

Controlling neutrino interaction systematics: The T2K experience

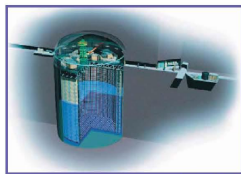
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for the T2K collaboration

University of Oxford

NuInt14
19 May, 2014

Outline

- 1 T2K
- 2 T2K cross section determination procedure
- 3 Input uncertainties
- 4 ND280 event selections & constraint
- 5 Oscillation analyses
- 6 Effect of np-nh on ν_μ disappearance search
- 7 Summary



Super-Kamiokande
(ICRR, Univ. Tokyo)

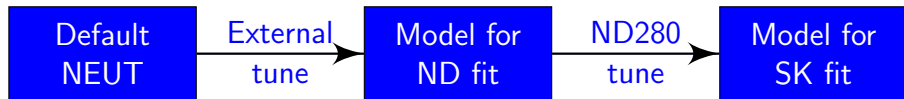


J-PARC Main Ring
(KEK-JAEA, Tokai)

- 30 GeV proton beam running up to ~ 250 kW (design 750 kW), producing ν_μ beam
- Far detector, SK, 2.5° off-axis
- Two near detectors at 280 m. INGRID on-axis, ND280 off-axis
- Studying neutrino oscillations & cross sections

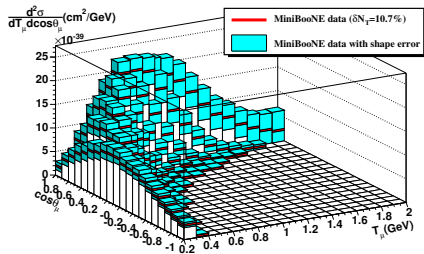
T2K cross section determination procedure

- 1 Take the default cross section model (NEUT)
- 2 Assign uncertainties & tune single pion production using external data
- 3 Tune nucleus-independent cross section parameters using ND280 ν_μ CC selections on carbon
 - ▶ Fit also constrains SK flux parameters
- 4 Use a combination of the ND280 & external errors in oscillation fits at SK on oxygen



Flux prediction proceeds in a similar way (see talk by M.Posiadała)

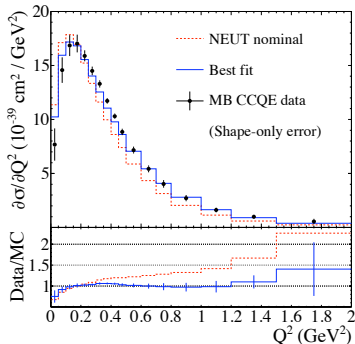
MiniBooNE CCQE fit



PRD 81:092005

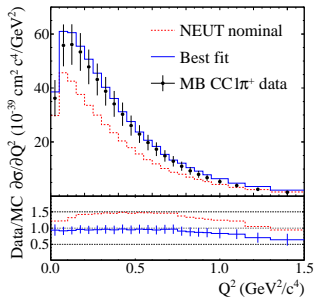
- Fit double differential cross section in lepton kinematics (T_μ & $\cos \theta_\mu$)
- No correlated bin errors available
- 2 free parameters: M_A^{QE} & 10.7% CCQE normalisation

- $M_A^{\text{QE}} = 1.64 \pm 0.03 \text{ GeV}/c^2$
- CCQE norm = 0.88 ± 0.02



PRD 88:032002

MiniBooNE single π production fit



PRD 83:052007

Fit 3 samples

- $CC1\pi^0$ Q^2
(fully correlated errors)
- $CC1\pi^+$ Q^2
(correlated errors unavailable)
- $NC1\pi^0$ $|\mathbf{p}_{\pi^0}|$
(uncorrelated errors)

Use 9 systematic parameters

- M_A^{RES}
- W-shape: empirically modifies pion momentum distributions
- CC other shape:
 $\sigma_{CC\text{other}} = 0.4/E_\nu$
- 6 normalisations:
CC coherent, $CC1\pi$,
NC coherent, $NC1\pi^0$,
 $NC1\pi^\pm$, NC other

Redo fit multiple times,
changing FSI parameters &
 π -less Δ -decay fraction

CCQE uncertainties

M_A^{QE}

- Difference between best fit and NEUT nominal ($1.21 \text{ GeV}/c^2$)

CCQE norm ($E_\nu < 1.5 \text{ GeV}$)

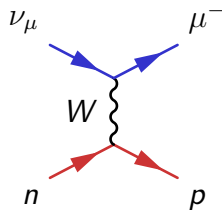
- MiniBooNE flux error

CCQE norm ($1.5 < E_\nu < 3.5, E_\nu > 3.5 \text{ GeV}$)

- Differences between
NOMAD & MiniBooNE data

Nuclear model parameters (nucleus dependent)

- Relativistic Fermi gas (RFG) parameters
 - ▶ Fermi momenta (p_F) and nuclear binding energy (E_b)
 - ▶ Uses electron scattering data
- Difference between RFG and spectral function models
 - ▶ Calculated using NuWro



Resonant π production uncertainties

M_A^{RES} , CC1 π norm ($E_\nu < 2.5$ GeV), NC1 π^0 norm

- Best-fit values from default fit & covariances built from results of alternative FSI/PDD fits

W-shape

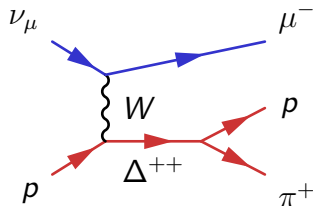
- Difference between nominal & best-fit

CC1 π norm ($E_\nu > 2.5$ GeV)

- Extrapolation of difference between NEUT nominal & MiniBooNE

π -less Δ -decay

- NEUT default is 20%. Allow to drop to 0% at 1σ



Other cross section uncertainties

CC coherent norm

- 90% C.L. upper limits are below the NEUT nominal.
Assign 100% error

NC coherent norm

- Difference between NEUT nominal & SciBooNE

CC other shape

- Extrapolate error on MINOS inclusive cross section from 4 GeV

NC π^\pm , NC other

- Difference between NEUT nominal, Gargamelle & Derrick et al.

