC set to their expected 95% CL interval value

C_{eH} rescales the leptonic Yukawa terms $\rightarrow$ affects only $H \rightarrow \tau\tau$ and $H \rightarrow \mu\mu$

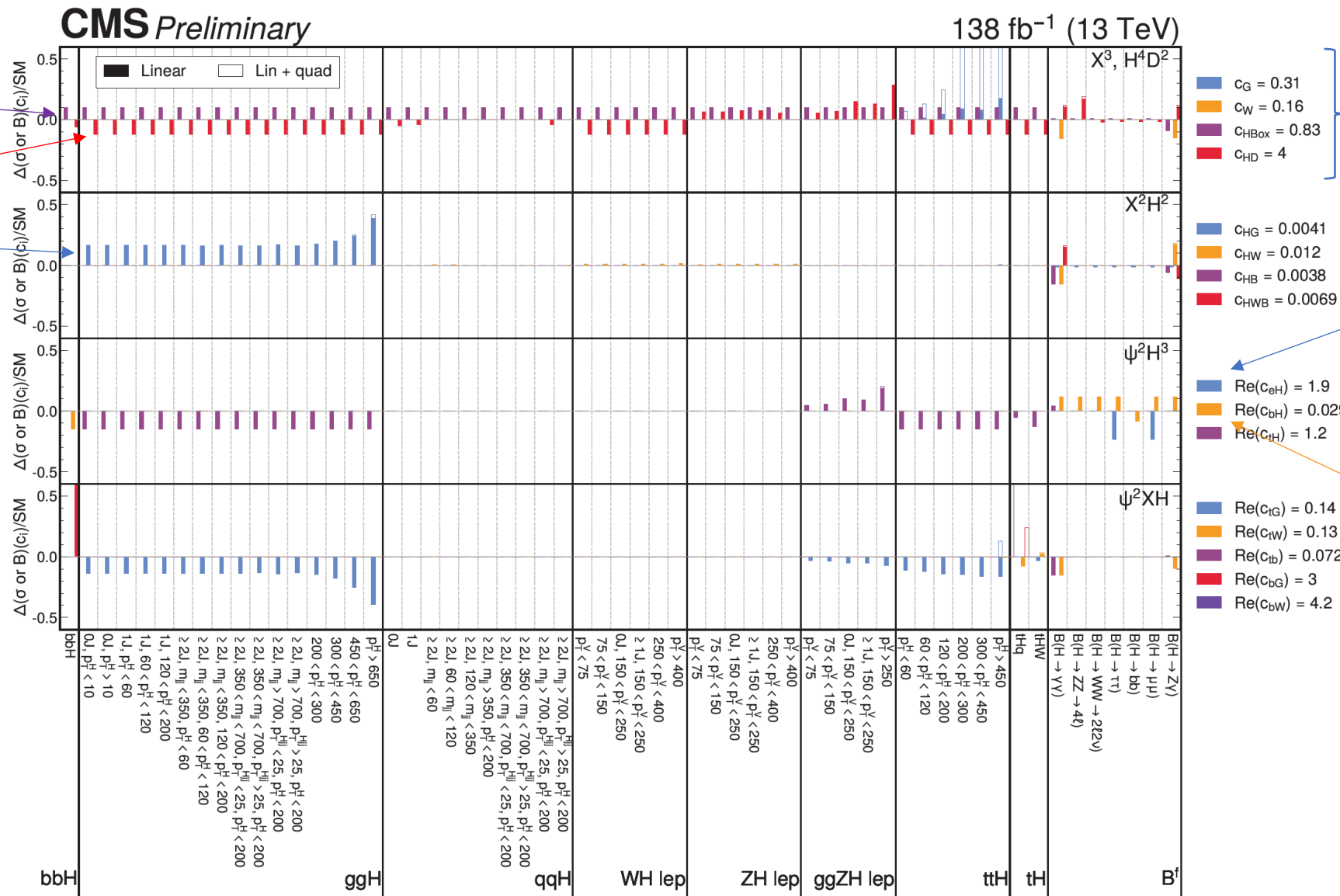
C_{bH} rescales the hbb Yukawa term $\rightarrow$ affects all BR's through the Higgs total width

STXS parameterization (1)

Some WC lead to global flat scalings

Others affect particular production modes

Linear mostly dominates with some exceptions

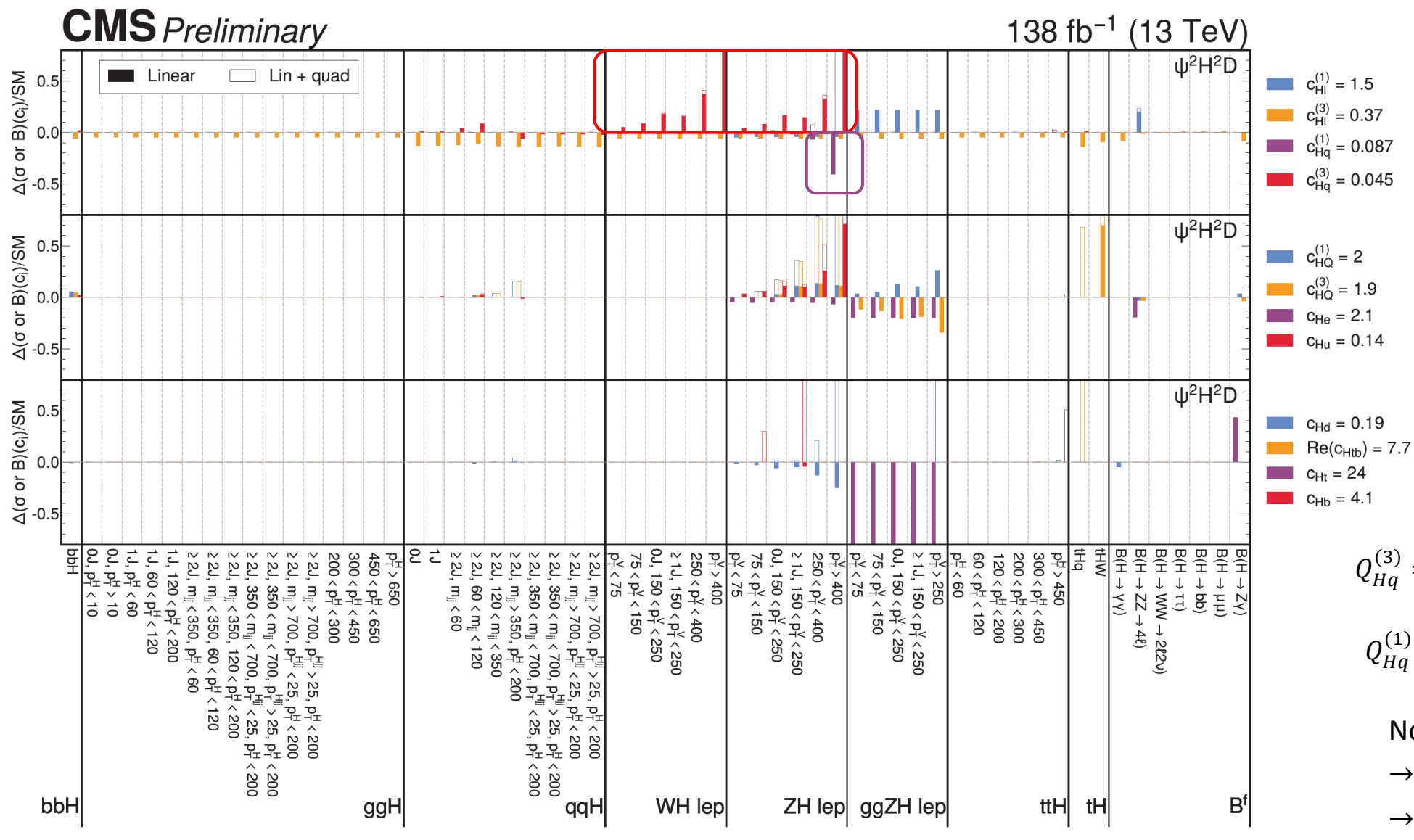


WC set to their expected 95% CL interval value

C_{eH} rescales the leptonic Yukawa terms $\rightarrow$ affects only $H \rightarrow \tau\tau$ and $H \rightarrow \mu\mu$

C_{bH} rescales the hbb Yukawa term $\rightarrow$ affects all BR's through the Higgs total width

STXS parameterization (2)



$C_{Hq}^{(3)}$ showing the same p_T^V dependence seen before

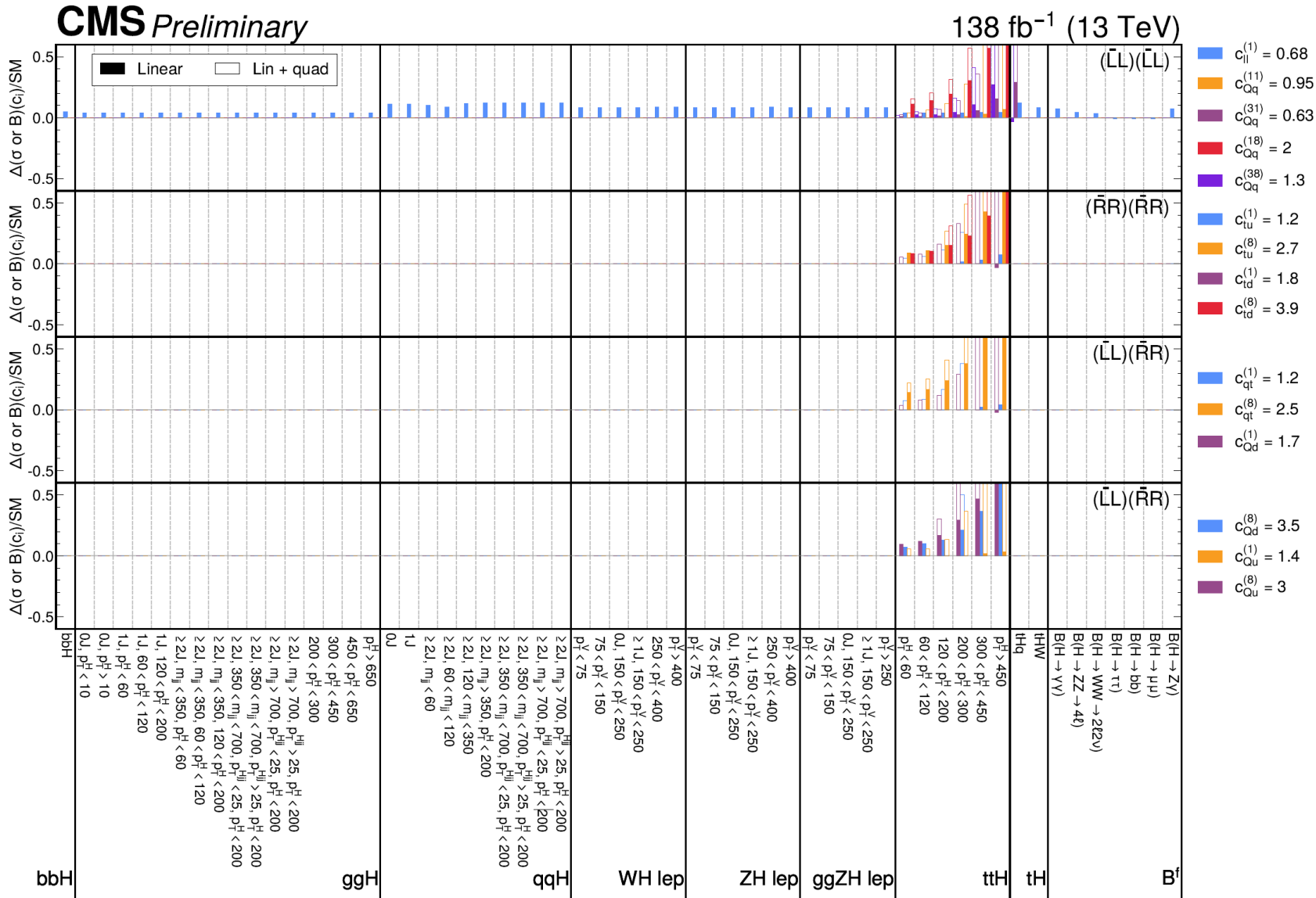
$C_{Hq}^{(1)}$ shows similar dependence

$$Q_{Hq}^{(3)} = \left(H^\dagger i \overleftrightarrow{D}_\mu^i H \right) (\bar{q}_p \sigma_i \gamma^\mu q_r)$$

$$Q_{Hq}^{(1)} = \left(H^\dagger i \overleftrightarrow{D}_\mu^i H \right) (\bar{q}_p \gamma^\mu q_r)$$

No Pauli matrix in $Q_{Hq}^{(1)}$
 → no mixing u and d
 → only ZH for $Q_{Hq}^{(1)}$

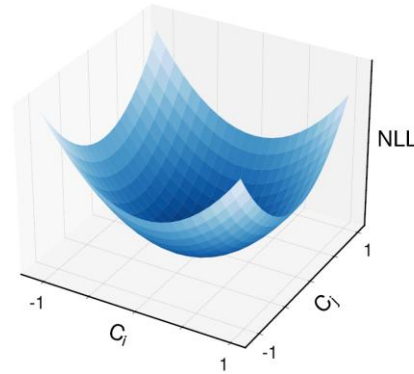
STXS parameterization (3)



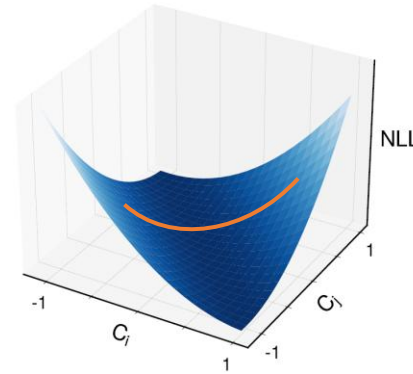
Degenerate effects

- A BSM theory usually leads to several non-zero WC's, let's try to constraint them simultaneously...
 - Fit one WC but leave the rest to float

Good curvature is all directions
→ simultaneous constraint for both WCs



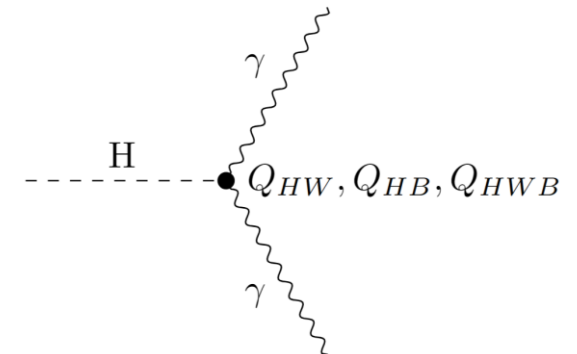
What we want



~What we usually get

A flat (degenerate) direction in the likelihood
→ no constraint for either WC
Only a particular combination $(C_i + C_j)$ has a constraint

- Why does this happen?
 - Some WC's have similar (degenerate) effects on our measurements, e.g. $H \rightarrow \gamma\gamma$
 - Cannot easily tell whether a deviation in $H \rightarrow \gamma\gamma$ is due to Q_{HW} , Q_{HB} or Q_{HWB}
- need to derive a rotated basis for our 43 WCs



Deriving a rotated basis

Find the Hessian (matrix of second derivatives of NLL)