$\nu/\bar{\nu}$ norm

- Comparison between MiniBooNE & MINERvA

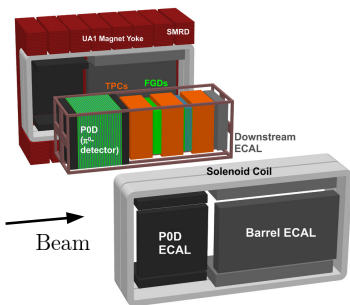
ν_e/ν_μ norm

- Uses the work of Day et al. (PRD 86:053003)

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ND280 CC inclusive selection



- The muon candidate is selected as the highest momentum negatively-charged TPC2 track with > 18 hits, starting in the FGD1 fiducial volume
- Veto events where the highest-momentum TPC2 track (that isn't the muon) is > 150 mm upstream of muon vertex
- Veto events where the muon candidate is backwards going
- Veto events with a possible broken FGD track
- Track should be muon-like, using TPC PID based on dE/dx

ND280 π selections

e^\pm, π^\pm in TPC

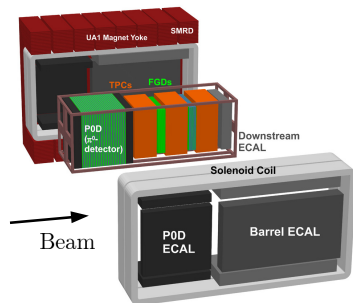
- Require long TPC2 tracks with FGD1 vertices
- Tag particle as p, e^\pm, π^\pm using TPC2 PID and charge ID

Michel electron in FGD1

- Require a time-delayed out-of-bunch FGD1 cluster, with a total charge of at least 200 photoelectrons
- Tagged as π^+

π^+ track in FGD1

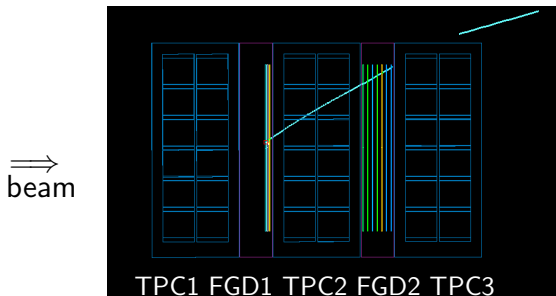
- Require a fully contained track within FGD1 & $|\cos\theta| > 0.3$
- Tag particle as π^\pm using FGD1 PID based on dE/dx



ND280 $CC0\pi$, $CC1\pi^+$, CC -other selections

Split the CC inclusive sample into 3 subsamples:

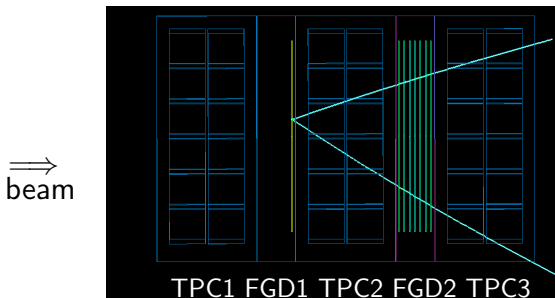
- **$CC0\pi$** : 0 e^\pm TPC2 tracks, 0 π^\pm TPC2 tracks, 0 Michel electrons, 0 π^\pm FGD-only tracks
- **$CC1\pi^+$** : 0 e^\pm TPC2 tracks, 0 π^- TPC2 tracks, exactly one TPC2 π^+ track, Michel electron, π^\pm FGD-only track
- **CC -other**: All other events.



ND280 CC0 π , CC1 π^+ , CC-other selections

Split the CC inclusive sample into 3 subsamples:

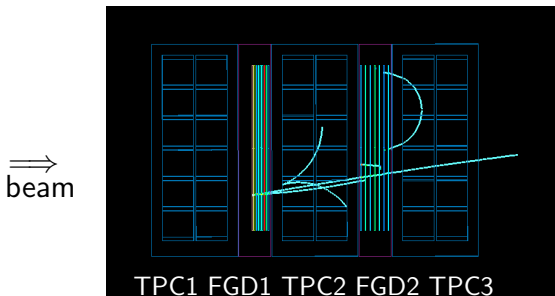
- **CC0 π** : 0 e^\pm TPC2 tracks, 0 π^\pm TPC2 tracks, 0 Michel electrons, 0 π^\pm FGD-only tracks
- **CC1 π^+** : 0 e^\pm TPC2 tracks, 0 π^- TPC2 tracks, **exactly one** TPC2 π^+ track, Michel electron, π^\pm FGD-only track
- **CC-other**: All other events.