$$H_{SMEFT}$$

Use eigenvector decomposition to write as

$$H_{SMEFT} = R^T \Lambda R$$

R = rotation matrix

Λ = diagonal matrix

$1/\sqrt{\lambda_i}$ = estimated 68% CL intervals

Deriving a rotated basis

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Use eigenvector decomposition
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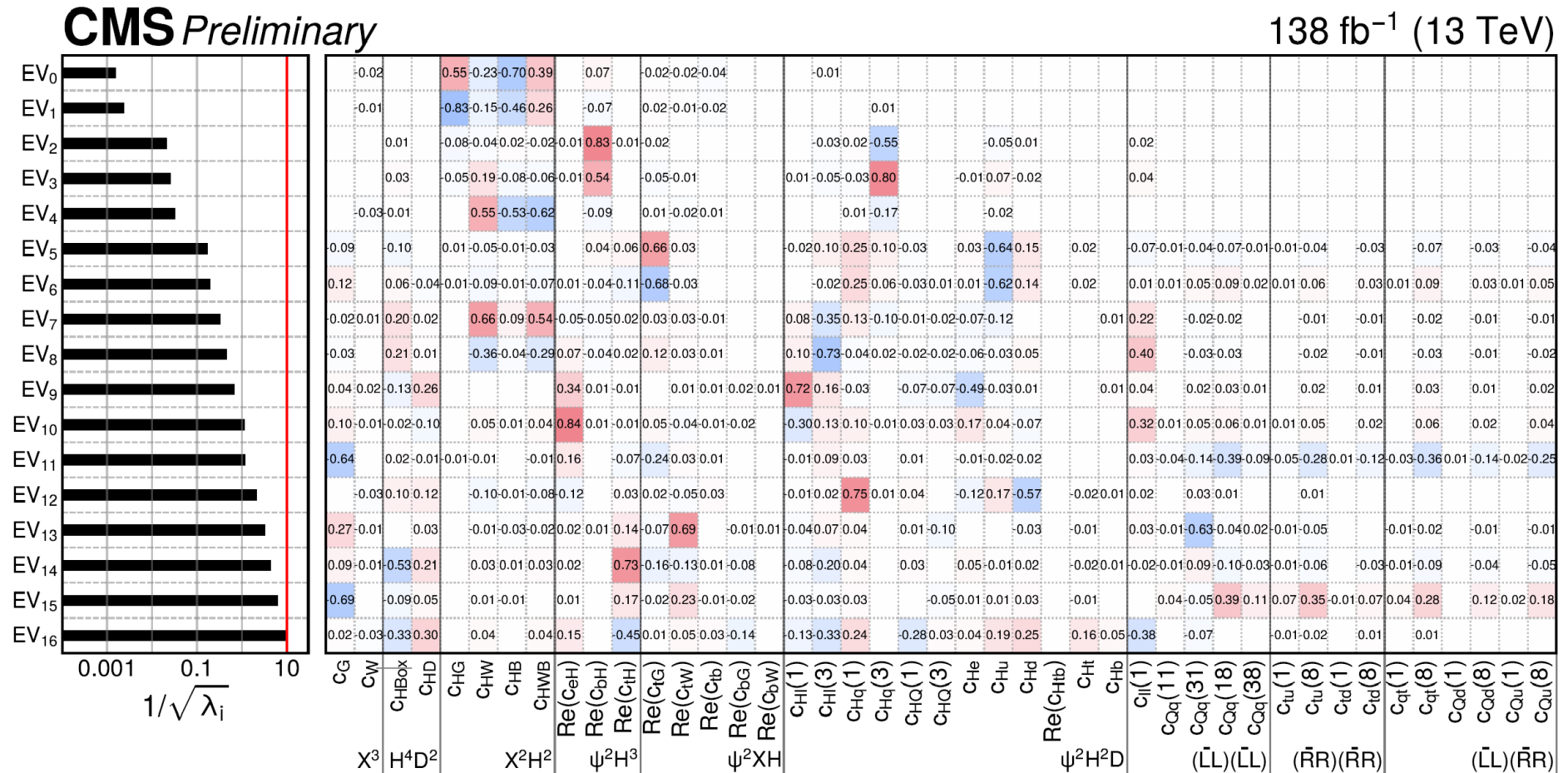
$1/\sqrt{\lambda_i}$ = estimated 68% CL intervals

ggH production

$$H \rightarrow \gamma\gamma$$

$$EV_0 = 0.55C_{HG} - 0.23C_{HW} - 0.70C_{HB} + 0.39C_{HWP}$$

This combination of production and decay mode is our most sensitive measurement of the Higgs



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All eigenvectors beyond EV_{16} are set to zero and not constrained

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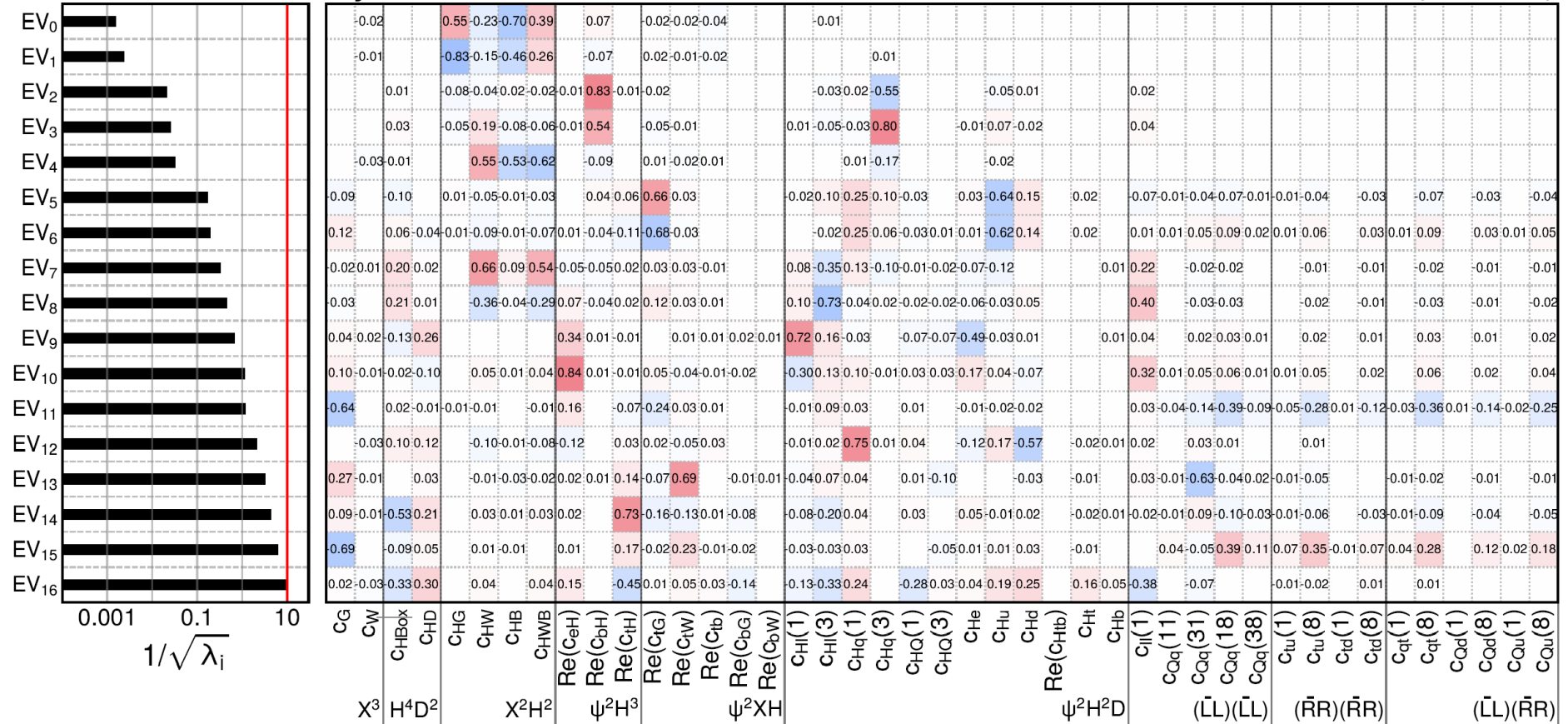
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CMS Preliminary

138 fb⁻¹ (13 TeV)



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Use eigenvector decomposition to write as