ND280 CC0 π , CC1 π^+ , CC-other selections

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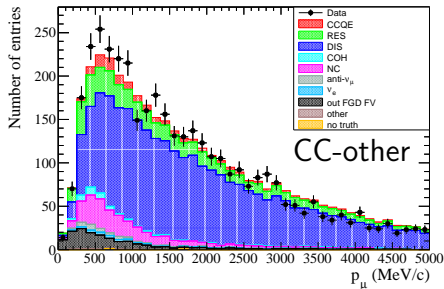
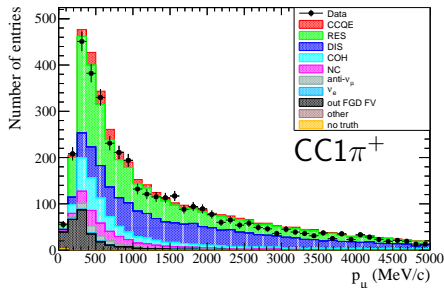
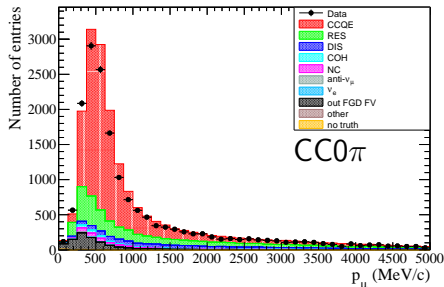
ND280 $CC0\pi$, $CC1\pi^+$, CC -other selections

Split the CC inclusive sample into 3 subsamples:

- **CC0 π** 0 e^\pm TPC2 tracks, 0 π^\pm TPC2 tracks, 0 Michel electrons, 0 π^\pm FGD-only tracks
- **CC1 π^+** 0 e^\pm TPC2 tracks, 0 π^- TPC2 tracks, exactly one TPC2 π^+ track, Michel electrons, π^\pm FGD-only tracks
- **CC-other** All other events.

Purity	$CC0\pi$	$CC1\pi^+$	CC -other
$CC0\pi$	72.4%	6.4%	5.8%
$CC1\pi^+$	8.6%	49.2%	7.8%
CC -other	11.5%	31.0%	73.6%
Background	2.3%	6.8%	8.7%
External	5.2%	6.6%	4.1%
Efficiency	47.8%	28.4%	29.7%

ND280 p_μ (before-FSI categories)



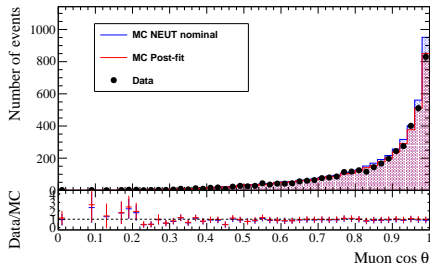
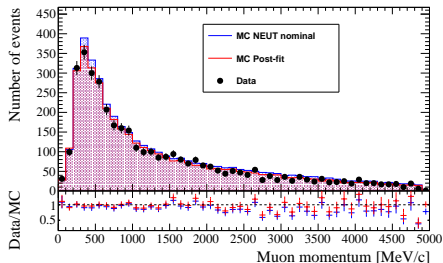
CCQE RES DIS COH

NC $\bar{\nu}_\mu$ ν_e

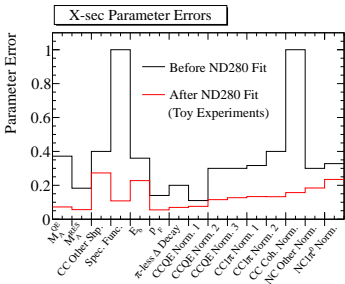
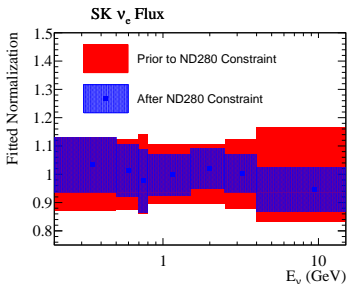
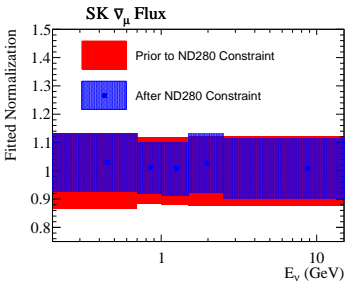
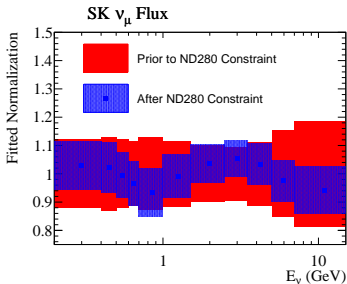
Out-of-fiducial-volume

ND280 fit

- Fit the muon kinematics (p_μ , $\cos\theta_\mu$) for each of the 3 samples simultaneously
- Include cross section, FSI, flux & detector systematics
- M_A^{QE} , M_A^{RES} , normalisations (CCQE, CC1 π , NC1 π^0), and flux parameters propagated to oscillation analyses
 - ▶ Including correlations



ND280 fit results



ND280 fit results

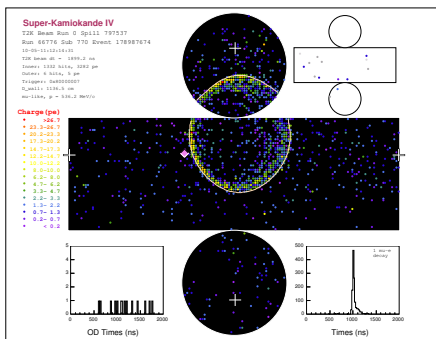
	External tune	ND280 tune
M_A^{QE} (GeV/ c^2)	1.21 ± 0.45	1.24 ± 0.07
SF (^{12}C)	0 (RFG) \rightarrow 1 (SF)	0.24 ± 0.13
E_B (^{12}C) (MeV)	25 ± 9	30.9 ± 5.2
p_F (^{12}C) (MeV/ c)	217 ± 30	266.3 ± 10.6
CCQE norm $E_\nu < 1.5$ GeV	1.00 ± 0.11	0.97 ± 0.08
CCQE norm $1.5 < E_\nu < 3.5$ GeV	1.00 ± 0.30	0.93 ± 0.10
CCQE norm $E_\nu > 3.5$ GeV	1.00 ± 0.30	0.85 ± 0.11
M_A^{RES} (GeV/ c^2)	1.41 ± 0.11	0.96 ± 0.07
π -less Δ decay fraction	0.20 ± 0.20	0.21 ± 0.08
CC $1\pi^0$ norm $E_\nu < 2.5$ GeV	1.15 ± 0.43	1.26 ± 0.16
CC $1\pi^0$ norm $E_\nu > 2.5$ GeV	1.00 ± 0.40	1.12 ± 0.17
CC coherent norm	1.00 ± 1.00	0.45 ± 0.16
NC π^0 norm	0.96 ± 0.43	1.13 ± 0.25
CC other shape (GeV)	0.00 ± 0.40	0.23 ± 0.29
NC other norm	1.00 ± 0.30	1.41 ± 0.22

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Oscillation analyses

Select single-ring events, fully contained with a fiducial volume vertex.



1 μ -like ring

μ -like

- Ring is PID'ed as μ -like
- 0 or 1 Michel electron
- $p_\mu > 200$ MeV/c

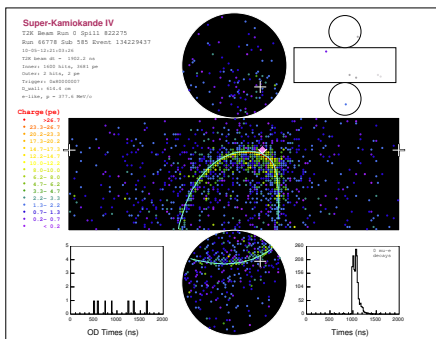
e -like

- Ring is PID'ed as e -like
- 0 Michel electrons
- $E_{\text{reco}} < 1.25$ GeV
- π^0 rejection

$$E_{\text{reco}} = \frac{m_p^2 c^4 - (m_n c^2 - E_b)^2 - m_\mu^2 c^4 + 2(m_n c^2 - E_b) E_\mu}{2(m_n c^2 - E_b - E_\mu + p_\mu c \cos \theta_\mu)}$$

Oscillation analyses

Select single-ring events, fully contained with a fiducial volume vertex.



μ -like

- Ring is PID'ed as μ -like
- 0 or 1 Michel electron
- $p_\mu > 200 \text{ MeV}/c$

e-like

- Ring is PID'ed as e-like
- 0 Michel electrons
- $E_{\text{reco}} < 1.25 \text{ GeV}$
- π^0 rejection

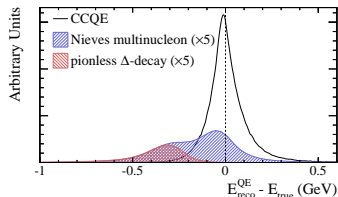
$$E_{\text{reco}} = \frac{m_p^2 c^4 - (m_n c^2 - E_b)^2 - m_\mu^2 c^4 + 2(m_n c^2 - E_b) E_\mu}{2(m_n c^2 - E_b - E_\mu + p_\mu c \cos \theta_\mu)}$$

Oscillation analyses: effect of ND280 fit

Source of uncertainty	$1R_{\mu} \delta N_{SK}/N_{SK}$	$1R_e \delta N_{SK}/N_{SK}$
SK+FSI	5.00%	3.65%
ND280-independent XSec	5.00%	4.69%
ND280 prefit	21.75%	26.04%
ND280 postfit	2.74%	3.15%
Total (ND280 postfit)	7.65%	6.75%
Total (ND280 prefit)	23.45%	26.80%

- Large reduction in uncertainties for parameters constrained by ND280 fit
- ND280-independent XSec parameters have large uncertainties
 - ▶ Need cross sections on water
 - can constrain E_B , p_F , SF
 - ▶ Need new dedicated samples to fit (ν_e , $\bar{\nu}_\mu$, CC coherent, ...)
 - can constrain more normalisations

np-nh effect methodology



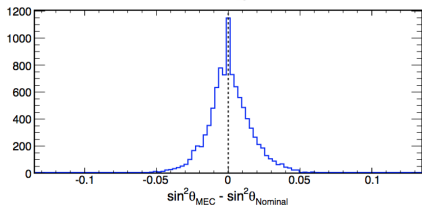
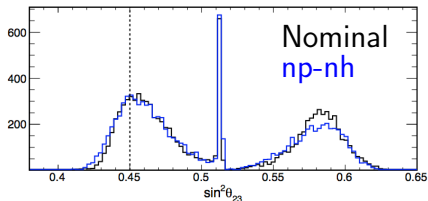
1 μ -like ring

- Model np-nh events using Nieves model (Phys.Lett.B 707:72) in NuWro
- Replace NEUT π -less Δ decay events