$$H_{SMEFT} = R^T \Lambda R$$

R = rotation matrix

Λ = diagonal matrix

$1/\sqrt{\lambda_i}$ = estimated 68% CL intervals

All eigenvectors beyond EV_{16} are set to zero and not constrained

We used linear-only, otherwise

$$H_{SMEFT} = H_{SMEFT}(C_i)$$

→ rotation matrix is C_i

dependent

ggH production

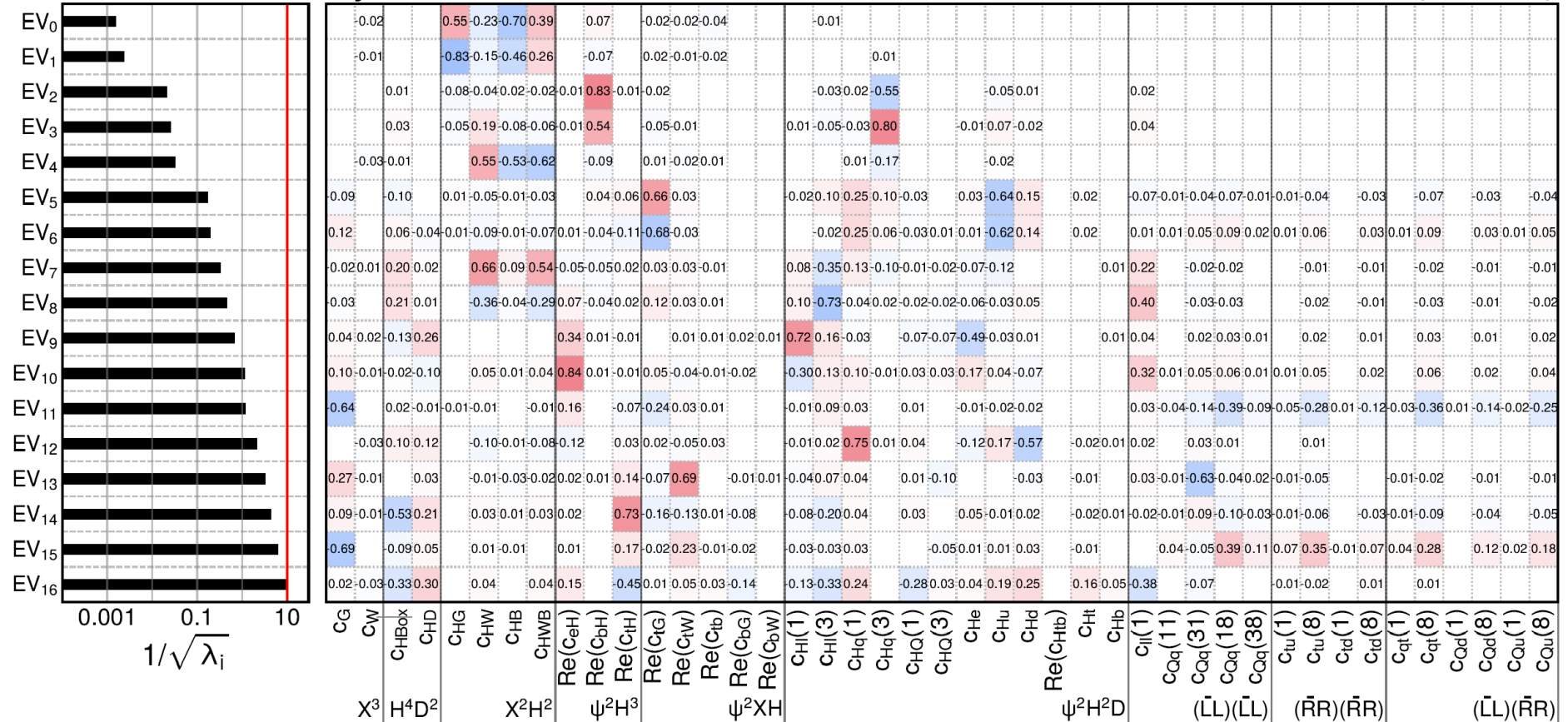
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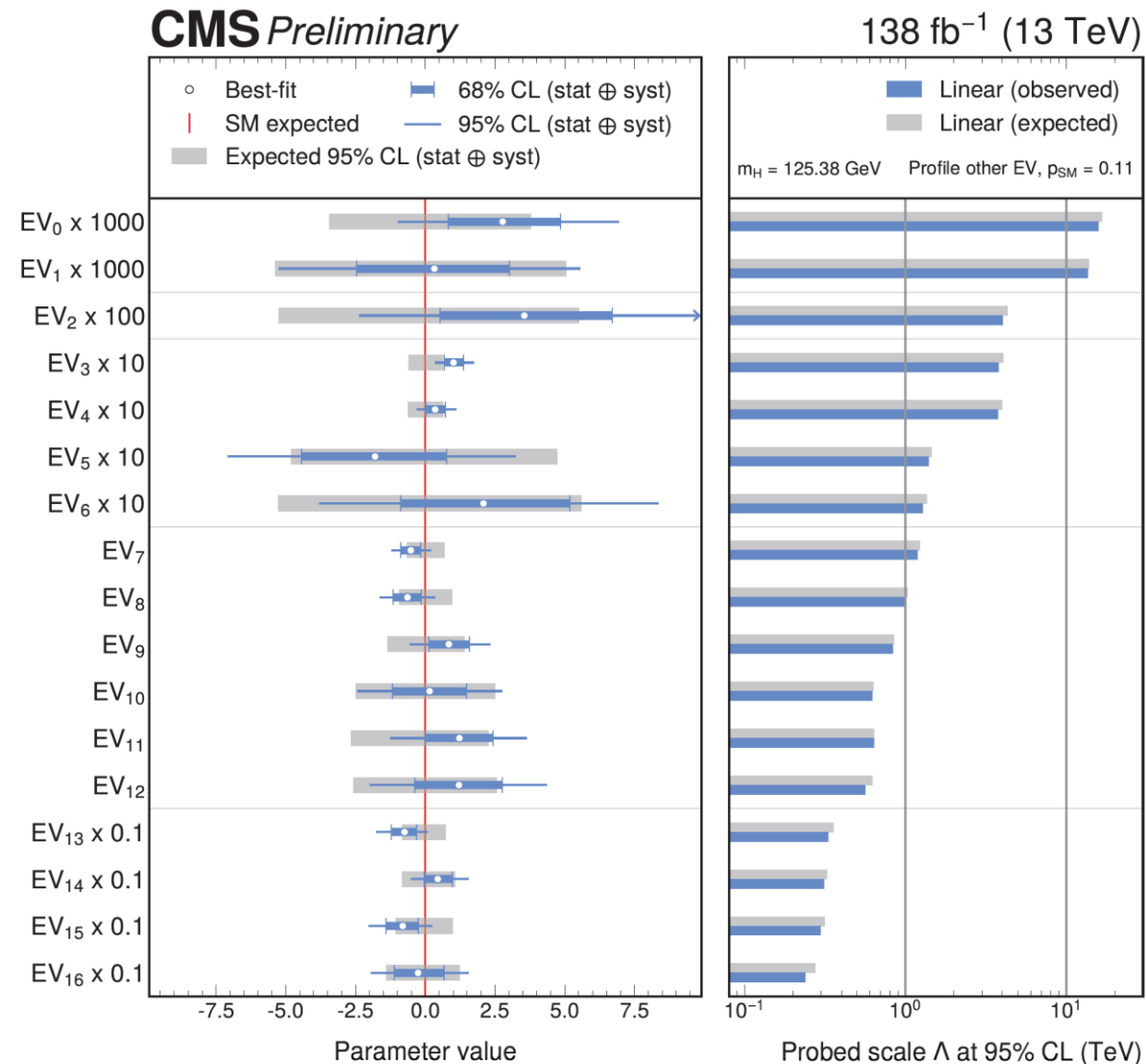
CMS Preliminary

138 fb⁻¹ (13 TeV)



Results in rotated basis

- Mostly consistent with the SM, overall p -value = 0.11
- Discrepancy in $EV_3 = 0.80C_{Hq}^{(3)} + 0.54Re(C_{bH})$ as now expected
- At $EV = 1$, we probe energy scales up to 11 TeV
- Using STXS measurements of the Higgs boson, we can constrain 17 different “directions” of NP with different strength
- Some hints of deviation → focus on in future
- Not enough to claim any discovery
- Can we look in other/more “directions”?



Global fits

- Combine four sectors: Higgs boson, electroweak vector boson, top quark, multi-jet (QCD)

Only one Higgs analysis →

Analysis	Type of measurement	Observables used	Experimental likelihood
$H \rightarrow \gamma\gamma$	Differential cross sections	STXS bins [54]	✓
$W\gamma$	Fiducial differential cross sections	$p_T^\gamma \times \phi_f $ [33]	✓
$Z \rightarrow \nu\nu$	Fiducial differential cross sections	p_T^Z	✓
WW	Fiducial differential cross sections	$m_{\ell\ell}$	✓
$t\bar{t}$	Fiducial differential cross sections	$m_{t\bar{t}}$	×
$t(\bar{t})X$	Direct EFT	Yields in regions of interest	✓
Inclusive jet	Fiducial differential cross sections	$p_T^{\text{jet}} \times y^{\text{jet}} $	×
EWPO	Pseudo-observables	$\Gamma_Z, \sigma_{\text{had}}^0, R_\ell, R_c, R_b, A_{\text{FB}}^{0,\ell}, A_{\text{FB}}^{0,c}, A_{\text{FB}}^{0,b}$ [36]	×

Wide variety of others {

EWPO: LEP and SLC

[CMS-SMP-24-003](#)

Expect to be less sensitive for Higgs-specific couplings but sensitive to more WC's overall

Global fits

- Combine four sectors: Higgs boson, electroweak vector boson, top quark, multi-jet (QCD)

Only one Higgs analysis

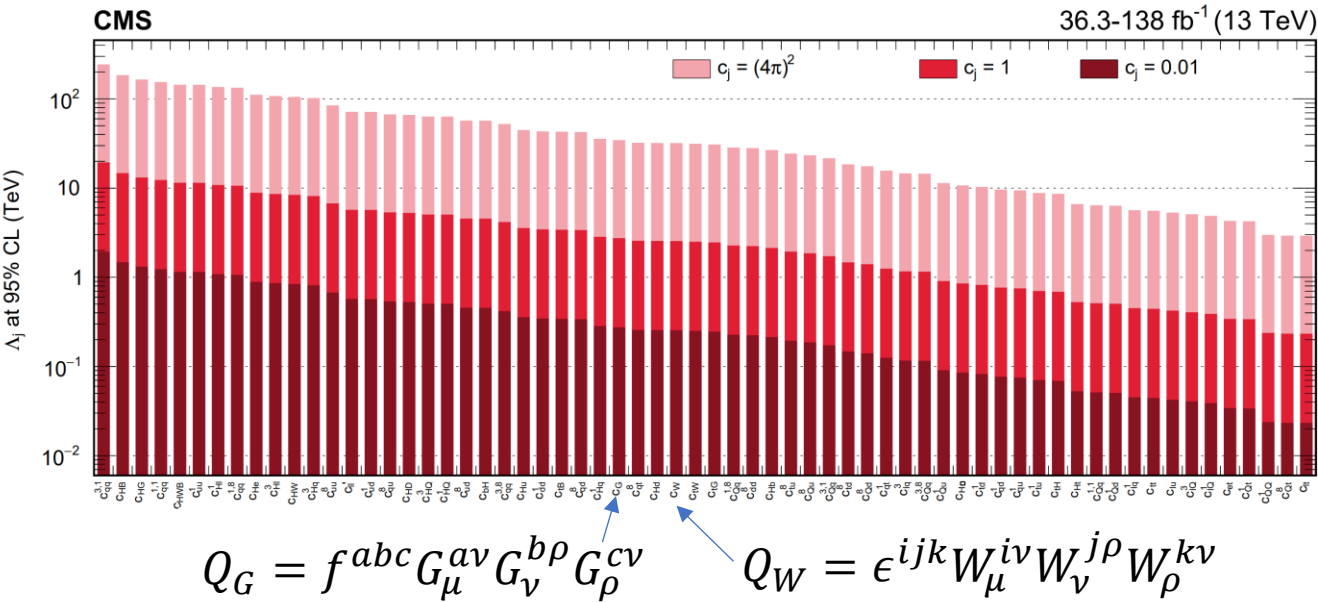
Wide variety of others

Analysis	Type of measurement	Observables used	Experimental likelihood
H → γγ	Differential cross sections	STXS bins [54]	✓
Wγ	Fiducial differential cross sections	p _T ^γ × ϕ _f [33]	✓
Z → νν	Fiducial differential cross sections	p _T ^Z	✓
WW	Fiducial differential cross sections	m _{ℓℓ}	✓
t $\bar{t}$	Fiducial differential cross sections	m _{t$\bar{t}$}	×
t($\bar{t}$)X	Direct EFT	Yields in regions of interest	✓
Inclusive jet	Fiducial differential cross sections	p _T ^{jet} × y ^{jet}	×
EWPO	Pseudo-observables	Γ _Z , σ _{had} ⁰ , R _ℓ , R _c , R _b , A _{FB} ^{0,ℓ} , A _{FB} ^{0,c} , A _{FB} ^{0,b} [36]	×

EWPO: LEP and SLC

[CMS-SMP-24-003](#)

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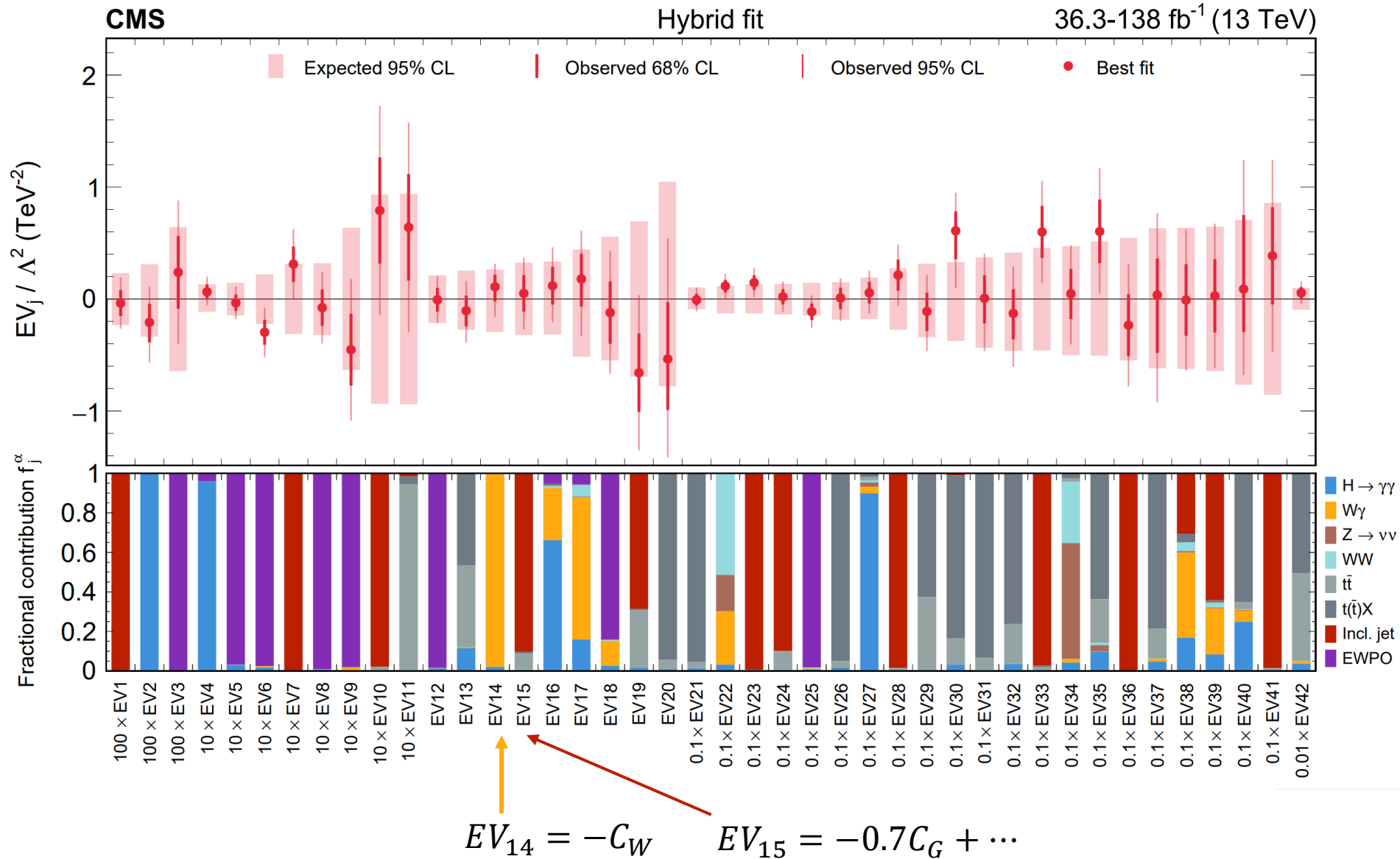


Individual constraints on 64 WCs!
(43 for Higgs combination)

Fit	Expected 95% CL interval size on C_{HG}
Higgs comb.	± 0.004
Global	± 0.006

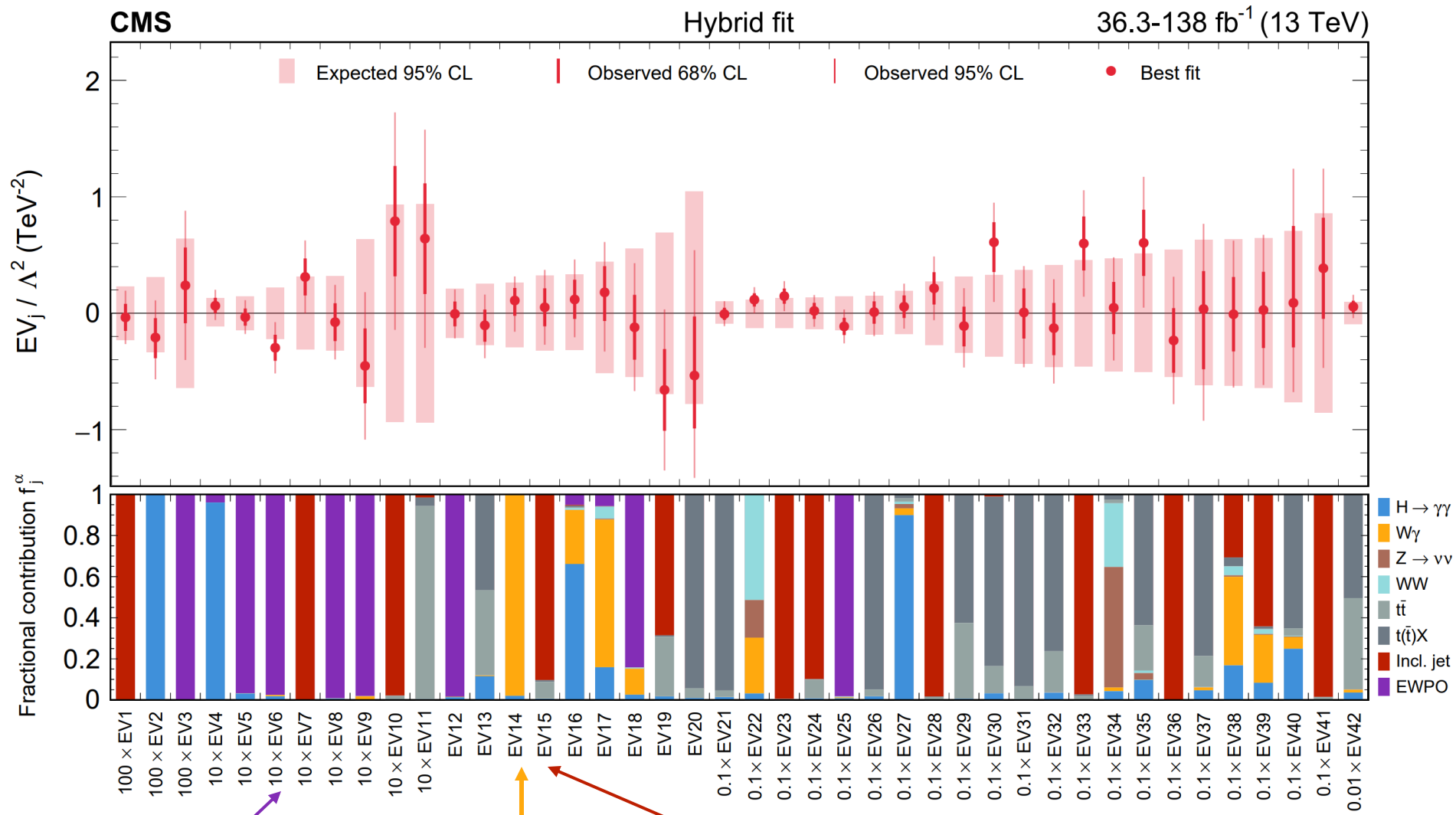
Using ~most sensitive channel ($H \rightarrow \gamma\gamma$)
→ not huge difference in sensitivity