- Create toy MC including np-nh events at ND280
 - ▶ Fit without an np-nh-controlling parameter
- Create toy MC including np-nh events at SK with same systematic tweaks as ND280
 - ▶ Fit using the updated ND280 covariance matrix
 - ▶ Fit without an np-nh-controlling parameter
- Repeat for toy with no np-nh events
- Find best-fit point differences between the 2 toys

np-nh effect results

$\sin^2 \theta_{23}$

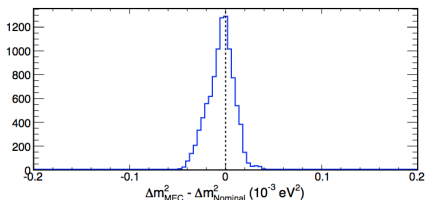
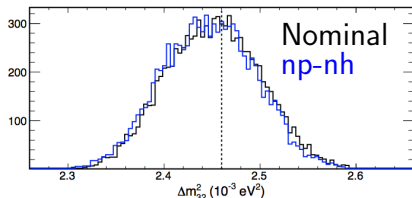


Mean: +0.3%

RMS: 3.6%

Other systematics RMS: 3.8-5.6%

Δm_{32}^2



Mean: -0.2%

RMS: 0.6%

Other systematics RMS: 1.8%

Improvements for future analyses

- Implemented an np-nh model in NEUT
 - ▶ See talk by P.Sinclair
- Implemented a spectral function model in NEUT
 - ▶ See talk by A.Furmanski
- Updating external data fits with the new models & new data
 - ▶ MINER ν A, MiniBooNE $\bar{\nu}$, bubble chamber
 - ▶ See poster by C.Wilkinson
- Improving the ND280 event selections
 - ▶ Better cuts
 - ▶ Increasing phase space
 - ▶ Adding new selections
- We are constantly looking for new ways to constrain & improve our cross section model

Summary

- Initial uncertainties determined using fits to MiniBooNE data and comparisons with other datasets
- Fits to ND280 $CC0\pi$, $CC1\pi^+$, CC-other selections result in greatly reduced errors
 - ▶ 21.8% \rightarrow 2.7% for 1 μ -like ring
 - ▶ 26.0% \rightarrow 3.2% for 1 e-like ring
- Work being done to reduce the effect of the ND280-independent XSec parameters from current value of 5%
- np-nh bias evaluated in ν_μ disappearance fits as a small effect
 - ▶ Small bias (0.2%-0.3%), much less than the RMS from other systematics (1.8%-5.6%)

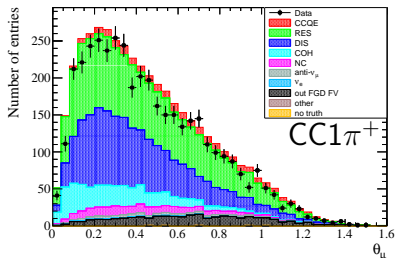
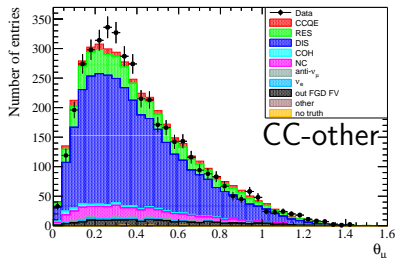
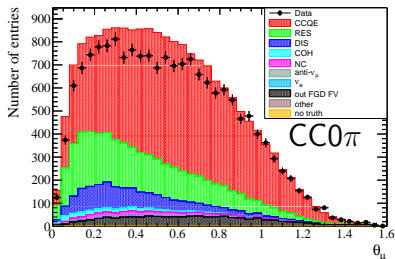
Backups

ND280 selection distributions (before ND280 fit)

ND280 $CC0\pi$, $CC1\pi^+$, CC -other purities

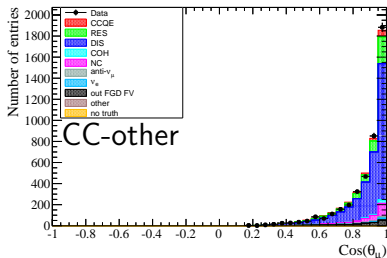
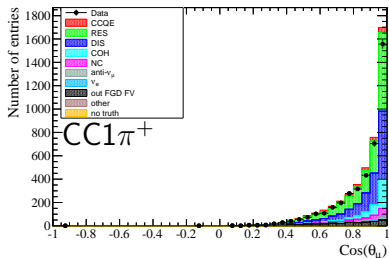
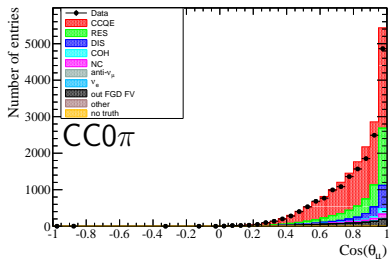
	$CC0\pi$	$CC1\pi^+$	CC -other
$CC0\pi$	72.4%	6.4%	5.8%
$CC1\pi^+$	8.6%	49.2%	7.8%
CC -other	11.5%	31.0%	73.6%
Background	2.3%	6.8%	8.7%
External	5.2%	6.6%	4.1%
	$CC0\pi$	$CC1\pi^+$	CC -other
CCQE	63.3%	5.3%	3.9%
CC resonant	20.3%	39.4%	14.2%
CC coherent	1.4%	10.6%	1.4%
CC DIS	7.5%	31.3%	67.7%
NC	1.9%	4.7%	6.8%
$\bar{\nu}_\mu$	0.19%	1.7%	0.9%
ν_e	0.17%	0.4%	0.9%
External	5.2%	6.6%	4.1%
Other	0.03%	0.04%	0.2%

ND280 θ_μ (before-FSI categories)