Global fits – simultaneous constraints



Constrain 42 directions simultaneously!

Global fits – simultaneous constraints



$$EV_6 = 0.5C_{HWB} + 0.6C_{HD}$$

$$EV_{14} = -C_W$$

$$EV_{15} = -0.7C_G + \dots$$

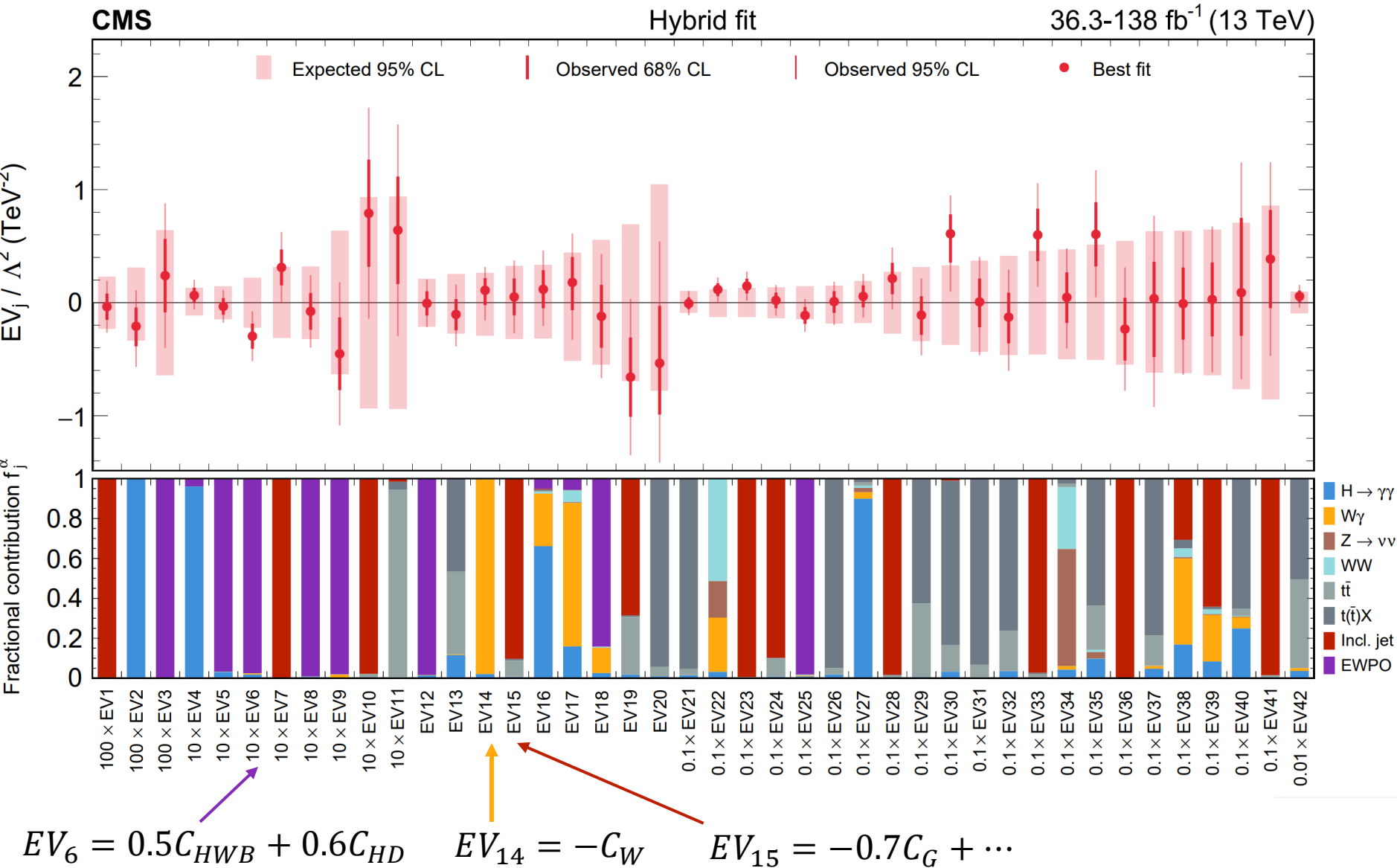
Constrain 42 directions simultaneously!

C_{HWB} affects EWPO via

$$\tan \theta = \frac{g_1}{g_W} + \frac{1}{2}\bar{C}_{HWB} \left(1 - \frac{g_1^2}{g_W^2}\right)$$

EWPO measurements should break a degeneracy

Global fits – simultaneous constraints



Constrain 42 directions simultaneously!

C_{HWB} affects EWPO via

$$\tan \theta = \frac{g_1}{g_W} + \frac{1}{2} \bar{C}_{HWB} \left(1 - \frac{g_1^2}{g_W^2} \right)$$

EWPO measurements should break a degeneracy

Moving in the right direction...
If we combine even more measurements → greater sensitivity and even wider reaching

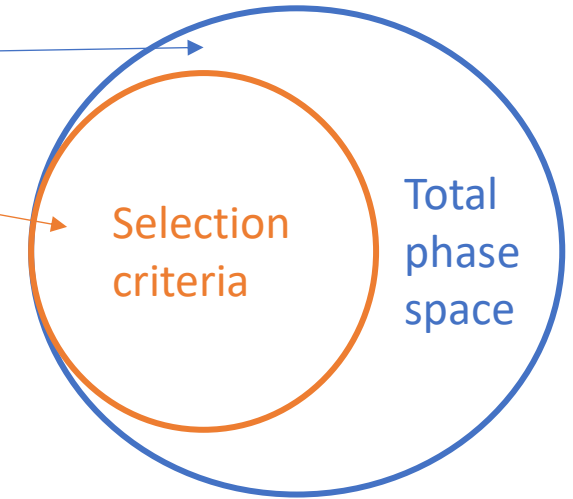
But is difficult...
Let’s talk about some challenges

Challenges: acceptance corrections

- Is A_{ijc} really independent of C_i ?

$$N_{jc}(C_i) = \sum_i \sigma_i(C_i) \times BR_j(C_i) \times A_{ijc}(C_i)$$

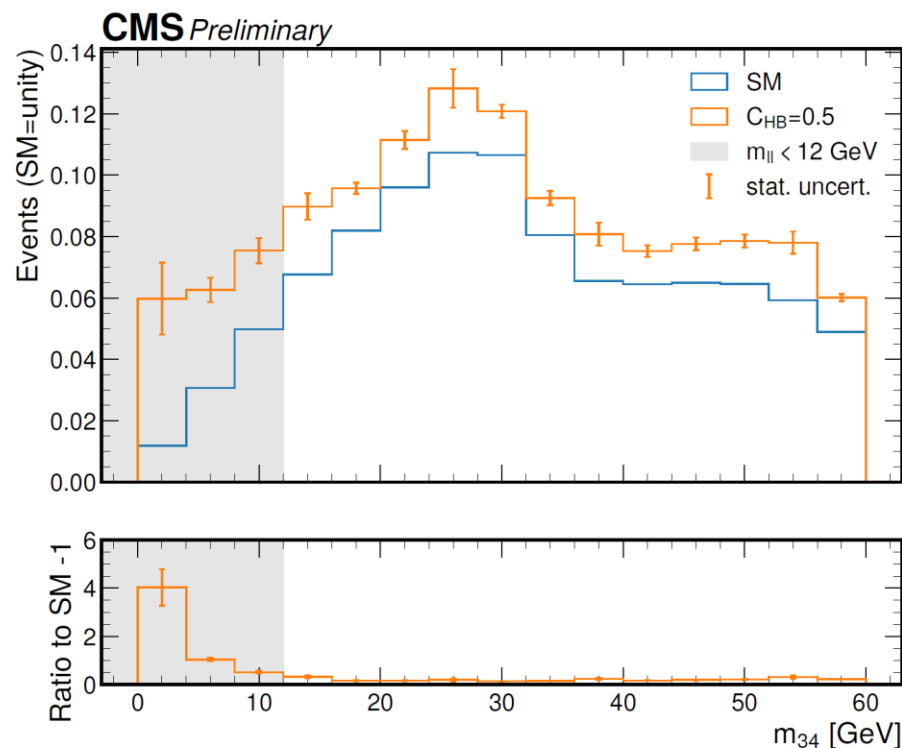
Do the events **here** scale the same as the events **there**?



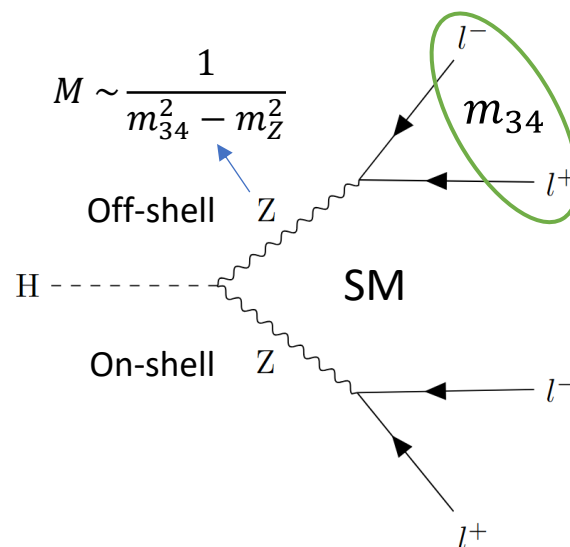
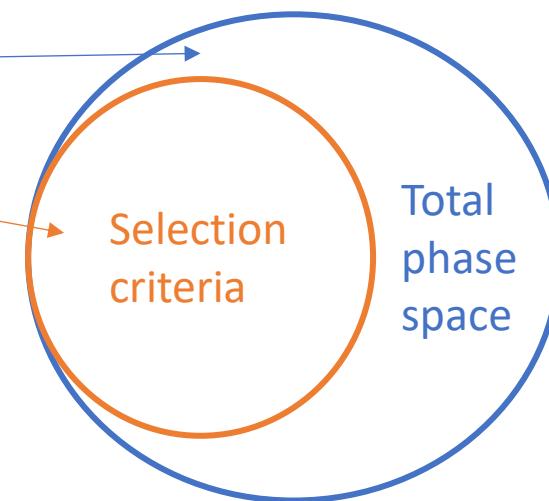
Challenges: acceptance corrections

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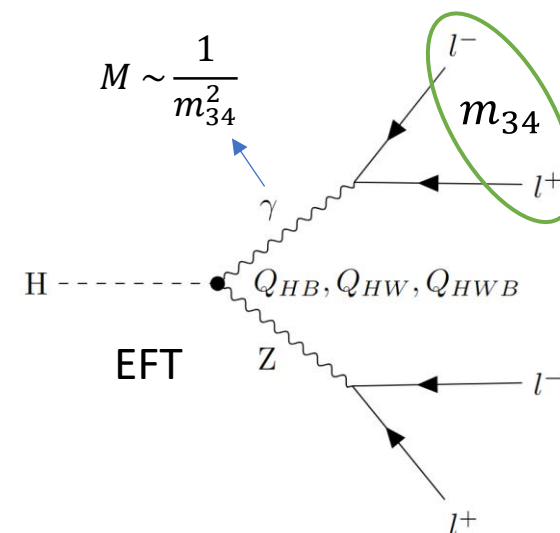
$$N_{jc}(C_i) = \sum_i \sigma_i(C_i) \times BR_j(C_i) \times A_{ijc}(C_i)$$



Do the events **here** scale the same as the events **there**?



In $H \rightarrow 4l$ analysis, we require $m_{34} > 12$ GeV but EFT very dependent on m_{34}
 $\rightarrow A_{ijc}(C_i) \neq A_{ijc}!$

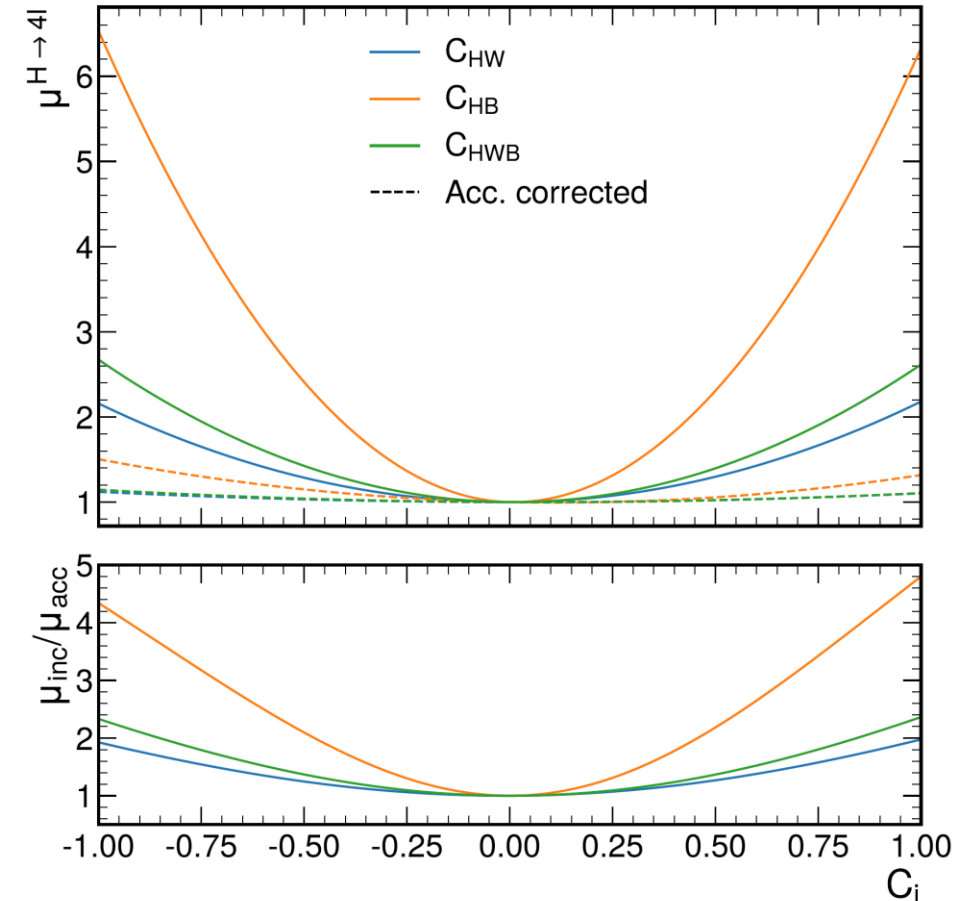


Accounting for acceptance corrections

- We need to derive $\Gamma_i(C_i)/\Gamma_{SM}$ using events inside **selection criteria** phase space

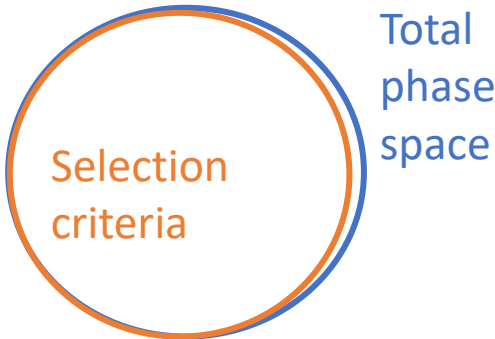


- Required the use of newly-developed reweighting techniques using the same MC samples and selection used by the original analyses
 - More time-consuming but clearly necessary for $H \rightarrow 4l$
- Is challenging to check for every analysis in a combination
 - Either we put in the effort or pick analyses where we know acceptance corrections ought to be small...