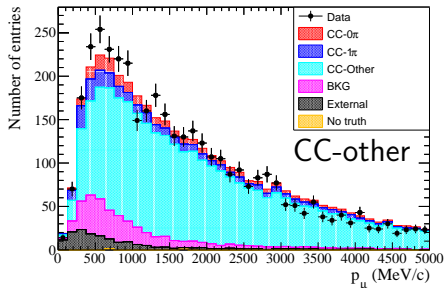
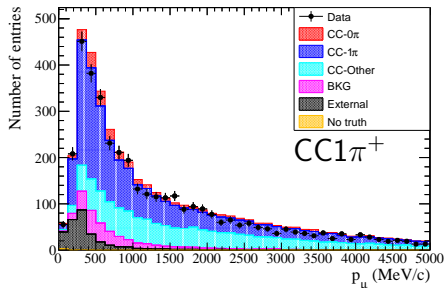
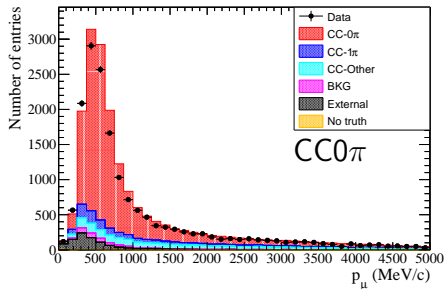
CCQE RES DIS COH
 NC $\bar{\nu}_\mu$ ν_e
 Out-of-fiducial-volume

ND280 $\cos \theta_\mu$ (before-FSI categories)



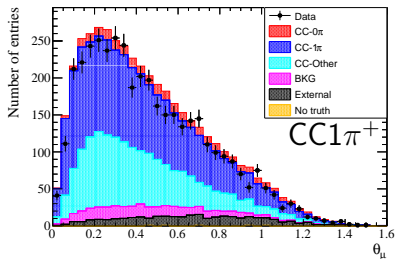
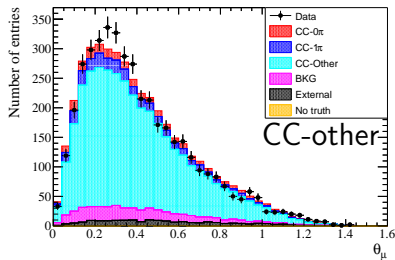
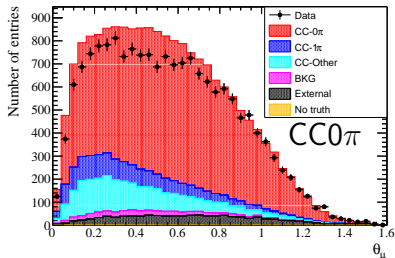
CCQE RES DIS COH
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 Out-of-fiducial-volume

ND280 p_μ (after-FSI categories)



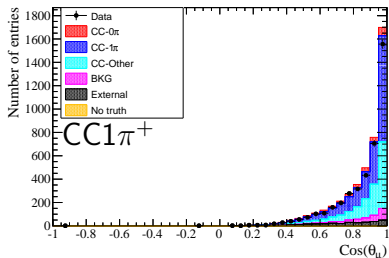
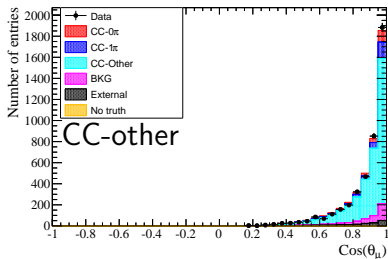
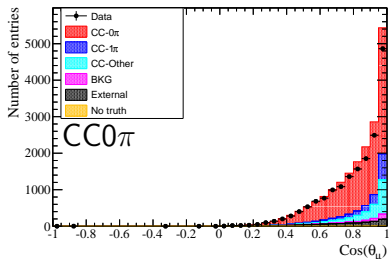
CC0 π CC1 π^+ CC-other
Background External

ND280 θ_μ (after-FSI categories)



$CC0\pi$ $CC1\pi^+$ CC-other
Background External

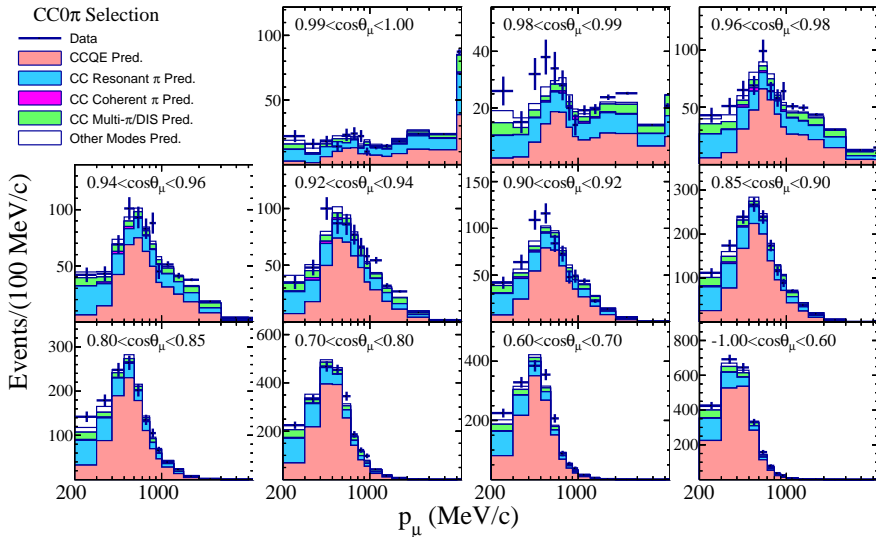
ND280 $\cos \theta_\mu$ (after-FSI categories)