Differential fiducial measurements

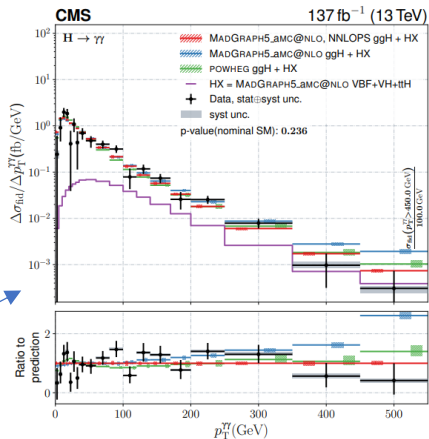
- Fiducial measurements: where you try to match up definition of cross sections to selection criteria as closely as possible



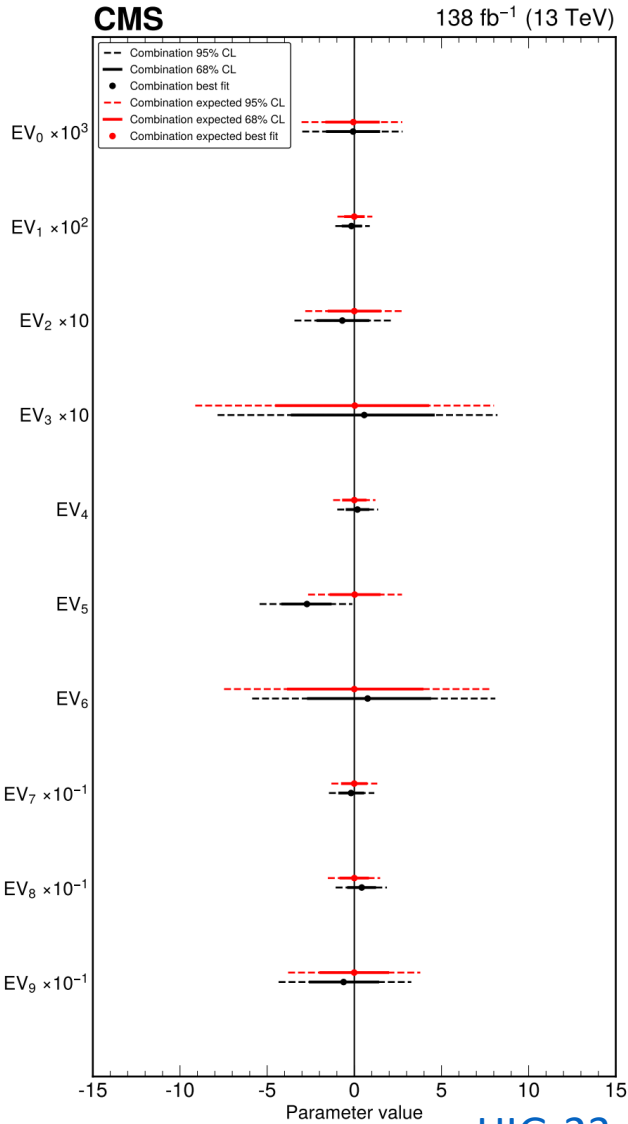
[HIG-19-016](#)

Observable	Selection
$p_T^{\gamma 1} / m_{\gamma\gamma}$	$> 1/3$
$p_T^{\gamma 2} / m_{\gamma\gamma}$	$> 1/4$
$\mathcal{I}_{\text{gen}}^\gamma$	$< 10 \text{ GeV}$
$ \eta^\gamma $	< 2.5

Fiducial phase space for $H \rightarrow \gamma\gamma$
&
measure p_T^H bins



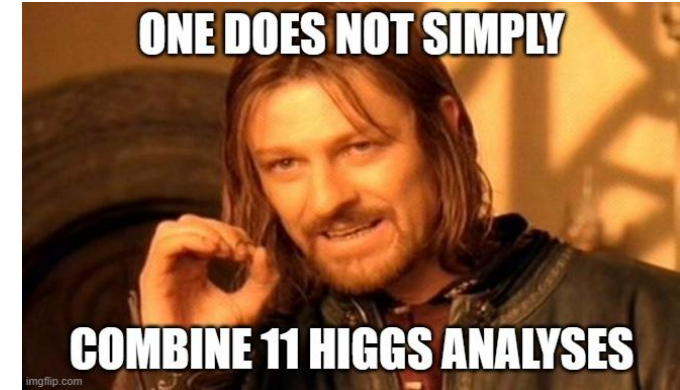
- Selection is often simpler, e.g. less/no machine learning involved
 - Less sensitive but more model-independent (less worries about acceptance)
 - How does the analogous combination of fiducial Higgs boson measurements perform?
 - Fewer eigenvectors constrained: 10 instead of 17
- Compromise between trustworthiness and sensitivity



[HIG-23-013](#)

What else?

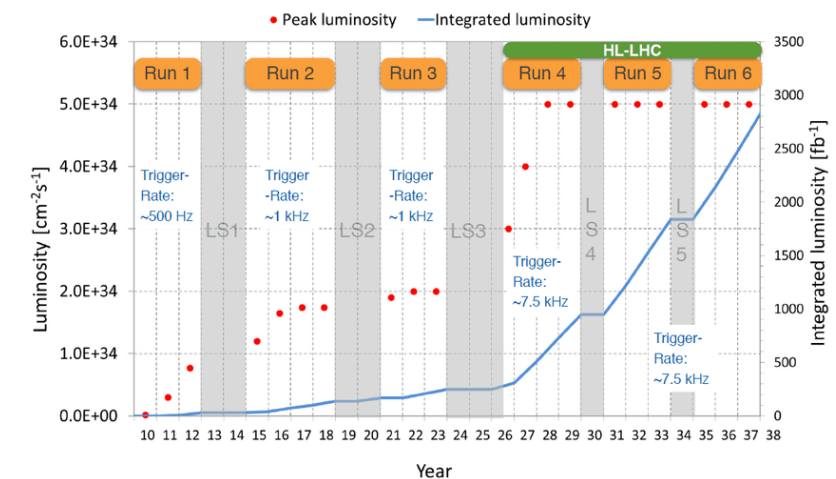
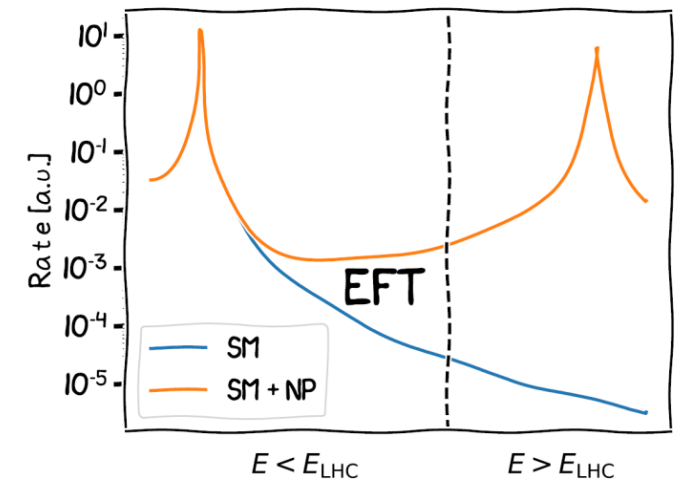
- Combining likelihoods is not an easy job, the Higgs combination alone was a mammoth effort:
 - 1+ years with a team of about 10 people
 - Minimizing the likelihood takes $O(\text{week})$
- Automatic and general EFT predictions do not exist at dimension-8
- Tools for dimension-6 predictions are not all complete either
 - LO tools are fairly complete
 - But NLO (in QCD) tools lack good choices of flavour assumptions, assume CP conservation, no accounting for how EFT affects a particle's width
- What about proton distribution functions (PDFs)?
 - PDFs are extracted from data but assuming SM physics
 - What if some EFT effects are being absorbed into the PDFs?
 - Would we get different constraints on WCs if we did a simultaneous fit?
- EFT in backgrounds
 - In the Higgs combination, we only considered EFT effects in signal but if background also affected → possibly invalidates results



Outlook

- In the absence of direct signatures, EFTs may be our best shot at finding new physics
- EFTs provide a minimally-model dependent approach and are synergistic with combination across sectors
- Results shown here use up to 138 fb^{-1} – the High-Luminosity LHC will bring 3000 fb^{-1} !
 - Fantastic opportunity for precision physics and unprecedented SMEFT constraints... but there is a lot of work to do
- Must think ahead about which measurements to perform and interpret, i.e. STXS vs differential fiducial
 - Get good balance between model-independence and sensitivity
- Continue to develop EFT prediction and statistical inference tools
 - more accurate predictions and faster fits
- Already made good progress over the last 5 years, I reckon we've got a good shot in the next 15-20

👉 for a discovery!



Cumulative integrated luminosity during HL-LHC
*subject to change