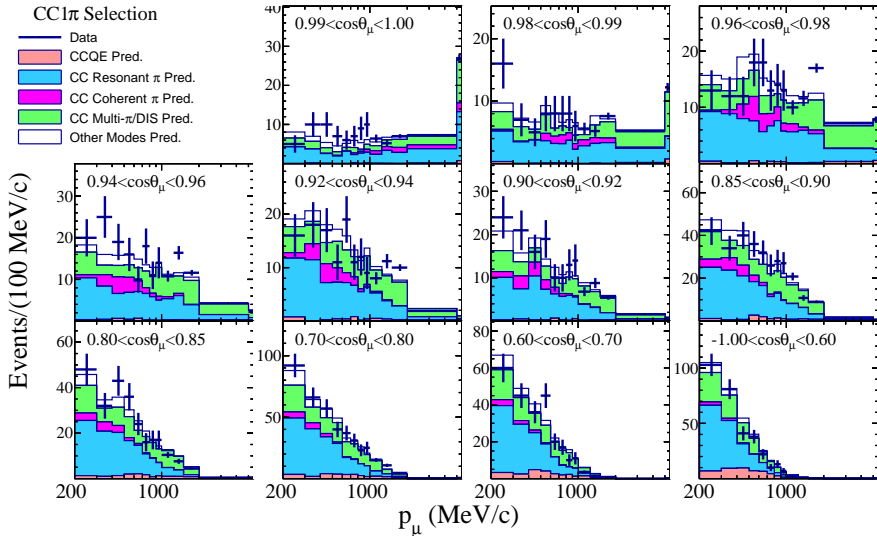
CC0 π CC1 π^+ CC-other
Background External

ND280 selection distributions (after ND280 fit)

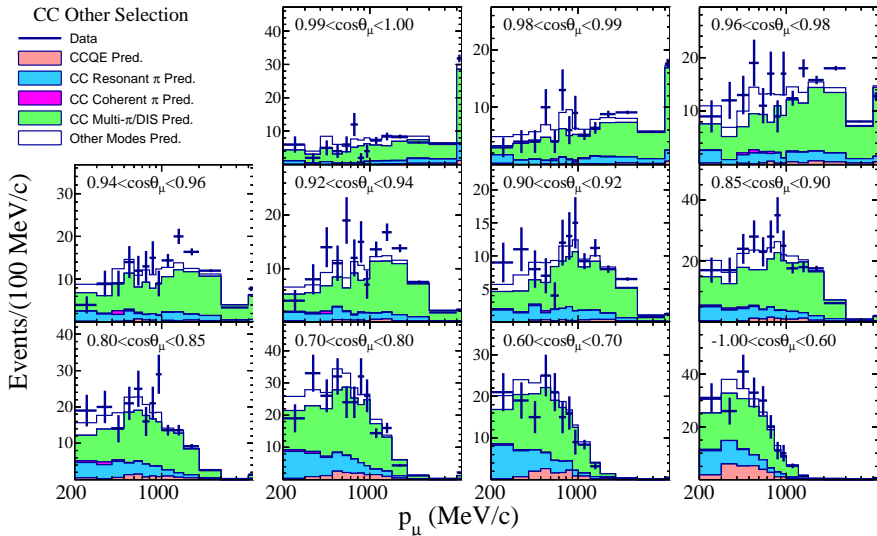
ND280 $CC0\pi$ (after ND280 fit)



ND280 $\text{CC}1\pi^+$ (after ND280 fit)

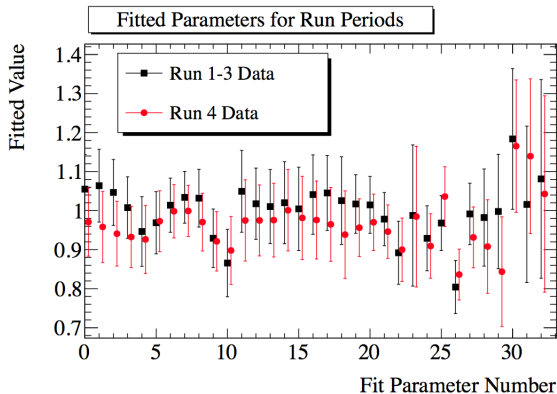


ND280 CC-other (after ND280 fit)



ND280 fit results for different run periods

ND280 fit results for different run periods

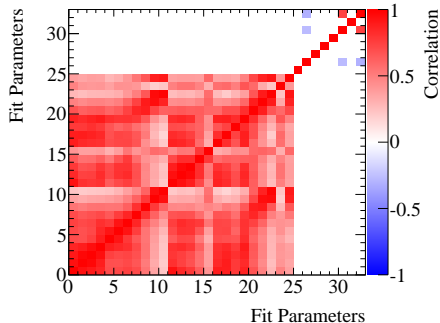


- Run 1-3 and Run 4 (\sim equal statistics) fit results consistent
- Suggest fits dominated by systematics that are common to 2 statistically independent datasets

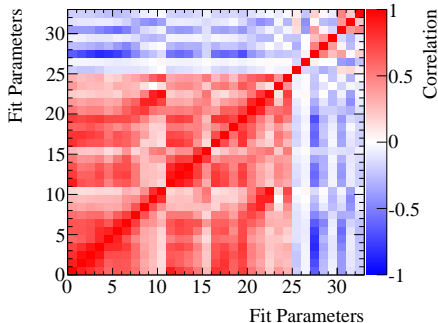
Inputs to the oscillation analyses

Inputs to the oscillation analyses

Parameter Correlation Matrix Prior to ND280 Constraint



Parameter Correlation Matrix After ND280 Constraint



0-10 SK ν_μ flux parameters, 11-15 SK $\bar{\nu}_\mu$ flux parameters,
16-22 SK ν_e flux parameters, 23-24 SK $\bar{\nu}_e$ flux parameters,
25 MAQE, 26 MARES, 27-29 CCQE normalisation,
30-31 CC1 π normalisation, 32 NC1 π^0 normalisation.

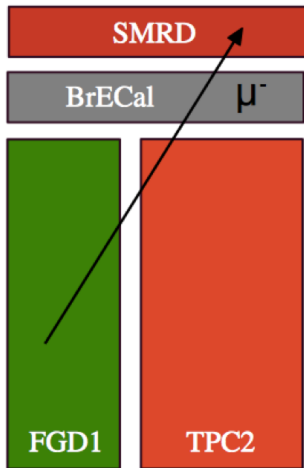
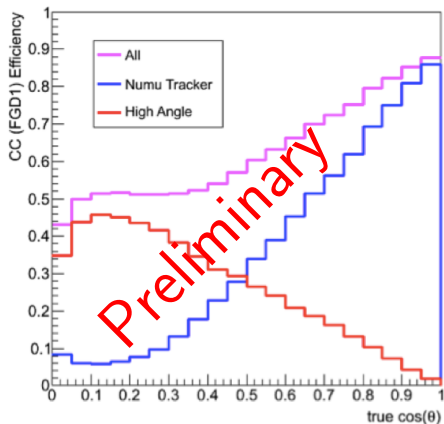
Inputs to the oscillation analyses

M_A^{QE} (GeV/c ²)*	1.24±0.07
p_F (¹⁶ O) (MeV/c)	225±30
E_B (¹⁶ O) (MeV)	27±9
SF (¹⁶ O)	0 (RFG) → 1 (SF)
CCQE norm $E_\nu < 1.5$ GeV *	0.97±0.08
CCQE norm $1.5 < E_\nu < 3.5$ GeV *	0.93±0.10
CCQE norm $E_\nu > 3.5$ GeV *	0.85±0.11
M_A^{RES} (GeV/c ²)*	0.96±0.07
π -less Δ decay fraction	0.20±0.20
CC1 π^0 norm $E_\nu < 2.5$ GeV *	1.26±0.16
CC1 π^0 norm $E_\nu > 2.5$ GeV *	1.12±0.17
CC coherent norm	1.00±1.00
NC π^0 norm*	1.13±0.25
NC π^\pm norm	1.00±0.30
W-shape (MeV/c ²)	87.7±45.3
CC other shape (GeV)	0.00±0.40
NC other norm	1.00±0.30
ν_e to ν_μ ratio	1.00±0.03
ν to $\bar{\nu}$ ratio	1.00±0.20

*Constrained
by ND280

Analysis improvements

High angle tracks



Complementary to current selection (no double counting)
(i.e. events with tracks with ≤ 19 TPC2 hits)

Questions

- ① What external neutrino scattering data were used to inform the generator level uncertainties (before obtaining any constraint from own data)?
 - ▶ MiniBooNE, SciBooNE, MINERvA, NOMAD, ... Slides 5-9
- ② Did you also utilise any electron-nucleus and hadron-nucleus scattering data. What aspects of the interaction model were constrained?
 - ▶ RFG parameters. Slide 7
- ③ Quote all the input generator level uncertainties.
 - ▶ Slide 20
- ④ How were these uncertainties propagated to the physics analysis? Did you consider correlations between the generator level uncertainties and if no, why?
 - ▶ Correlations & tuning only for the pion fit. Slide 8
- ⑤ Which were the samples used for constraining the interaction uncertainties? Please provide details on the selection cuts, purity and efficiency and a breakdown of event categories according to generator.
 - ▶ $CC0\pi$, $CC1\pi^+$, CC-other. Slides 11-17, 31-40

- 6 Which aspects of the interaction model were tuned by the near detector data or control samples? Explain the procedure.
 - ▶ CCQE, CC1 π , NC1 π^0 . Slides 18-20
- 7 Was there a significant tension between the interaction model tunes (tunes based on external vs own data, tunes obtained with data from different running periods / different running conditions)?
 - ▶ MiniBooNE/ND280 tension in M_A^{RES} . Slide 17
 - ▶ No tension in Runs 1-3 vs Run 4. Slide 42
- 8 What was the systematic error improvement provided by the near detector or control samples? Provide details (uncertainty before / after constraint).
 - ▶ Slide 20
- 9 How was the effect of neutrino interaction uncertainties decoupled from the effect of flux uncertainties and detector response effects?
 - ▶ Include all flux, detector, cross section parameters in a single fit. Slides 18-19, 44
- 10 What uncertainties were not constrained directly by the near detector or control sample and how were they estimated?
 - ▶ Parameters not on Slide 18 are estimated using Slides 7-9

- 11 Did you consider the possible effect of Np-Nh contributions? How does it affect you analysis?
 - ▶ Small effect. Slides 25-26
- 12 How were the interaction uncertainties propagated to the oscillation analysis? Which correlations between systematics did you take into account?
 - ▶ Correlations between flux & cross section systematics.
Other cross section systematics uncorrelated. Slides 44-45
- 13 If applicable, which uncertainties were correlated between the near and far detectors? What was the level of error cancellation? Which were the dominant uncertainties?
 - ▶ $\sim 20\% \rightarrow \sim 3\%$ error reduction. Slide 24
- 14 How did you estimate the absolute neutrino energy? What was the impact of nuclear and hadronic simulation uncertainties?
 - ▶ Quasi-elastic formula. Slide 23
- 15 Based on recent knowledge, is there any systematic uncertainty which you think may have been underestimated?